

# Background Verification Form

Company name: AML Rightsource India Pvt Ltd 2FY25

Purpose of Application: NORMAL BGV(EMPLOYMENT)

## Applicant's CV

### KUSHAL PRASAD

KYC / AML & Financial Crime Risk Specialist | Company Secretary (ICS)

+91 8910630861 | Email: kushalprasad93@gmail.com | LinkedIn: <https://www.linkedin.com/in/kushal-prasad-5a851a133/>

### PROFESSIONAL SUMMARY

KYC / AML and Financial Crime Risk professional with 5+ years of experience in CDD, EDD, client risk assessment, and regulatory compliance within global banking and PSU environments.

Experienced in First Line of Defense controls, KYC lifecycle reviews, audit support, policy interpretation, and quality assurance, with consistent top performance ratings. Also a qualified Company Secretary, bringing additional strength in regulatory interpretation and governance discipline.

### SKILLS & TECHNICAL EXPERTISE

- KYC • AML • CDD • EDD • Risk Based Approach
- Client Onboarding & Periodic Reviews
- Financial Crime Risk Controls
- Regulatory Compliance
- Quality Review / Four Eye Check
- Audit Support
- Policy Interpretation
- Stakeholder Coordination

### PROFESSIONAL EXPERIENCE

HSBC Global Banking & Markets – Kolkata

Client Lifecycle Management (KYC/AML) Specialist | Financial Crime Risk (First Line of Defense)

September 2021 – Present

- Worked as part of the First Line of Defense (1LOD) within HSBC's Financial Crime Risk (FCR) framework, supporting AML, KYC, and regulatory compliance requirements across global business lines.
- Conducted end-to-end KYC due diligence for diverse client types including listed entities, corporates, complex ownership structures, and Financial Institution clients during onboarding and periodic reviews.
- Performed Customer Due Diligence (CDD) and Enhanced Due Diligence (EDD) in line with internal policies, local regulations, and global AML standards.
- Assessed client risk profiles, identified red flags, and applied risk-based approach (RBA) to KYC reviews.
- Ensured compliance with AML, KYC, Sanctions, and Financial Crime policies, maintaining 100% quality standards across reviews.
- Performed independent 4-eye Quality Check reviews on KYC profiles completed by analysts to validate regulatory compliance, documentation sufficiency, risk assessment accuracy, and policy adherence.
- Identified quality gaps, issued remediation feedback, and ensured corrective actions before final approval.
- Performed structured research across public records, regulatory sources, and adverse media databases to support CDD/EDD risk assessments.
- Supported internal audits, external audits, and regulatory reviews by providing KYC documentation, audit evidence, and compliance explanations.
- Collaborated with Compliance, Legal, Operations, and Technology teams to resolve KYC policy interpretation issues and implement control enhancements.
- Proactively escalated documentation deficiencies, policy deviations, and risk concerns to compliance and management stakeholders.
- Performed PEP, sanctions, and adverse media screening using authorized vendor databases and internal screening tools, and assessed matches for relevance, false positives, and escalation requirements in line with AML/KYC policy.
- Prepared and presented MIS, risk reports, and performance metrics to senior stakeholders across Global, Regional, and Market teams.

- Acted as KYC Tools Champion, liaising with IT and Tech SMEs to resolve system issues, improve tool usage, and reduce operational risk.
- Delivered policy walkthroughs, AML/KYC updates, and refresher sessions to onshore and offshore stakeholders.
- Recognized for consistent performance with Analyst of the Quarter (twice) and Champion of the Month awards.

MSTC Limited (A Listed Government of India PSU) – Kolkata

Management Trainee (Company Secretary)

November 2019 – April 2021

- Assisted the Company Secretary in ensuring compliance with the Corporate Laws and regulations
- Drafted and reviewed Board and Committee agendas, notices, resolutions, and minutes.
- Coordinated and supported Board Meetings, AGMs, EGMs, and Committee meetings, including end-to-end documentation.
- Handled ROC filings, MCA submissions, and statutory returns, ensuring accuracy and timely compliance.
- Managed correspondence with shareholders, regulators, stock exchanges, and intermediaries.
- Assisted in drafting and updating corporate policies and governance frameworks.

Mitra Roy & Datta, Chartered Accountants – Kolkata

Audit Assistant

September 2017 – November 2019

- Assisted in statutory audits, internal audits, and compliance reviews for corporate clients.
- Analyzed financial statements and notes to accounts for accuracy and regulatory compliance.
- Supported GST & Income Tax compliance, return preparation, and statutory filings.
- Gained strong grounding in financial controls, audit processes, and statutory compliance.

