


AVISHEK MONDAL

Senior Associate

 Durgapur, West Bengal

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PROFILE

Compliance and Financial Crime Specialist with over 8 years of experience across digital banking, fintech, and financial services. Proven expertise in **sanctions screening, SAR drafting, crypto compliance, and fraud detection**, with hands-on proficiency in tools like **LexisNexis, World-Check, CLEAR**, and blockchain analytics. Adept at streamlining compliance workflows, mitigating risk, and ensuring audit-ready documentation. Demonstrated ability to uphold regulatory integrity under global frameworks including **FATF, FCA, BSA, Patriot Act, and GDPR**, while driving operational efficiency in high-risk environments.

CORE SKILLS

Compliance & Risk Management

- Regulatory adherence, risk identification, and mitigation strategies

KYC, KYB & AML Compliance (CDD/EDD, PEP Screening)

- Customer and business on-boarding, due diligence tiers, and politically exposed person checks.

Documentation & High-Risk Account Review

- Accurate record-keeping, enhanced due diligence, and escalation procedures.

PROFESSIONAL EXPERIENCE

Senior Associate

Capgemini

04/2025 – Present | Kolkata

- Ensured **audit-ready KYC documentation** and supported internal compliance reviews.
- Verified identity documents.
- Collaborated with financial institutions to **mitigate onboarding risks** and strengthen compliance workflows.
- Streamlined financial operations by embedding **AML/KYC checkpoints** into daily processes.

Senior Associate (Smart Lead)

Wipro

11/2019 – 07/2024 | Kolkata

- Led claims processing operations with strict compliance to **HIPAA, FCA, and AKS**.
- Managed EFT disbursements, ensuring accuracy and fraud prevention.
- Conducted risk assessments and drafted SARs for suspicious healthcare and financial transactions.
- Utilized **LexisNexis, World-Check, and CLEAR** for identity verification and CDD.
- Supported compliance documentation under the Bank Secrecy Act and Patriot Act.

Representative Operations

Concentrix Daksh Services

08/2017 – 10/2019 | Kolkata

- Provided KYC verification and compliance support for a leading Indian crypto trading platform.
- Monitored crypto transactions for suspicious activity and ensured adherence to AML/CFT guidelines.
- Supported user on-boarding and compliance workflows in a dynamic Fin-tech environment.
- Gained foundational exposure to blockchain transaction flows and crypto asset risk indicators.

Customer Relation Officer

Hinduja Global Solutions Limited

10/2015 – 01/2017 | Durgapur

- Provided frontline customer support for Airtel's digital banking services, assisting with mobile wallets, digital payments, and online account management, while ensuring full compliance with **KYC regulations**.
- Expertly utilized Microsoft Excel to track customer data, monitor emerging risk trends, and generate detailed reports for compliance and fraud prevention team.
- Supported the implementation of **secure payment infrastructure** for Airtel customers, ensuring that all touch-points complied with **KYC/AML protocols**, minimizing risk and enhancing customer trust.

CERTIFICATE

- Anti-Money Laundering Concepts AML KYC and Compliance [↗](#)
- Forensic Accounting and Fraud Examination – West Virginia University [↗](#)
- Anti Money Laundering (AML / CFT) Compliance Requirements [↗](#)
- Incident Response, Risk Management, and Compliance – Packt [↗](#)
- Excel Data Analytics in AML Financial Intelligence Analysis [↗](#)
- Financial Crime: Processes & Technology - Masterclass [↗](#)
- Inside BFSI: Structure, Challenges, and Technology - Board Infinity [↗](#)
- Foundations of Cybersecurity Google [↗](#)
- Ethical and Regulatory Implications of Generative AI Microsoft [↗](#)
- FATF Recommendations on High Risk Customers and Activities [↗](#)
- AI For Everyone - DeepLearning.AI [↗](#)

EDUCATION

Diploma in Hotel Management & Catering Technology

West Bengal State Council of Technical Education
03/2004 – 04/2007 | kolkata

Bachelor of Arts (Public Administration)

Indira Gandhi National Open University
07/2014 – 07/2017 | Durgapur

Master of Arts (Political Science)

Indira Gandhi National Open University
01/2020 – 12/2022 | Kolkata

CREDENTIALS

Financial Technology (Fintech) Innovations [↗](#)

University of Michigan

12/2025

Course Certificates Completed

- The Future of Payment Technologies
- Blockchain and Cryptocurrency Explained
- Raising Capital: Credit Tech, Coin Offerings, and Crowdfunding
- Innovations in Investment Technology: Artificial Intelligence

FinTech: Finance Industry Transformation and Regulation [↗](#)

The Hong Kong University of Science and Technology
06/2025

Course Certificates Completed

- FinTech Foundations and Overview
- FinTech Security and Regulation (RegTech)
- FinTech Risk Management
- FinTech Disruptive Innovation: Implications for Society

Regulatory Compliance [↗](#)

University of Pennsylvania

08/2025

Course Certificates Completed

- What is Compliance?
- Effective Compliance Programs
- Privacy Law and Data Protection
- What is Corruption: Anti-Corruption and Compliance

Risk Management [↗](#)

New York Institute of Finance

07/2025

Course Certificates Completed

- Introduction to Risk Management
- Credit Risk Management: Frameworks and Strategies
- Market Risk Management: Frameworks & Strategies
- Operational Risk Management: Frameworks & Strategies

Google Data Analytics Professional Certificate [↗](#)

Google

01/2026

Course Certificates Completed

- Foundations: Data, Data, Everywhere
- Ask Questions to Make Data-Driven Decisions
- Prepare Data for Exploration
- Process Data from Dirty to Clean
- Analyze Data to Answer Questions
- Share Data Through the Art of Visualization
- Data Analysis with R Programming
- Google Data Analytics Capstone: Complete a Case Study

LANGUAGES

English ● ● ● ● ●

Hindi ● ● ● ● ●

INTERESTS

PC Technology Enthusiast

Building custom rigs, pushing performance through overclocking, exploring hardware trends, and sharing their tinkering spirit within vibrant communities.

Current World Economic Affairs

I follow world economic affairs like tech trends—spotting growth bottlenecks, inflation cool-downs, and sustainability upgrades

Cybersecurity Fundamentals with Cisco Tools

Board Infinity

07/2025

Course Certificates Completed

- Understanding Cisco Cybersecurity Operations Fundamentals
- Risk Assessment and Management in Cybersecurity
- Advanced Cybersecurity Risk Management

Cyber Security: Essentials for Governance, Risk & Compliance

Macquarie University

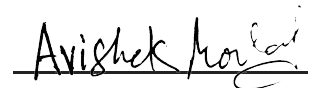
01/2026

Course Certificates Completed

- Cyber Security: GRC Part 1 - Governance
- Cyber Security: GRC Part 2 - Risk Management and Compliance
- Cyber Security: Incident Response - Theory to Practice

DECLARATION

I hereby declare that the information provided above is true and correct to the best of my knowledge and belief.



Avishek Mondal

Kolkata