

## CONFIDENTIAL BACKGROUND VERIFICATION REPORT

<b>Name of the Candidate</b>	Shefali Verma	<b>Client Name</b>	AML Rightsource India Pvt Ltd 2FY25
<b>Application ID</b>	GQ-AMLIPLN-531	<b>Report Status</b>	Closed
<b>Date of Birth</b>	16 Jun 1999	<b>Application Received</b>	31-01-2026
<b>Candidate Employee ID</b>	NA	<b>Insuff Cleared/Reopened</b>	NA
<b>Report Type</b>	FINAL	<b>Final Report Date</b>	18-02-2026
<b>Verification Purpose</b>	EMPLOYMENT	<b>Overall Report Status</b>	<b>GREEN</b>

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
LATEST EMPLOYMENT 1	Ankita Roy - ZHR	11-02-2026	<b>GREEN</b>
POST GRADUATION	Controller of Examinations	17-02-2026	<b>GREEN</b>
GRADUATION	Controller of Examinations	17-02-2026	<b>GREEN</b>
POLICE VERIFICATION	Palav Agarwal	31-01-2026	<b>GREEN</b>
EX EMPLOYMENT 2	Shamal Gawad-Human Resources	18-02-2026	<b>GREEN</b>
COURT VERIFICATION	Palav Agarwal-Advocate	31-01-2026	<b>GREEN</b>
CREDIT/CIBIL CHECK	Transunion CIBIL	31-01-2026	<b>GREEN</b>
UAN/ITR/FORM 26AS	PF PORTAL	18-02-2026	<b>GREEN</b>
NATIONAL IDENTITY 1	PAN Database	NIL	<b>GREEN</b>
GAP CHECK	Resume & Supported Documents	18-02-2026	<b>GREEN</b>
CRIMINAL DATABASE	Refinitiv / World Check One	31-01-2026	<b>GREEN</b>

### End of summary report

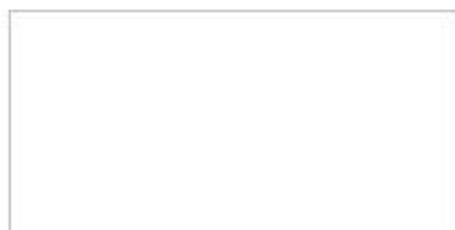
<b>Legend:</b>	<span style="color: red;">■</span> -Major discrepancy	<span style="color: yellow;">■</span> -Minor discrepancy	<span style="color: orange;">■</span> -Unable to verify	<span style="color: pink;">■</span> -Pending from source	<span style="color: green;">■</span> -All clear
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## LATEST EMPLOYMENT 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Name Of The Company:</b>	IIFL Securities Limited	IIFL Securities Limited
<b>Name Of The Candidate:</b>	Shefali Verma	Shefali Verma
<b>Emp Code:</b>	C601042	C601042
<b>From:</b>	15-12-2025	15-12-2025
<b>Designation:</b>	Deputy Manager	Deputy Manager
<b>Last Drawn Salary:</b>	Not Provided	5,93,000/-
<b>Reason For Leaving:</b>	Not Applicable	Health Issues
<b>Rehire Eligibility:</b>	Not Applicable	No
<b>Remarks:</b>	The above details were verified by Ankita Roy - ZHR of IIFL Securities Limited, found the employment as Genuine. Hence closing the check as Green and the same is furnished as Annexure.	
<b>Information Source Name:</b>	Ankita Roy - ZHR	
<b>Report Verified Date:</b>	11-02-2026	
<b>Verification Status(Color Code):</b>	GREEN	

## Annexure 1 (a)

	Candidate's Particulars	HR/Verifier Inputs
		(Please substantiate here)
Company Name	IIFL Securities Limited	IIFL Capital Services Limited
Candidate Name	Shefali Verma	OK
Employee Code/ID/No	C601042	OK
From (Date of Joining)	15-Dec-25	OK
To (Date of Exit/Relieving)	<b>Please Provide</b>	21-Jan-26
Designation (At the time of leaving the company):	Deputy Manager	OK
Salary/CTC:	Please Provide	5,93,000/-
Was this position: Permanent/Temporary/Contractual	Please Provide	Permanent
Supervisor's Name	Please Provide	Rathindra Nath Biswas
Reason for Leaving	Please Provide	Health Issues
Rehire Eligibility	(Please fill in the relevant information)	No
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	Yes
Kindly confirm attached Relieving letter /document is authenticate?	OK	
<b>Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)</b>	NA	
<b>Any Misappropriation of Fund ? (If "Yes" Please explain briefly)</b>	NA	
<b>DATA – BREACH of any kind</b>	NA	
<b>Any Important Point Apart from the Above if relevant in making right hiring decision, please lets us know:</b>	NA	
Verified By ( Name, Contact Number & Designation)	Ankita Roy - ZHR	



