

CONFIDENTIAL BACKGROUND VERIFICATION REPORT

Name of the Candidate	Sebastian Chen	Client Name	AML Rightsource India Pvt Ltd 2FY25
Application ID	GQ-AMLIPLN-547	Report Status	Closed
Date of Birth	13 Jan 1999	Application Received	02-02-2026
Candidate Employee ID	NA	Insuff Cleared/Reopened	NA
Report Type	STOPCHECK	Final Report Date	10-02-2026
Verification Purpose	EMPLOYMENT	Overall Report Status	ORANGE

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
EX EMPLOYMENT 2	Dheeraj Kumar - Senior Analyst Program – HR Operations	02-02-2026	GREEN
GRADUATION	Techno India University	06-02-2026	GREEN
LATEST EMPLOYMENT 1	HR Operations	10-02-2026	GREEN
POLICE VERIFICATION	Palav Agarwal - Advocate	02-02-2026	GREEN
COURT VERIFICATION	Palav Agarwal - Advocate	02-02-2026	GREEN
UAN/ITR/FORM 26AS	PF Portal	30-12-2025	COMPLETED
GAP CHECK	Unable to Verify	10-02-2026	ORANGE
CREDIT/CIBIL CHECK	Transunion CIBIL	02-02-2026	GREEN
NATIONAL IDENTITY 1	National Voter Service Portal	09-02-2026	GREEN
CRIMINAL DATABASE	Refinitiv / World Check One	05-02-2026	GREEN

End of summary report

Legend:	 -Major discrepancy	 -Minor discrepancy	 -Unable to verify	 -Pending from source	 -All clear
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EX EMPLOYMENT 2

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	eClerx Services Limited	eClerx Services Limited
Name Of The Candidate:	Sebastian Chen	Sebastian Chen
Emp Code:	126364	126364
From:	23-05-2023	23-05-2023
To:	26-07-2024	26-07-2024
Designation:	Senior Analyst	Senior Analyst
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Better Prospect
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	The above details were verified by Dheeraj Kumar - Senior Analyst Program – HR Operations, eClerx Services Limited found the employment as genuine, Hence closing the check as Green and the same is furnished as annexure.	
Information Source Name:	Dheeraj Kumar - Senior Analyst Program – HR Operations	
Report Verified Date:	02-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 1 (a)



Mon 02-Feb-26 4:36 PM

verification <verification@eclerx.com>

RE: Ex-Employment verification for Sebastian Chen (1)-GQ-AMLIPLN-547- eClerx Services Limited

To Akshatha

Cc jay@goldquestglobal.in; 'ex employment'; verification

Hi Team,

PFB Details-

Employee Code	Employee Name	Designation	ENTERPRISE_DOJ	Revised Last Working Date	Reason
126364	Sebastian Chen	Senior Analyst	23-May-2023	26-Jul-2024	Better Prospect



Thanks & Regards

Dheeraj Kumar

Senior Analyst

Program – HR Operations

Dheeraj.Kumar04@eclerx.com

Mobile: 91.9211578372

Annexure 1 (b)



verification <verification@eclerx.com>

RE: Ex-Employment verification for Sebastian Chen (1)-GQ-AMLIPLN-547- eClerx Services Limited

To: Akshatha

Cc: jay@goldquestglobal.in; 'ex employment'; verification

Dear Sir/Madam,

Warm Greetings from GoldQuest Global!!

We are an Employee Background Verification Organisation. We have been authorized to conduct Ex-Employment Verification on behalf of an client organization of your former Employee **Sebastian Chen** for employment purposes. Following are the details provided by the candidate to an organization, which we would request you to please verify the details with your comments in the **HR/Verifier Inputs column**.