### EDUCATION & PROFESSIONAL QUALIFICATIONS

Company Secretary (CS) – 2021

Institute of Company Secretaries of India (ICSI)

B.Com (Honours) – Accounting & Finance

University of Calcutta | First Class

### KEY ACHIEVEMENTS

- Awarded Analyst of the Quarter (twice) and Champion of the Month at HSBC for quality and governance adherence - maintained 100% quality standards across compliance reviews.
- Recognized by Risk Managers and Senior Management for audit and compliance support.

### DIGITAL & PRODUCTIVITY ENABLEMENT

- Using AI-assisted research and productivity tools to improve efficiency in KYC research, adverse media screening, documentation review, and regulatory information tracking, with strict adherence to data confidentiality requirements.
- Completed LinkedIn Learning certification in Prompt Engineering for Knowledge Work.
- Focused on responsible use of AI as a research and decision-support aid to enhance turnaround time and quality in AML/KYC and Financial Crime Risk workflows.

Govt ID #1

आयकर विभाग  
INCOME TAX DEPARTMENT

भारत सरकार  
GOVT. OF INDIA

KUSHAL PRASAD  
TARAK NATH PRASAD

01/06/1993  
Permanent Account Number  
CGTPP6594H

*Kushal Prasad*  
Signature



## Personal Information

Full Name	KUSHAL PRASAD
Former Name / Maiden Name	N/A
Mobile Number	8013261275
Father's Name	TARAK NATH PRASAD
Spouse's Name	CHANDNI AGARWAL
Date of Birth	01-06-1993
Age	32 years 9 months
Gender	male
Alternative Mobile Number	8910630861
Aadhar Card Number	617045291871
Aadhar Card Number	N/A
Pan Card Number	CGTPP6594H
Nationality	Indian
Marital Status	Married

## Permanent Address

House no	FLAT NO. B-7, 4th Floor
Street	390 Hossainpur
District	N/A
City	Kolkata
State	WB
Pincode	700107

## Current Address

House no	FLAT NO. B-7, 4th Floor
Street	390 Hossainpur
District	N/A
City	Kolkata
State	WB
Pincode	700107

## LATEST EMPLOYMENT 1

I am a Fresher and do not have any prior employment experience	
Name of the Employer:	HSBC ELECTRONIC DATA PROCESSING INDIA PVT. LTD.
Job Location:	Kolkata
Employee ID:	45200268
Designation:	CLM Specialist
UAN Number:	101753005334
From Date:	30-09-2021
To Date:	
Name of the Reporting Manager:	Faiz Ulla
Manager's Contact No:	9902766669
Manager's Contact Email:	faiz1.ulla@hsbc.co.in
Reasons for leaving:	Better Opportunity
HR Name:	
HR Contact No:	
HR Email ID:	hdp.careers@hsbc.co.in
Last Salary Drawn:	52861
Position Type:	permanent
Current Status:	serving_notice
Agency Name (if third party):	
Agency Details:	
Resignation Acceptance	0
Relieving Letter	0
Latest 3 months pay slip	1
I am a Fresher and do not have any prior employment experience	
Name of the Employer:	HSBC ELECTRONIC DATA PROCESSING INDIA PVT. LTD.
Job Location:	Kolkata
Employee ID:	45200268
Designation:	CLM Specialist
UAN Number:	101753005334

## LATEST EMPLOYMENT 1

From Date:	30-09-2021
To Date:	
Name of the Reporting Manager:	Faiz Ulla
Manager's Contact No:	9902766669
Manager's Contact Email:	faiz1.ulla@hsbc.co.in
Reasons for leaving:	Better Opportunity
HR Name:	
HR Contact No:	
HR Email ID:	hdpi.careers@hsbc.co.in
Last Salary Drawn:	52861
Position Type:	permanent
Current Status:	serving_notice
Agency Name (if third party):	
Agency Details:	
Resignation Acceptance	0
Relieving Letter	0
Latest 3 months pay slip	1

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## EX EMPLOYMENT 2

If you do not remember, please write 'NA'.	NIL
I haven't done my EX-EMPLOYMENT-2	
Name of the Employer:	MSTC LIMITED
Job Location:	KOLKATA
Employee ID:	NA
Designation:	MANAGEMENT TRAINEE
UAN Number:	NA
From Date:	18-11-2019
To Date:	30-04-2021
Name of the Reporting Manager:	Ajay Kumar Rai
Manager's Contact No:	
Manager's Contact Email:	csmstc@mstcindia.in
Reasons for leaving:	Training Completion
HR Name:	
HR Contact No:	
HR Email ID:	csmstc@mstcindia.in
Last Salary Drawn:	15000
Position Type:	Trainee
Agency Details:	
Resignation Acceptance	
Relieving Letter	1
Latest 3 months pay slip	
If you do not remember, please write 'NA'.	NIL
I haven't done my EX-EMPLOYMENT-2	
Name of the Employer:	MSTC LIMITED
Job Location:	KOLKATA
Employee ID:	NA
Designation:	MANAGEMENT TRAINEE
UAN Number:	NA
From Date:	18-11-2019
To Date:	30-04-2021
Name of the Reporting Manager:	Ajay Kumar Rai