**Ankita Roy**  
Human Resources - East  
**8976864884**

1, Shakespeare Sarani, 8th Floor Airconditioned Market, Kolkata - 700071  
[www.iiflcapital.com](http://www.iiflcapital.com)



## POST GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Name Of The Institution:</b>	Vidyasagar University	Vidyasagar University
<b>Name Of The Candidate:</b>	Shefali Verma	Shefali Verma
<b>Enroll No:</b>	01612	01612
<b>Degree:</b>	Master of Business Administrations	Master of Business Administrations
<b>Major:</b>	Business Administrations	Business Administrations
<b>Month And Year Of Passing:</b>	2022	2022
<b>SI No:</b>	Not Provided	Not Provided
<b>Remarks:</b>	The following applicant details were verified by Controller of Examinations of Vidyasagar University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
<b>Information Source Name:</b>	Controller of Examinations	
<b>Report Verified Date:</b>	17-02-2026	
<b>Verification Status(Color Code):</b>	GREEN	

## Annexure 2 (a)

# VIDYASAGAR UNIVERSITY



0249

**BENGAL INSTITUTE OF BUSINESS STUDIES (BIBS)**

**MBA 4TH SEMESTER EXAMINATION 2022**

**IN**

**MASTER OF BUSINESS ADMINISTRATION**

The following is the statement of marks and grades obtained by **SHEFALI VERMA**

Roll PG/VUOAP01/MBA -IVS No. 270 Registration No 01612 of 2020-2021 in MBA 4th Semester Examination 2022

COURSE NO.	GROUP UNIT	COURSE TITLE	FULL MARKS	MARKS OBTAINED			LETTER GRADE	GRADE POINTS	CREDIT	CREDIT POINTS
				INTERNAL ASSESSMENT (20)	END SEMESTER EXAMINATION (100/80)	TOTAL				
<b>THEORY PAPERS</b>										
MBA 401		MINOR SPECIALISATION : DIGITAL MARKETING MANAGEMENT SOCIAL MEDIA MARKETING	100	18	68	86	A+	9	4	36
MBA 402		MAJOR SPECIALISATION : FINANCE MANAGEMENT INTERNATIONAL FINANCIAL MANAGEMENT	100	15	46	61	B+	7	4	28
MBA 403		MAJOR SPECIALISATION : FINANCE MANAGEMENT STRATEGIC FINANCIAL MANAGEMENT	100	17	45	62	B+	7	4	28
MBA 404		INTERNATIONAL BUSINESS	100	15	35	50	B	6	4	24
MBA 405		STRATEGIC MANAGEMENT	100	17	60	77	A	8	4	32
MBA 406		BUSINESS PLAN DEVELOPMENT	100	17	57	74	A	8	4	32
<b>TOTAL OF THEORY PAPERS</b>			<b>600</b>			<b>410</b>			<b>24</b>	<b>180</b>
<b>PRACTICAL PAPERS</b>										
MBA 407		DISSERTATION	100		56	56	B	6	4	24
MBA 408		GRAND VIVA	100		51	51	B	6	4	24
<b>TOTAL OF PRACTICAL PAPERS</b>			<b>200</b>			<b>107</b>			<b>8</b>	<b>48</b>
<b>TOTAL MARKS</b>			<b>800</b>			<b>517</b>			<b>32</b>	<b>228</b>
<b>SEMESTER - IV</b>		<b>SEMESTER</b>	<b>FULL MARKS</b>	<b>MARKS OBTAINED</b>	<b>% OF MARKS</b>	<b>RESULT</b>	<b>SGPA</b>	<b>REMARKS</b>		
<b>TOTAL PERCENTAGE (%) OF MARKS</b>	<b>RESULT</b>	<b>4th</b>	<b>800</b>	<b>517</b>	<b>64.63</b>	<b>SQ</b>	<b>7.13</b>	<b>Passed in First Class</b>		
<b>64.63</b>	<b>SQ</b>	<b>3rd</b>	<b>800</b>	<b>535</b>	<b>66.88</b>	<b>SQ</b>	<b>7.41</b>			
		<b>2nd</b>	<b>800</b>	<b>579</b>	<b>72.38</b>	<b>SQ</b>	<b>7.94</b>			
		<b>1st</b>	<b>800</b>	<b>607</b>	<b>75.88</b>	<b>SQ</b>	<b>8.13</b>			
<b>Final Result - (1st-4th Semester)</b>			<b>3200</b>	<b>2238</b>	<b>69.94</b>	<b>I</b>		<b>CGPA - 7.65</b>		