Client Name: Rainbow Hospital

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	eClerx Services Limited	
Candidate Name	Sebastian Chen	
From (Date of Joining)	23-May-2023	
To (Date of Exit/Relieving)	26 July 2024	
Designation (At the time of leaving the company):	Senior Analyst	
Employee Code/ID/No	126364	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Rehire Eligibility (if "NO" kindly confirm the reason)	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (if "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (if "Yes" Please explain briefly)	Please explain/comment briefly	
DATA - BREACH of any kind		
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:		
Verified By (Name, Contact Number & Designation)	Please Provide	

GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Techno India University	Techno India University
Name Of The Candidate:	Sebastian Chen	Sebastian Chen
Enroll No:	4081711266	4081711266
Degree:	Bachelor of Commerce	Bachelor of Commerce
Major:	Commerce	Commerce
Month And Year Of Passing:	2020	2020
Certification Issued Date:	2020-09	2020-09
SI No:	20/1001711266	20/1001711266
Remarks:	The following applicant details were verified by Techno India University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Techno India University	
Report Verified Date:	06-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 2 (a)



TECHNO INDIA UNIVERSITY
WEST BENGAL

EM 4, Sector - V, Salt Lake, Kolkata - 700091, West Bengal, India
Phone: (91) 33-2357-6163/64/84/2658/1094, Fax: +91 33 2357 1097

Serial No # 201001711266

PROVISIONAL CERTIFICATE

This is to certify that **SEBASTIAN CHEN**, Father's Name - **LT.FRANCIS CHEN**, bearing ID No. **17100104006**, Registration No. **1001711266** of the Session **2017-2018** has successfully completed **B.Com(H)** under **Techno India University, West Bengal** in the year **2020**. He / She has passed and secured **CGPA= 5.46**.

Kolkata, WB

Date of Issue : 18-09-2020



Binita

Controller of Examinations
Controller of Examinations
Techno India University, W.B.

LATEST EMPLOYMENT 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	BNY Mellon International Operations (India) Private Limited	BNY Mellon International Operations (India) Private Limited
Name Of The Candidate:	Sebastian Chen	Sebastian Chen
Emp Code:	100147678	Not Disclosed
From:	05-08-2024	05-08-2024
To:	17-11-2025	17-11-2025
Designation:	Associate, Compliance & Control Representative I	Associate, Compliance & Control Representative I
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Not Disclosed
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	The above details were verified by HR Operations-BNY Mellon International Operations (India) Private Limited, found the employment as genuine, Hence closing the check as Green and the same is furnished as annexure.	
Information Source Name:	HR Operations	
Report Verified Date:	10-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 3 (a)



Tue 10-Feb-26 7:53 AM

NOREPLYMYHRadhocreply <NOREPLYMYHRadhocreply@bnymellon.com>

Employment Verification Details - Sebastian Chen

To: ex-employment@goldquestglobal.in

Cc: jay@goldquestglobal.in; verifyemployments@gmail.com

Hi Team,

Please find below details for your reference.

Candidate's Particular	
Candidate's Name	Sebastian Chen
Company Name	BNY Mellon International Operations (India) Pvt. Ltd
Company Location	Pune
Date of Joining	05 August 2024
Date of Leaving	17 November 2025
Designation	Associate, Compliance & Control Representative I
Verified By	HR Operations

Human Resources


Annexure 3 (b)



Akshatha <ex-employment@goldquestglobal.in>

RE: Ex-Employment verification for Sebastian Chen (1)-GQ-AMLIPLN-547- BNY Mellon International Operations (India) Private Limited

To: bnymellonmyhrrequest@bnymellon.com

Cc: jay@goldquestglobal.in; 'ex-employment'

Message BNY.pdf (162 KB) LOA...JPG (63 KB)

Subject: Ex-Employment verification for Sebastian Chen (1)-GQ-AMLIPLN-547- BNY Mellon International Operations (India) Private Limited

Dear Sir/Madam,

Warm Greetings from GoldQuest Global!

We are an Employee Background Verification Organisation. We have been authorized to conduct Ex-Employment Verification on behalf of an client organization of your former Employee **Sebastian Chen** for employment purposes. Following are the details provided by the candidate to an organization, which we would request you to please verify the details with your comments in the **HR/Verifier Inputs column**.