## EX EMPLOYMENT 2

Manager's Contact No:	
Manager's Contact Email:	csmstc@mstcindia.in
Reasons for leaving:	Training Completion
HR Name:	
HR Contact No:	
HR Email ID:	csmstc@mstcindia.in
Last Salary Drawn:	15000
Position Type:	Trainee
Agency Details:	
Resignation Acceptance	
Relieving Letter	1
Latest 3 months pay slip	

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## PREVIOUS EMPLOYMENT 3

If you do not remember, please write 'NA'.	NIL
I haven't done my PREVIOUS EMPLOYMENT 3	
Name of the Employer:	MITRA ROY & DATTA
Job Location:	KOLKATA
Employee ID:	NA
Designation:	Semi-Qualified Assistant
UAN Number:	NA
From Date:	01-09-2017
To Date:	17-11-2019
Name of the Reporting Manager:	Kingsuk Datta
Manager's Contact No:	9433012581
Manager's Contact Email:	kingsuk.datta@rediffmail.com
Reasons for leaving:	Voluntary
HR Name:	
HR Contact No:	
HR Email ID:	kingsuk.datta@rediffmail.com
Last Salary Drawn:	20650
Position Type:	Permanent
Agency Details:	
Resignation Acceptance	
Relieving Letter	1
Latest 3 months pay slip	
If you do not remember, please write 'NA'.	NIL
I haven't done my PREVIOUS EMPLOYMENT 3	
Name of the Employer:	MITRA ROY & DATTA
Job Location:	KOLKATA
Employee ID:	NA
Designation:	Semi-Qualified Assistant
UAN Number:	NA
From Date:	01-09-2017
To Date:	17-11-2019
Name of the Reporting Manager:	Kingsuk Datta

## PREVIOUS EMPLOYMENT 3

Manager's Contact No:	9433012581
Manager's Contact Email:	kingsuk.datta@rediffmail.com
Reasons for leaving:	Voluntary
HR Name:	
HR Contact No:	
HR Email ID:	kingsuk.datta@rediffmail.com
Last Salary Drawn:	20650
Position Type:	Permanent
Agency Details:	
Resignation Acceptance	
Relieving Letter	1
Latest 3 months pay slip	

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## PREVIOUS EMPLOYMENT 4

If you do not remember, please write 'NA'.	NIL
I haven't done my PREVIOUS EMPLOYMENT 4	1
Name of the Employer:	
Job Location:	
Employee ID:	
Designation:	
UAN Number:	
From Date:	
To Date:	
Name of the Reporting Manager:	
Manager's Contact No:	
Manager's Contact Email:	
Reasons for leaving:	
HR Name:	
HR Contact No:	
HR Email ID:	hr@companyname.com
Last Salary Drawn:	
Position Type:	
Agency Details:	
Resignation Acceptance	
Relieving Letter	
Latest 3 months pay slip	
If you do not remember, please write 'NA'.	NIL
I haven't done my PREVIOUS EMPLOYMENT 4	1
Name of the Employer:	
Job Location:	
Employee ID:	
Designation:	
UAN Number:	
From Date:	
To Date:	
Name of the Reporting Manager:	

## PREVIOUS EMPLOYMENT 4

Manager's Contact No:	
Manager's Contact Email:	
Reasons for leaving:	
HR Name:	
HR Contact No:	
HR Email ID:	hr@companyname.com
Last Salary Drawn:	
Position Type:	
Agency Details:	
Resignation Acceptance	
Relieving Letter	
Latest 3 months pay slip	

## PREVIOUS EMPLOYMENT 5

If you do not remember, please write 'NA'.	NIL
I haven't done my PREVIOUS EMPLOYMENT 5	1
Name of the Employer:	
Job Location:	
Employee ID:	
Designation:	
UAN Number:	
From Date:	
To Date:	
Name of the Reporting Manager:	
Manager's Contact No:	
Manager's Contact Email:	
Reasons for leaving:	
HR Name:	
HR Contact No:	
HR Email ID:	hr@companyname.com
Last Salary Drawn:	
Position Type:	
Agency Details:	
Resignation Acceptance	
Relieving Letter	
Latest 3 months pay slip	
If you do not remember, please write 'NA'.	NIL
I haven't done my PREVIOUS EMPLOYMENT 5	1
Name of the Employer:	
Job Location:	
Employee ID:	
Designation:	
UAN Number:	
From Date:	
To Date:	
Name of the Reporting Manager:	

## PREVIOUS EMPLOYMENT 5

Manager's Contact No:	
Manager's Contact Email:	
Reasons for leaving:	
HR Name:	
HR Contact No:	
HR Email ID:	hr@companyname.com
Last Salary Drawn:	
Position Type:	
Agency Details:	
Resignation Acceptance	
Relieving Letter	
Latest 3 months pay slip	