60% and above - 1st class, 40% and above but below 60% - 2nd class

**Controller Of Examinations**

Vidyasagar University  
Midnapore, West Bengal

*(Signature)*  
Chairman-Examination Cell  
BIBS

*(Signature)*  
Controller of Examinations.  
Vidyasagar University

*(Signature)*  
Prepared By

## GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Name Of The Institution:</b>	Ranchi University	Ranchi University
<b>Name Of The Candidate:</b>	Shefali Verma	Shefali Verma
<b>Enroll No:</b>	17NBA0401751	17NBA0401751
<b>Degree:</b>	Bachelor of Arts	Bachelor of Arts
<b>Major:</b>	Arts	Arts
<b>Month And Year Of Passing:</b>	2020	2020
<b>Certification Issued Date:</b>	2020-11	2020-11
<b>SI No:</b>	12033	12033
<b>Remarks:</b>	The following applicant details were verified by Controller of Examinations of Ranchi University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
<b>Information Source Name:</b>	Controller of Examinations	
<b>Report Verified Date:</b>	17-02-2026	
<b>Verification Status(Color Code):</b>	GREEN	

# Annexure 3 (a)



## RANCHI UNIVERSITY, RANCHI (Examination Department)

No. 20/ 12033  
Issue Date : 25-Jan-2021



### Statement of Marks

Following are the marks obtained by SHEFALI VERMA of GOSSNER COLLEGE, RANCHI Registration No. 17N01751 Roll No. 17NBA0401751 Session 2017-2020 in Bachelor of Arts English Honours 3 year degree course examination held in the month of October-2020

Semester1						Semester2						Semester3						
Subject Details	SESS	ESE	TOTAL	GRADE	G.P.	Subject Details	SESS	ESE	TOTAL	GRADE	G.P.	Subject Details	SESS	ESE	TOTAL	GRADE	G.P.	
C1 C1 English	21	53	74	A	8	C3 C3 English	23	47	70	A	8	C5 C5 English	19	42	61	A	8	
C2 C2 English	20	48	68	A	8	C4 C4 English	23	47	70	A	8	C6 C6 English	21	50	71	A	8	
GE1 GE1 Economics		69	69	A	8	GE2 GE2 Economics		57	57	B+	7	C7 C7 English	18	45	63	A	8	
AECC English Communication		57	57	B+	7	AECC-2 Environmental Science		79	79	A+	9	GE3 GE3 Economics		54	54	B	6	
					S.G.P.A						S.G.P.A						S.G.P.A	7.8
Semester4						Semester5						Semester6						
Subject Details	SESS	ESE	TOTAL	GRADE	G.P.	Subject Details	SESS	ESE	TOTAL	GRADE	G.P.	Subject Details	SESS	ESE	TOTAL	GRADE	G.P.	
C8 C8 English	21	45	66	A	8	C11 C11 English	22	44	66	A	8	C13 C13 English	23	51	74	A	8	
C9 C9 English	18	47	65	A	8	C12 C12 English	21	40	61	A	8	C14 C14 English	23	39	62	A	8	
C10 C10 English	21	50	71	A	8	DSE 1 DSE 1 English	20	47	67	A	8	DSE 3 DSE 3 English	21	42	63	A	8	
GE4 GE4 Economics		57	57	B+	7	DSE 2 DSE 2 English	20	49	69	A	8	DSE 4 DSE 4 English	19	47	66	A	8	
SEC 2 Business Communication		54	54	B	6						S.G.P.A						S.G.P.A	8
					S.G.P.A						S.G.P.A						S.G.P.A	7.62

Full Marks : 2600 Grand Total : 1699 Percentage : 65.35 Result : First Class CGPA : 7.8 Publication Date : 28-11-2020  
Hons. Full Marks : 1800 Hons. Total : 1207 Hons. Percentage : 67.06



*Shefali Verma*  
Tabulator

*Shefali Verma*  
Tabulator



*Rajish Kumar*  
Controller of Examinations

Full Full marks : 100 Pass marks : 60 Fail marks : 75 Pass marks : 20 Full marks : 50 Pass marks : 20 Full marks : 25 Pass marks : 10 and 150 End Semester Examination, Sess-Sectional, S.G.P.A-Semester Grade Point Average