Client Name: Rainbow Hospital

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	BNY Mellon International Operations (India) Private Limited	
Candidate Name	Sebastian Chen	
From (Date of Joining)	05-August-2024	
To (Date of Exit/Relieving)	17-November-2025	
Designation (At the time of leaving the company):	Associate, Compliance & Control Representative I	
Employee Code/ID/No	100147678	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Rehire Eligibility (if "NO" kindly confirm the reason)	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authentic?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA – BREACH of any kind		
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:		
Verified By (Name, Contact Number & Designation)	Please Provide	

POLICE VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMLIPLN-547	GQ-AMLIPLN-547
Candidate Name:	Sebastian Chen	Sebastian Chen
Date Of Birth:	13-01-1999	13-01-1999
Father Name:	Francis Chen	Francis Chen
Address1:	Cyber Sam, Near CST school, DM Muktan Road, Kalimpong, West Bengal -734301	Cyber Sam, Near CST school, DM Muktan Road, Kalimpong, West Bengal -734301
Remarks:	The following applicant details are verbally verified and the notary report duly stamped and signed by Palav Agarwal (Advocate) with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	02-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 4 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMLIPLN-547
NAME OF THE APPLICANT	Sebastian Chen
FATHER NAME	Francis Chen
DATE OF BIRTH	13-January-1999
ADDRESS OF THE APPLICANT	Cyber Sam, Near CST school, DM Moktan Road, Kalimpong, West Bengal,734301
NAME OF THE POLICE STATION AS PER ADDRESS	Kalimpong Police Station
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	02-February-2026

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

COURT VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMLIPLN-547	GQ-AMLIPLN-547
Candidate Name:	Sebastian Chen	Sebastian Chen
Date Of Birth:	13-01-1999	13-01-1999
Father Name:	Francis Chen	Francis Chen
Address1:	Cyber Sam, Near CST school, DM Muktan Road, Kalimpong, West Bengal -734301	Cyber Sam, Near CST school, DM Muktan Road, Kalimpong, West Bengal -734301
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	02-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 5 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMLPLN-547
NAME OF THE APPLICANT	Sebastian Chen
FATHER NAME	Francis Chen
DATE OF BIRTH	13-January-1999
ADDRESS OF THE APPLICANT	Cyber Sam, Near CST school, DM Mokran Road, Kalimpong, West Bengal,734301
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	02-February-2026

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

UAN/ITR/Form 26AS

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Sebastian Chen	Sebastian Chen
Application Id:	GQ-AMLIPLN-547	GQ-AMLIPLN-547
UAN No:	101677816329	101677816329
Remarks:	The following applicant details were verified through PF Portal & found the name of the Candidate, Father Name, Name of the Organization, DOJ & Date of Exit. found the employment as Genuine. hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PF Portal	
UAN Generated Date:	30-12-2025	
Verification Status(Color Code):	GREEN	

Annexure 6 (a)

UAN Details Report

UAN 101677816329
NAME Sebastian Chen
FATHER'S NAME NA

Work History

#	Member ID	Establishment Name	Date of Joining	Date of Exit
1	PUPUN01231720000039251	BNY MELLON INTERNATIONAL OPERATIONS (INDIA) PVT.LTD.,	05-Aug-2024	17-Nov-2025
2	THTHA02022930000039642	M/S ECLERX SERVICES LIMITED	23-May-2023	26-Jul-2024
3	PUPUN01218990000132590	CONCENTRIX DAKSH SERVICES INDIA PRIVATE LIMITED	29-Jul-2022	19-May-2023
4	MHBAN00432220000042934	KPMG ASSURANCE AND CONSULTING SERVICES LLP	08-Jun-2022	15-Jul-2022
5	WBCAL13116580000012435	SUN KNOWLEDGE PRIVATE LIMITED	01-Mar-2021	08-Nov-2021

Generated on 30-12-2025 15:01:17 Report Runtime 2025-12-30 15:01:06

GAP CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Sebastian Chen	Sebastian Chen
Application Id:	GQ-AMLIPLN-547	GQ-AMLIPLN-547
Employee Id:	NA	NA
Remarks:	Please note that the candidate failed to provide the Supporting documents for the verification, hence closing this check as Orange.	
Information Source Name:	Unable to Verify	
Report Verified Date:	10-02-2026	
Verification Status(Color Code):	ORANGE	

Annexure 7 (a)

GAP VALIDATION

PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Sebastian Chen	Sebastian Chen
DOB	13-01-99	13-01-99