## GRADUATION

I haven't done my Graduation	
College Name:	Heramba Chandra College
College Location:	Kolkata
University Name:	University of Calcutta
Major / Specialisation	Accounting & Finance
Course / Qualification:	B.Com (Hons) in Accounting & Finance
Part Time/ Full Time:	full_time
Roll Number / Register Number:	1043-61-0158
From:	01-07-2010
To:	
Marksheet	1
Provisional Certificate / Diploma / Other Certificates	
Degree Certificate	1
I haven't done my Graduation	
College Name:	Heramba Chandra College
College Location:	Kolkata
University Name:	University of Calcutta
Major / Specialisation	Accounting & Finance
Course / Qualification:	B.Com (Hons) in Accounting & Finance
Part Time/ Full Time:	full_time
Roll Number / Register Number:	1043-61-0158
From:	01-07-2010
To:	
Marksheet	1
Provisional Certificate / Diploma / Other Certificates	
Degree Certificate	1

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## 12TH STANDARD

I haven't done my 12th Standard	
School Name/College Name:	M. G. Rungta Academy
School Location:	Kolkata
Board Name/University Name:	WBCHSE
Major Subjects	Accounting
Course / Qualification:	Higher Secondary
Part Time/ Full Time:	full_time
Roll Number / Register Number:	406141-0196
From:	01-06-2008
To:	
Marksheet	1
I haven't done my 12th Standard	
School Name/College Name:	M. G. Rungta Academy
School Location:	Kolkata
Board Name/University Name:	WBCHSE
Major Subjects	Accounting
Course / Qualification:	Higher Secondary
Part Time/ Full Time:	full_time
Roll Number / Register Number:	406141-0196
From:	01-06-2008
To:	
Marksheet	1

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## 10TH STANDARD

I haven't done my 10TH Standard	
School Name/College Name:	M.G. Rungta Academy
School Location:	Kolkata
Board Name/University Name:	WBBSE
Major Subjects	English, Hindi, Science, History, Geography
Course / Qualification:	Madhyamik
Part Time/ Full Time:	full_time
Roll Number / Register Number:	A02391-0115
From:	01-02-2007
To:	
Marksheet	1
I haven't done my 10TH Standard	
School Name/College Name:	M.G. Rungta Academy
School Location:	Kolkata
Board Name/University Name:	WBBSE
Major Subjects	English, Hindi, Science, History, Geography
Course / Qualification:	Madhyamik
Part Time/ Full Time:	full_time
Roll Number / Register Number:	A02391-0115
From:	01-02-2007
To:	
Marksheet	1

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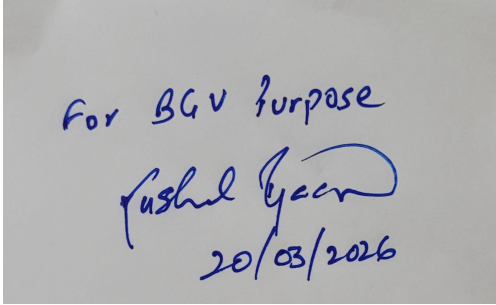
## Employment Deails

Years of Experience	
No of Employment	

## Declaration & Authorization

I hereby authorize GoldQuest Global HR Services Pvt Ltd and its representative to verify information provided in my application for employment and this employee background verification form, and to conduct enquiries as may be necessary, at the company's discretion. I authorize all persons who may have information relevant to this enquiry to disclose it to GoldQuest Global HR Services Pvt Ltd or its representative. I release all persons from liability on account of such disclosure.

I confirm that the above information is correct to the best of my knowledge. I agree that in the event of my obtaining employment, my probationary appointment, confirmation as well as continued employment in the services of the company are subject to clearance of medical test and background verification check done by the company.

Kushal Prasad	 The image shows a handwritten signature in blue ink on a grey background. The text of the signature reads: "For BGV purpose", "Kushal Prasad", and "20/03/2026".	20-03-2026
<b>Full name of the candidate</b>	<b>Signature</b>	<b>Date of form filled</b>

## Documents (Mandatory)

Education	Employment	Government ID / Address Proof
Photocopy of degree certificate and final mark sheet of all examinations.	Photocopy of relieving / experience letter for each employer mentioned in the form.	Aadhaar Card / Bank Passbook / Passport Copy / Driving License / Voter ID.

NOTE: If you experience any issues or difficulties with submitting the form, please take screenshots of all pages, including attachments and error messages, and email them to [onboarding@goldquestglobal.in](mailto:onboarding@goldquestglobal.in). Additionally, you can reach out to us at [onboarding@goldquestglobal.in](mailto:onboarding@goldquestglobal.in).