## POLICE VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMLIPLN-531	GQ-AMLIPLN-531
Candidate Name:	Shefali Verma	Shefali Verma
Date Of Birth:	16-06-1999	16-06-1999
Father Name:	Vijay Kumar Verma	Vijay Kumar Verma
Address1:	Rajendra Apartment, 2nd floor, Jagatpuram colony, Kanke road, jhirda toli, Gandhinagar, near hotlips,Ranchi, Jharkhand,834006	Rajendra Apartment, 2nd floor, Jagatpuram colony, Kanke road, jhirda toli, Gandhinagar, near hotlips,Ranchi, Jharkhand,834006
Remarks:	The following applicant's details have been verbally verified, and the notary report has been duly stamped and signed by Palav Agarwal (Advocate), with comment on criminal record not found, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal	
Report Verified Date:	31-01-2026	
Verification Status(Color Code):	GREEN	

## Annexure 4 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMLIPLN-531
NAME OF THE APPLICANT	Shefali Verma
FATHER NAME	Vijay Kumar Verma
DATE OF BIRTH	16-June-1999
ADDRESS OF THE APPLICANT	Rajendra Apartment, 2nd floor, Jagatpuram colony, Kanke road, jhinga toli, Gandhinagar, near hotlips, Ranchi, Jharkhand, 834006
NAME OF THE POLICE STATION AS PER ADDRESS	Kanke Police Station
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	31-January-2026

### EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

#### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

#### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

#### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

## EX EMPLOYMENT 2

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Name Of The Company:</b>	ICICI Prudential Asset Management Company Limited	ICICI Prudential Asset Management Company Limited
<b>Name Of The Candidate:</b>	Shefali Verma	Shefali Verma
<b>Emp Code:</b>	IPAMC8012	IPAMC8012
<b>From:</b>	18-11-2022	18-11-2022
<b>To:</b>	29-11-2025	29-11-2025
<b>Designation:</b>	Relationship Manager - CEC	Relationship Manager - CEC
<b>Last Drawn Salary:</b>	Not Applicable	Not Disclosed
<b>Reason For Leaving:</b>	Not Applicable	Not Disclosed
<b>Rehire Eligibility:</b>	Not Applicable	Not Disclosed
<b>Remarks:</b>	The above details were verified by Shamal Gawad-Human Resources of ICICI Prudential Asset Management Company Limited, found the employment as Genuine. Hence closing the check as Green and the same is furnished as Annexure.	
<b>Information Source Name:</b>	Shamal Gawad-Human Resources	
<b>Report Verified Date:</b>	18-02-2026	
<b>Verification Status(Color Code):</b>	GREEN	

## Annexure 5 (a)

**From:** Shamal Gawad/HR/CSO <[Shamal\\_Gawad@icicjruamc.com](mailto:Shamal_Gawad@icicjruamc.com)>

**Sent:** 3 February 2026 03:24 PM

**To:** Parimala <[verifyemployment@goldquestglobal.in](mailto:verifyemployment@goldquestglobal.in)>

**Cc:** 'Jay' <[jay@goldquestglobal.in](mailto:jay@goldquestglobal.in)>; 'Aarti' <[aarti@goldquestglobal.in](mailto:aarti@goldquestglobal.in)>; HR OPS <[HROPS@icicjruamc.com](mailto:HROPS@icicjruamc.com)>; 'Verifyemployments' <[verifyemployments@gmail.com](mailto:verifyemployments@gmail.com)>; Neet Giri/HR/KOL-APEJY <[Neet\\_Giri@icicjruamc.com](mailto:Neet_Giri@icicjruamc.com)>

**Subject:** RE: Requesting for Ex-Employment Verification for candidate Shefali Verma - **GQ-AMLIPLN-531**

Hi,

Please find below details as requested.

Employee No	8012
DO Leaving	29-Nov-25
Employee Name	Shefali Verma
DoJ	18-Nov-22
Grade	J - Assistant Manager
Designation	Relationship Manager - CEC
Department	Customer Engagement
Sub Dept	Customer Engagement
Reporting Manager	Abhay Chowdhury

Regards,  
Shamal Gawad  
Human Resources

## Annexure 5 (b)

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	ICICI Prudential Asset Management Company Limited	
Candidate Name	Shefali Verma	
Employee Code/ID/No	IPAMC8012	
From (Date of Joining)	18/11/2022	
To (Date of Exit/Relieving)	29/11/2025	
Designation (At the time of leaving the company):	Relationship Manager - CEC	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Rehire Eligibility	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authentic?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA – BREACH of any kind	Please Provide	
Any Important Point Apart from the Above if relevant in making right hiring decision, please lets us know:	Please Provide	
Verified By ( Name, Contact Number & Designation)	Please Provide	

Kindly note that your inputs and feedback given would assist our client in making a prompt hiring decision and therefore we await your response for the same at the earliest.