Graduation

Name of the Board / School	Techno India University	Techno India University
Course	B.Com	B.Com
Specialization / Major	Accounting and Finance	Accounting and Finance
Year of Passing	2020	2020

Gap Remarks:NA

12th std

Name of the Board / School	Central Board of Secondary Education	Central Board of Secondary Education
Course	12th	12th
Specialization / Major	Nil	Nil
Year of Passing	2017	2017

Gap Remarks:NA

10th Std

Name of the Board / School	Council for the Indian School Certificate Examinations	Council for the Indian School Certificate Examinations
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2015	2015

Gap Remarks:NA

Annexure 7 (b)

EMPLOYMENT - 1

Name of the Employer	BNY MELLON INTERNATIONAL OPERATIONS (INDIA) PVT LTD	BNY MELLON INTERNATIONAL OPERATIONS (INDIA) PVT LTD
Full Name of the Employee	Sebastian Chen	Sebastian Chen
Date of joining - From	05-08-24	05-08-24
Date of Relieving/Exit - To	18-11-25	18-11-25
Designation / Role	ASSOCIATE - COMPLIANCE AND CONTROL 1	ASSOCIATE - COMPLIANCE AND CONTROL 1

Gap Remarks:At BNY Mellon, as per candidate experience letter DOR : 18-11-2025, but as per UAN Report DOR : 17-11-2025.As we are unable to get the details validated, hence closing the case as Orange.

EMPLOYMENT - 2

Name of the Employer	eClerx	eClerx
Full Name of the Employee	Sebastian Chen	Sebastian Chen
Date of joining - From	23-05-23	23-05-23
Date of Relieving/Exit - To	26-07-24	26-07-24
Designation / Role	Senior Analyst	Senior Analyst

Gap Remarks:NA

EMPLOYMENT - 3

Name of the Employer	Concentrix (Client IBM-Barclays)	Concentrix (Client IBM-Barclays)
Full Name of the Employee	Sebastian Chen	Sebastian Chen
Date of joining - From	29-07-22	29-07-22
Date of Relieving/Exit - To	19-05-23	19-05-23
Designation / Role	Senior Representative	Senior Representative

Gap Remarks:NA

Annexure 7 (c)

EMPLOYMENT - 4

Name of the Employer	KPMG	KPMG
Full Name of the Employee	Sebastian Chen	Sebastian Chen
Date of joining - From	08-06-22	08-06-22
Date of Relieving/Exit - To	15-07-22	15-07-22
Designation / Role	Analyst - Forensic CDD	Analyst - Forensic CDD

Gap Remarks:A Gap of 8 months identified between Sunknowledge(11-2021) & KPMG(06-2022).As we are unable to get the gap validated, hence closing the case as Orange.

EMPLOYMENT - 5

Name of the Employer	Sunknowledge Services	Sunknowledge Services
Full Name of the Employee	Sebastian Chen	Sebastian Chen
Date of joining - From	07-10-20	07-10-20
Date of Relieving/Exit - To	08-11-21	08-11-21
Designation / Role	Process Associate	Process Associate

Gap Remarks:At Sunknowledge services, as per candidate experience letter, DOJ : 07-10-2020, But as per UAN report DOJ : 01-03-2021.As we are unable to get the details validated, hence closing the case as Orange.

CREDIT/CIBIL CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Sebastian Chen	Sebastian Chen
Pan Number:	CNSPC5920J	CNSPC5920J
CIBIL Transunion Score:	Not Applicable	786
Scoring Factors:	Not Applicable	Not Provided
Personal Loan Score:	Not Applicable	Not Applicable
Status:	Not Applicable	GREEN
Verified By:	Not Applicable	Transunion CIBIL
Remarks:	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Transunion CIBIL	
Report Verified Date:	02-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 8 (a)

REPORT DATE & TIME : 02/02/2026 (18:49:48)

CONTROL NUMBER : 10486045169



CONSUMER CIR

MEMBER ID : Bs00115009_sHYD20194

REFERENCE NUMBER : II

CONSUMER INFORMATION

CONSUMER NAME	: Sebastian Chen	PAH	: CNePC5920J
DOB	: 13/01/1999	DRIVING LICENCE NO	: -
TELEPHONE NO.	: -	VOTER ID	: -
EMAIL ID	: -	PASSPORT NO.	: -
GENDER	: Male	AADHAAR NUMBER (UID)	: -
ADDRESS	: DELHI, DELHI, DELHI - 110001		