## COURT VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMLIPLN-531	GQ-AMLIPLN-531
Candidate Name:	Shefali Verma	Shefali Verma
Date Of Birth:	16-06-1999	16-06-1999
Father Name:	Vijay Kumar Verma	Vijay Kumar Verma
Address1:	Rajendra Apartment, 2nd floor, Jagatpuram colony, Kanke road, jhirda toli, Gandhinagar, near hotlips,Ranchi, Jharkhand,834006	Rajendra Apartment, 2nd floor, Jagatpuram colony, Kanke road, jhirda toli, Gandhinagar, near hotlips,Ranchi, Jharkhand,834006
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal-Advocate	
Report Verified Date:	31-01-2026	
Verification Status(Color Code):	GREEN	

## Annexure 6 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMLIPLN-531
NAME OF THE APPLICANT	Shefali Verma
FATHER NAME	Vijay Kumar Verma
DATE OF BIRTH	16-June-1999
ADDRESS OF THE APPLICANT	Rajendra Apartment, 2nd floor, Jagatpuram colony, Kanke road, jhirga toli, Gandhinagar, near hotlips,Ranchi, Jharkhand,834006
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	31-January-2026

### EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

#### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

#### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

#### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

**CREDIT/CIBIL CHECK**

<b>PARTICULARS</b>	<b>APPLICATION DETAILS</b>	<b>REPORT DETAILS</b>
<b>Name Of The Candidate:</b>	Shefali Verma	Shefali Verma
<b>Pan Number:</b>	BRCPV3904J	BRCPV3904J
<b>CIBIL Transunion Score:</b>	Not Applicable	-1
<b>Scoring Factors:</b>	Not Applicable	Insufficient History to Score
<b>Personal Loan Score:</b>	Not Applicable	Not Applicable
<b>Status:</b>	Not Applicable	Green
<b>Verified By:</b>	Not Applicable	Transunion CIBIL
<b>Remarks:</b>	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
<b>Information Source Name:</b>	Transunion CIBIL	
<b>Report Verified Date:</b>	31-01-2026	
<b>Verification Status(Color Code):</b>	GREEN	

# Annexure 7 (a)

REPORT DATE & TIME : 31/01/2026 (13:23:01)

CONTROL NUMBER : 10474010558



## CONSUMER CIR

NUMBER ID : B500115009\_SHYDQ0194

REFERENCE NUMBER : : 11

### CONSUMER INFORMATION

CONSUMER NAME	: Shefali Verma	PAN	: BRCPV3904J
DOB	: 16/05/1990	DRIVING LICENSE NO	: -
TELEPHONE NO.	: -	VOTER ID	: -
EMAIL ID	: -	PASSPORT NO.	: -
GENDER	: Male	AADHAAR NUMBER	: -
ADDRESS	: DELHI, DELHI, DELHI - 110001		

### CIBIL TRANSUNION SCORE(S)

CREDITVISION®  
Score

**-1**

SCORING FACTORS  
Insufficient History To Score.

### CONSUMER ACCOUNT SUMMARY

No Consumer Summary available

### ENQUIRY SUMMARY

No Enquiry Summary available

### CREDITVISION® ALGORITHM(S)

No CreditVision Algorithm(s) available

## CONSUMER DETAILS

### CONSUMER INFORMATION

CONSUMER NAME : SHEFALI VERMA      D.O.B : 16/05/1990      GENDER : Male      CREDITVISION SCORE : -1

### IDENTIFICATION(S)

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
(1) PAN CARD	BRCPV3904J	-	-

(1) - IDENTIFICATION REPORTED FROM ENQUIRY

### TELEPHONE(S)

No Telephone Records available

### EMAIL CONTACT(S)

No Email Record available

### CONSUMER'S REPORTED ADDRESS(ES)

ADDRESS	CATEGORY	RESIDENCE CODE	DATE REPORTED
(1) DELHI, DELHI, DELHI - 110001	Permanent	-	31/01/2026

(1) - ADDRESSES REPORTED FROM ENQUIRY

## Annexure 7 (b)

### EMPLOYMENT INFORMATION

 No Employment Information available.

### CONSUMER ACCOUNT DETAILS

 No Consumer Account Details available.

### CONSUMER ENQUIRY DETAILS

#### ENQUIRIES

 No Enquiry Details available.