CIBIL TRANSUNION SCORE(S)

CREDITVISION® Score

Ranges from: 300 (High risk) to 900 (Low risk)



CONSUMER ACCOUNT SUMMARY

ACCOUNTS		BALANCES		ACCOUNT OPENED DATE	
Total	: 8	High Cr/Sanc. Amt	: 38,18,671	Recent	: 26/05/2025
Zero balance	: 5	Current	: 33,62,123	Oldest	: 24/09/2022
Overdue	: 0	Overdue	: 30		

ENQUIRY SUMMARY

TOTAL ENQUIRIES	MOST RECENT	PAST 30 DAYS	PAST 12 MONTHS	PAST 24 MONTHS
5	30/12/2025	0	2	2

CREDITVISION® ALGORITHM(S)

No CreditVision Algorithm(s) available.

CONSUMER DETAILS

CONSUMER INFORMATION

CONSUMER NAME : SEBASTIAN CHEN D.O.B : 13/01/1999 GENDER : Male CREDITVISION® SCORE : 786

IDENTIFICATION(S)

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
PAN CARD	CNePC5920J	-	-
CKYC	40013842622013	-	-

(e) - IDENTIFICATION REPORTED FROM ENQUIRY

Annexure 8 (b)

TELEPHONE(S)

TYPE	TELEPHONE NUMBER	TELEPHONE EXTENSION
Office Phone	8637361881	-
Mobile Phone	8637361881	-
Not Classified	8637361881	-

(e) - TELEPHONE REPORTED FROM ENQUIRY

EMAIL CONTACT(S)

SEBASTIAN.CHEN@BNY.COM
CHENSEBASTIAN54@GMAIL.COM

CONSUMER'S REPORTED ADDRESS(ES)

ADDRESS	CATEGORY	RESIDENCE CODE	DATE REPORTED
PRESTIGE ALPHATECH ASHOK NAGAR KHARADI, MAHARASHTRA - 411014	Office	Owned	31/05/2025
NA, PRESTIGE ALFA TECH YASHWANT NAGAR/ A, SHOK NAGAR KHARADI PUNE, . . . PUNE, PUNE, MAHARASHTRA - 411014	Not Categorized	-	15/02/2025
NA, OPP. JAYHANUMAN APARTMENT NEAR ZOLO, AMUSE LAN NO. 6 THITE NAGAR KHARADI PUNE, . . . PUNE, PUNE, MAHARASHTRA - 411014	Not Categorized	-	15/02/2025
SR 14.1 THITE NAGAR KHARADI PUNE NEAR BY. ZOLO AMUSE, MAHARASHTRA - 411014	Permanent	-	31/10/2024

(e) - ADDRESSES REPORTED FROM ENQUIRY

EMPLOYMENT INFORMATION

ACCOUNT TYPE (Date Reported)	OCCUPATION CODE	INCOME	NET/GROSS INCOME INDICATOR	MONTHLY/ANNUAL INCOME INDICATOR
PERSONAL LOAN (15/06/2025)	Salaried	Not Available	Not Available	Not Available

Annexure 8 (c)

CONSUMER ACCOUNT DETAILS

1. ACCOUNT

ACCOUNT INFORMATION		DATE OPENED : 26/05/2025	DATE CLOSED :	DATE REPORTED & CERTIFIED : 22/01/2026	ACTIVE ✔							
ACCOUNT TYPE : PERSONAL LOAN MEMBER NAME : NOT DISCLOSED ACCOUNT NUMBER : Not Disclosed OWNERSHIP : INDIVIDUAL		AMOUNTS SANCTIONED AMOUNT : ₹ 3,06,400 CURRENT BALANCE : ₹ 2,78,710 ACTUAL PAYMENT : ₹ 6,670		PAYMENT FREQUENCY : MONTHLY	STATUS : NA							
DAYS PAST DUE/ASSET CLASSIFICATION		START DATE : 01/01/2026		END DATE : 01/05/2025	LAST PAYMENT : 07/01/2026							
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2026	000	-	-	-	-	-	-	-	-	-	-	-
2025	-	-	-	-	000	000	000	000	000	000	000	000