### GLOSSARY

CIR DATA GLOSSARY		
REPORT SECTION	KEY TERM / CODE	DESCRIPTION
Report name	-	Consumer CIR
Consumer Details	#	Enriched through Enquiry
Identification(s)	ID Types	Income Tax ID Number (PAN) Passport Number Voter ID Driver's License Number Ration Card Number Universal ID Number (UID)
Telephone(s) :	Telephone Types	Latest 4 Telephone details reported. Mobile phone Home Phone Office phone Not Classified
Email Contact(s) :	-	Latest 4 emails reported.
Employment Information(s) :	Occupation Codes	Latest Employment detail reported. Salaried Self Employed Professionals Self Employed Others
Address(es) :	Address Category	Latest 4 address reported. Permanent Address Residence Address Office Address Not categorized
Consumer Account Details:	Account Information	Active: Account not closed Inactive: Closed account Date Opened: Date of first disbursement Date Closed: Date of account closure Date reported E Certified: Most recent date reported by reporting member Last Payment Date: Most recent date a payment was made on the account.
Consumer Account Details:	Day Past Due/Asset Classification	Start date: Beginning of the payment history End Date: End of the payment history 000: Payment is made on the due date 001-900: Payment is missed by number of days from the due date STD: Payments being made within 90 days SMA: Special account created for reporting Standard Accounts moving toward Sub-Standard SUB: Payments being made after 90 days DBT: The account has remained Sub-Standard for 12 months LSS: The account where loss has been identified and remains uncollectible XXX: Data not reported by Institution
Consumer Account Details:	Information under dispute	Consumer has raised grievance request regarding issue in correctness of the data reported by Financial Institution
Enquiry Details :	Not Disclosed	Enquiry made with other Members

## UAN/ITR/Form 26AS

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Applicant Name:</b>	Shefali Verma	Shefali Verma
<b>Application Id:</b>	GQ-AMLIPLN-531	GQ-AMLIPLN-531
<b>UAN No:</b>	101745653496	101745653496
<b>Remarks:</b>	The following applicant details were verified through PF Portal & found the name of the Candidate, Father Name, Name of the Organisation, DOJ & Date of Exit. found the employment as Genuine. hence closing the check as GREEN and the same is furnished as annexure.	
<b>Information Source Name:</b>	PF PORTAL	
<b>UAN Generated Date:</b>	18-02-2026	
<b>Verification Status(Color Code):</b>	GREEN	

## Annexure 8 (a)

### UAN Details Report

**UAN** 101745653496  
**NAME** SHEFALI VERMA  
**Pan Number** BRCPV3904J  
**Mobile Number** NA  
**UAN No Count** 1  
**UAN Nos** 101745653496

### Work History

#	Member ID	Establishment Name	Date of Joining	Date of Exit
1	KDMAL00446990000029800	IIFL SECURITIES LIMITED	15-DEC-2025	
2	MHBAN00433690000017739	ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED	18-NOV-2022	29-NOV-2025
3	MHBAN00485690000025481	SBICAP SECURITIES LIMITED	18-OCT-2021	12-APR-2022

Generated on 01-02-2026 19:38:42 Report Runtime 01-02-2026 19:34:58

## NATIONAL IDENTITY 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Pan Card	Pan Card
Identity Number:	BRCPV3904J	BRCPV3904J
Verification Result:	Not Applicable	Green
Verified By:	Not Applicable	PAN Database
Remarks:	The PAN records were verified through tax information network, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PAN Database	
Verification Status(Color Code):	GREEN	

# Annexure 9 (a)

The screenshot shows the e-Filing portal interface. At the top left is the e-Filing logo with the tagline "Anytime Anywhere" and "Income Tax Department, Government of India". To the right are utility links for "Call Us", "English", font size controls (A, A+, A\*), and a "Login" button. A dark blue navigation bar contains links for "Home", "Individual/HUF", "Company", "Non-Company", "Tax Professionals & Others", "Downloads", and "Help". Below this, a breadcrumb trail reads "Home > e-Pay Tax". The main heading is "e-Pay Tax". A green success message states: "You have successfully verified through mobile OTP. Click Continue to make a new payment." Below the message is a table with two columns: "PAN / TAN" and "Name". The first row contains the values "BRCPV3904J" and "SXXXXXI VXXXXA". At the bottom, there are two buttons: a light blue "Back" button with a left arrow and a dark blue "Continue" button with a right arrow.