2. ACCOUNT

ACCOUNT INFORMATION		DATE OPENED : 10/05/2025	DATE CLOSED :	DATE REPORTED & CERTIFIED : 22/01/2026	ACTIVE ✔							
ACCOUNT TYPE : CONSUMER LOAN MEMBER NAME : NOT DISCLOSED ACCOUNT NUMBER : Not Disclosed OWNERSHIP : INDIVIDUAL		AMOUNTS SANCTIONED AMOUNT : ₹ 41,300 CURRENT BALANCE : ₹ 4,836 ACTUAL PAYMENT : ₹ 4,900		PAYMENT FREQUENCY : MONTHLY	STATUS : NA							
DAYS PAST DUE/ASSET CLASSIFICATION		START DATE : 01/01/2026		END DATE : 01/05/2025	LAST PAYMENT : 05/01/2026							
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2026	000	-	-	-	-	-	-	-	-	-	-	-
2025	-	-	-	-	000	000	000	000	000	000	000	000

3. ACCOUNT

ACCOUNT INFORMATION		DATE OPENED : 12/02/2025	DATE CLOSED :	DATE REPORTED & CERTIFIED : 15/01/2026	ACTIVE ✔							
ACCOUNT TYPE : PERSONAL LOAN MEMBER NAME : NOT DISCLOSED ACCOUNT NUMBER : Not Disclosed OWNERSHIP : INDIVIDUAL		AMOUNTS SANCTIONED AMOUNT : ₹ 1,03,400 CURRENT BALANCE : ₹ 78,577		PAYMENT FREQUENCY : MONTHLY REPAYMENT TENURE : 36 EMI : ₹ 3,539 COLLATERAL TYPE : NO COLLATERAL	STATUS : NA							
DAYS PAST DUE/ASSET CLASSIFICATION		START DATE : 01/01/2026		END DATE : 01/02/2025	LAST PAYMENT : 05/01/2026							
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2026	000	-	-	-	-	-	-	-	-	-	-	-
2025	-	000	000	000	000	000	000	000	000	000	000	000

4. ACCOUNT

ACCOUNT INFORMATION		DATE OPENED : 22/10/2024	DATE CLOSED : 27/05/2025	DATE REPORTED & CERTIFIED : 31/05/2025	INACTIVE ✘
ACCOUNT TYPE : PERSONAL LOAN		AMOUNTS SANCTIONED AMOUNT : ₹ 2,04,278		PAYMENT FREQUENCY : MONTHLY	STATUS : NA

Annexure 8 (d)

ACCOUNT	AMOUNTS											
MEMBER NAME : NOT DISCLOSED	CURRENT BALANCE : ₹ 0											
ACCOUNT NUMBER : Not Disclosed	ACTUAL PAYMENT : ₹ 1,82,451											
OWNERSHIP : INDIVIDUAL												
DAYS PAST DUE/ASSET CLASSIFICATION START DATE : 01/05/2025 END DATE : 01/10/2024 LAST PAYMENT : 27/05/2025												
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	000	000	000	-	-	-	-	-	-	-
2024	-	-	-	-	-	-	-	-	-	000	000	000

5. ACCOUNT

ACCOUNT INFORMATION DATE OPENED : 15/07/2024 DATE CLOSED : 13/02/2025 DATE REPORTED & CERTIFIED : 15/02/2025			INACTIVE									
ACCOUNT	AMOUNTS		STATUS									
TYPE : PERSONAL LOAN	SANCTIONED AMOUNT : ₹ 62,000	PAYMENT FREQUENCY : MONTHLY	NA									
MEMBER NAME : NOT DISCLOSED	CURRENT BALANCE : ₹ 0	REPAYMENT TENURE : 36										
ACCOUNT NUMBER : Not Disclosed		EMI : ₹ 2,146										
OWNERSHIP : INDIVIDUAL		COLLATERAL TYPE : NO COLLATERAL										
DAYS PAST DUE/ASSET CLASSIFICATION START DATE : 01/02/2025 END DATE : 01/07/2024 LAST PAYMENT : 13/02/2025												
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	-	-	-	-	-	-	-	-	-	-
2024	-	-	-	-	-	-	000	000	000	000	000	000