Home > e-Pay Tax

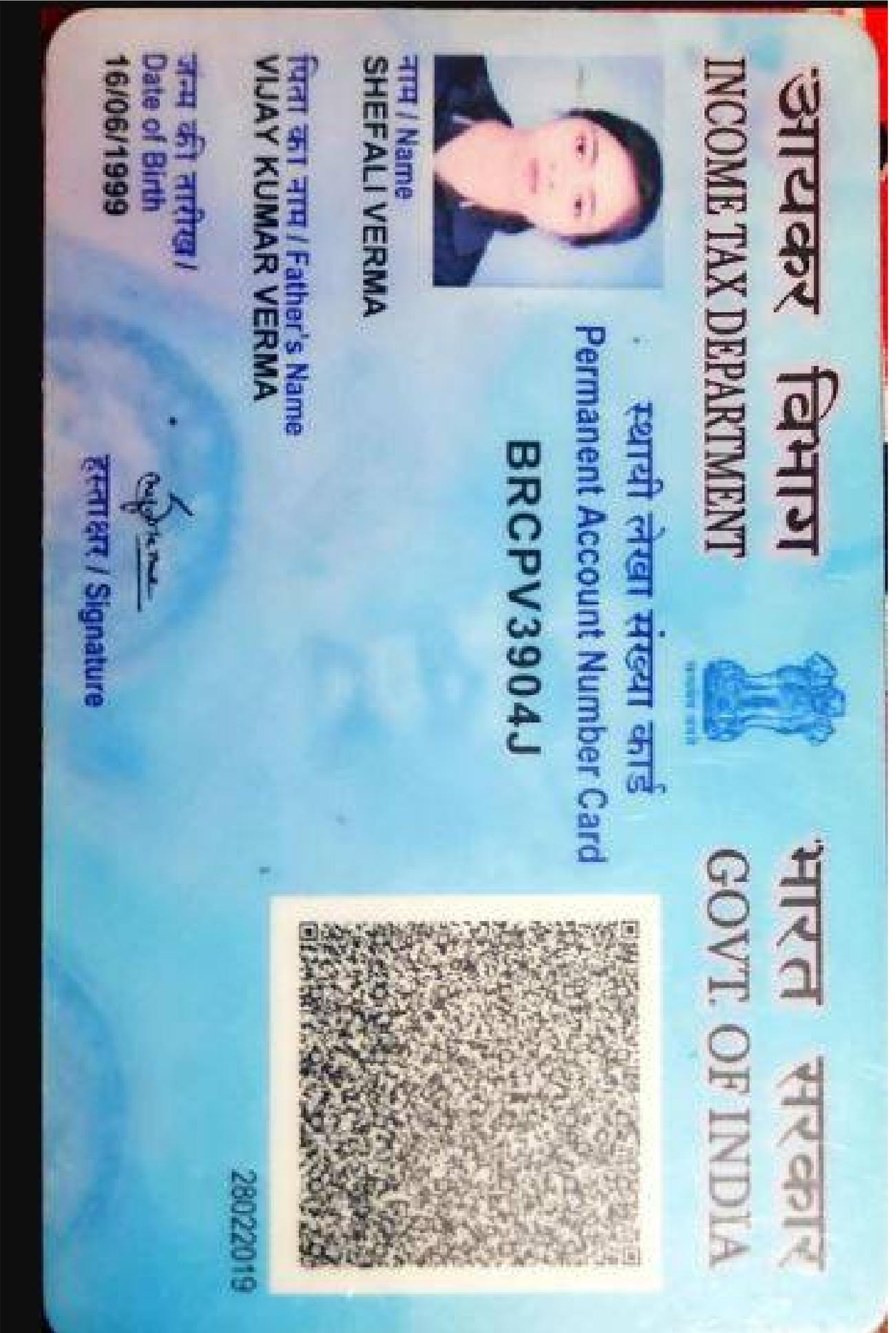
## e-Pay Tax

✔ You have successfully verified through mobile OTP. Click Continue to make a new payment.

PAN / TAN	Name
BRCPV3904J	SXXXXXI VXXXXA

< Back

Continue >



## GAP CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Applicant Name:</b>	Shefali Verma	Shefali Verma
<b>Application Id:</b>	GQ-AMLIPLN-531	GQ-AMLIPLN-531
<b>Employee Id:</b>	NA	NA
<b>Remarks:</b>	The following details were verified through resume & supported documents provided by the applicant, no GAP identified for the period of 6 months from any employment to employment in the overall work experience. Hence, closing the check as GREEN and the same is furnished as annexure.	
<b>Information Source Name:</b>	Resume & Supported Documents	
<b>Report Verified Date:</b>	18-02-2026	
<b>Verification Status(Color Code):</b>	GREEN	

## Annexure 10 (a)

### GAP VALIDATION

PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Shefali Verma	Shefali Verma
DOB	16-06-99	16-06-1999

Post Graduation		
Name of the Board / School	Vidyasagar University	Vidyasagar University
Course	MBA	MBA
Specialization / Major	Finance	Finance
Year of Passing	2022	2022
Gap Remarks: NA		

Graduation		
Name of the Board / School	Ranchi University	Ranchi University
Course	B.A.	B.A.
Specialization / Major	English	English
Year of Passing	2020	2020
Gap Remarks: NA		

## Annexure 10 (b)

12th std		
Name of the Board / School	Central Board of Secondary education	Central Board of Secondary education
Course	12th	12th
Specialization / Major	Nil	Nil
Year of Passing	2017	2017
Gap Remarks: NA		

10th Std		
Name of the Board / School	Central Board Of Secondary Education	Central Board Of Secondary Education
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2015	2015
Gap Remarks: NA		

EMPLOYMENT - 1		
Name of the Employer	IIFL CAPITAL	IIFL CAPITAL
Full Name of the Employee	Shefali Verma	Shefali Verma
Date of joining - From	15-12-25	15-12-25
Date of Relieving/Exit - To	21-01-26	21-01-26
Designation / Role	DEPUTY MANAGER	DEPUTY MANAGER
Gap Remarks: NA		

## Annexure 10 (c)

<b>EMPLOYMENT - 2</b>		
Name of the Employer	ICICI PRUDENTIAL AMC	ICICI PRUDENTIAL AMC
Full Name of the Employee	Shefali Verma	Shefali Verma
Date of joining - From	18-11-22	18-11-22
Date of Relieving/Exit - To	29-11-25	29-11-25
Designation / Role	ASSISTANT MANAGER	ASSISTANT MANAGER
<b>Gap Remarks: NA</b>		

<b>EMPLOYMENT - 3</b>		
Name of the Employer	SBICAP SECURITIES LIMITED	SBICAP SECURITIES LIMITED
Full Name of the Employee	Shefali Verma	Shefali Verma
Date of joining - From	18-10-21	18-10-21
Date of Relieving/Exit - To	12-04-22	12-04-22
Designation / Role	Intern	Intern
<b>Gap Remarks: NA</b>		

## CRIMINAL DATABASE

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
<b>Name Of The Candidate:</b>	Shefali Verma	Shefali Verma
<b>Remarks:</b>	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
<b>Information Source Name:</b>	Refinitiv / World Check One	
<b>Report Verified Date:</b>	31-01-2026	
<b>Verification Status(Color Code):</b>	GREEN	

# LSEG World-Check One

## CASE REPORT

Confidential

Name	Shefali Verma		
Case Rating	Not Rated		
World-Check Total Matches	NO MATCHES FOUND		
Case ID	GQ-AMLIPLN-531		
Current Group	World-Check		
Gender	Male	Date of Birth	16 Jun 1999
Last Screened	31 Jan 2026 07:35	Case Created	31 Jan 2026 07:35
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

### KEY FINDINGS

Total Matches	NO MATCHES FOUND
Unresolved Matches	0

Name: Shefali Verma  
 Date Printed: 31-01-2026, 07:37  
 Printed By: database check  
 Group: World-Check



## Annexure 11 (b)

### AUDIT

Date	Actioned By	Action	Notes	Source
31 Jan 2026 07:35	database check	Case Screened for Wor	Id-Check (Initial/Re-Screen Only)	Desktop Batch
31 Jan 2026 07:35	database check	New Case added		Desktop Batch

### NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

#### Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name: Shefali Verma  
Date Printed: 31-01-2026, 07:37  
Printed By: database check  
Group: World-Check



## Annexure 11 (c)

### Annexure A

<b>Criminal Records Database Checks - India</b>	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

### Annexure B

<b>Civil Litigation Database Checks – India</b>	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

### Annexure C

<b>Credit and Reputational Risk Database Checks – India</b>	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

### Annexure D

<b>Serious and Organized Crimes Database Checks – Global</b>	
Interpol Most Wanted	No record
<b>US &amp; Canada – Most Wanted Lists</b>	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record

## Annexure 11 (d)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
<b>Most Wanted Lists: Europe and Central Asia</b>	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

## Annexure 11 (e)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
<b>Most Wanted Lists: Africa</b>	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
<b>Most Wanted Lists: Asia Pacific</b>	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

## Annexure 11 (f)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

## Annexure E

<b>Global Regulatory Bodies</b>	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
<b>US and Canadian Regulatory Bodies</b>	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

## Annexure 11 (g)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

## Annexure 11 (h)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
<b>European Regulatory Bodies</b>	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
<b>Asia Pacific Regulatory Bodies</b>	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

## Annexure 11 (i)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

## Annexure 11 (j)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

### Annexure F

<b>Compliance Database</b>	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

### Annexure G

<b>Sanction &amp; PEP - Global</b>	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

### Annexure H

<b>Web and Media Searches – Global</b>	
Internet Searches	No record
Media Searches	No record

**Disclaimer**

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

**End of detail report**