6. ACCOUNT

ACCOUNT INFORMATION DATE OPENED : 14/11/2023 DATE CLOSED : 30/06/2024 DATE REPORTED & CERTIFIED : 30/06/2024			INACTIVE									
ACCOUNT	AMOUNTS		STATUS									
TYPE : CONSUMER LOAN	SANCTIONED AMOUNT : ₹ 793	PAYMENT FREQUENCY : MONTHLY	NA									
MEMBER NAME : NOT DISCLOSED	CURRENT BALANCE : ₹ 0	COLLATERAL TYPE : NO COLLATERAL										
ACCOUNT NUMBER : Not Disclosed												
OWNERSHIP : INDIVIDUAL												
DAYS PAST DUE/ASSET CLASSIFICATION START DATE : 01/06/2024 END DATE : 01/11/2023 LAST PAYMENT : 01/01/2024												
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2024	000	000	000	000	000	000	-	-	-	-	-	-
2023	-	-	-	-	-	-	-	-	-	-	000	000

7. ACCOUNT

ACCOUNT INFORMATION DATE OPENED : 10/11/2022 DATE CLOSED : 24/10/2024 DATE REPORTED & CERTIFIED : 31/10/2024			INACTIVE
ACCOUNT	AMOUNTS		STATUS
TYPE : PERSONAL LOAN	SANCTIONED AMOUNT : ₹ 1,00,000	PAYMENT FREQUENCY : MONTHLY	NA
MEMBER NAME : NOT DISCLOSED	CURRENT BALANCE : ₹ 0		
ACCOUNT NUMBER : Not Disclosed	ACTUAL PAYMENT : ₹ 47,188		
OWNERSHIP : INDIVIDUAL			
DAYS PAST DUE/ASSET CLASSIFICATION START DATE : 01/10/2024 END DATE : 01/11/2022 LAST PAYMENT : 24/10/2024			

Annexure 8 (e)

YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2024	000	000	000	000	000	000	000	000	000	000	-	-
2023	000	000	000	000	000	000	000	000	000	000	000	000
2022	-	-	-	-	-	-	-	-	-	-	000	000

8. ACCOUNT

ACCOUNT INFORMATION											DATE OPENED : 24/09/2022		DATE CLOSED : 24/12/2024		DATE REPORTED & CERTIFIED : 31/12/2024		INACTIVE	
ACCOUNT				AMOUNTS						STATUS								
TYPE : CONSUMER LOAN				SANCTIONED AMOUNT : ₹ 500		PAYMENT FREQUENCY : MONTHLY		STATUS : NA										
MEMBER NAME : NOT DISCLOSED				CURRENT BALANCE : ₹ 0		REPAYMENT TENURE : 84												
ACCOUNT NUMBER : Not Disclosed				ACTUAL PAYMENT : ₹ 497														
OWNERSHIP : INDIVIDUAL																		
DAYS PAST DUE/ASSET CLASSIFICATION											START DATE : 01/12/2024		END DATE : 01/09/2022		LAST PAYMENT : 02/11/2022			
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC						
2024	000	000	000	000	000	000	000	000	000	000	000	000						
2023	000	000	000	000	000	000	000	000	000	000	000	000						
2022	-	-	-	-	-	-	-	-	000	000	000	000						

CONSUMER ENQUIRY DETAILS

ENQUIRIES

MEMBER NAME	ENQUIRY DATE	ENQUIRY PURPOSE	ENQUIRY AMOUNT
sBI	30/12/2025	OTHERs	₹ 1
NOT DISCLOSED	08/02/2025	PERSONAL LOAN	₹ 1,03,400
NOT DISCLOSED	17/10/2024	PERSONAL LOAN	₹ 50,000
NOT DISCLOSED	14/07/2024	PERSONAL LOAN	₹ 62,000
NOT DISCLOSED	28/12/2023	CREDIT CARD	₹ 1,000

Annexure 8 (f)

GLOSSARY

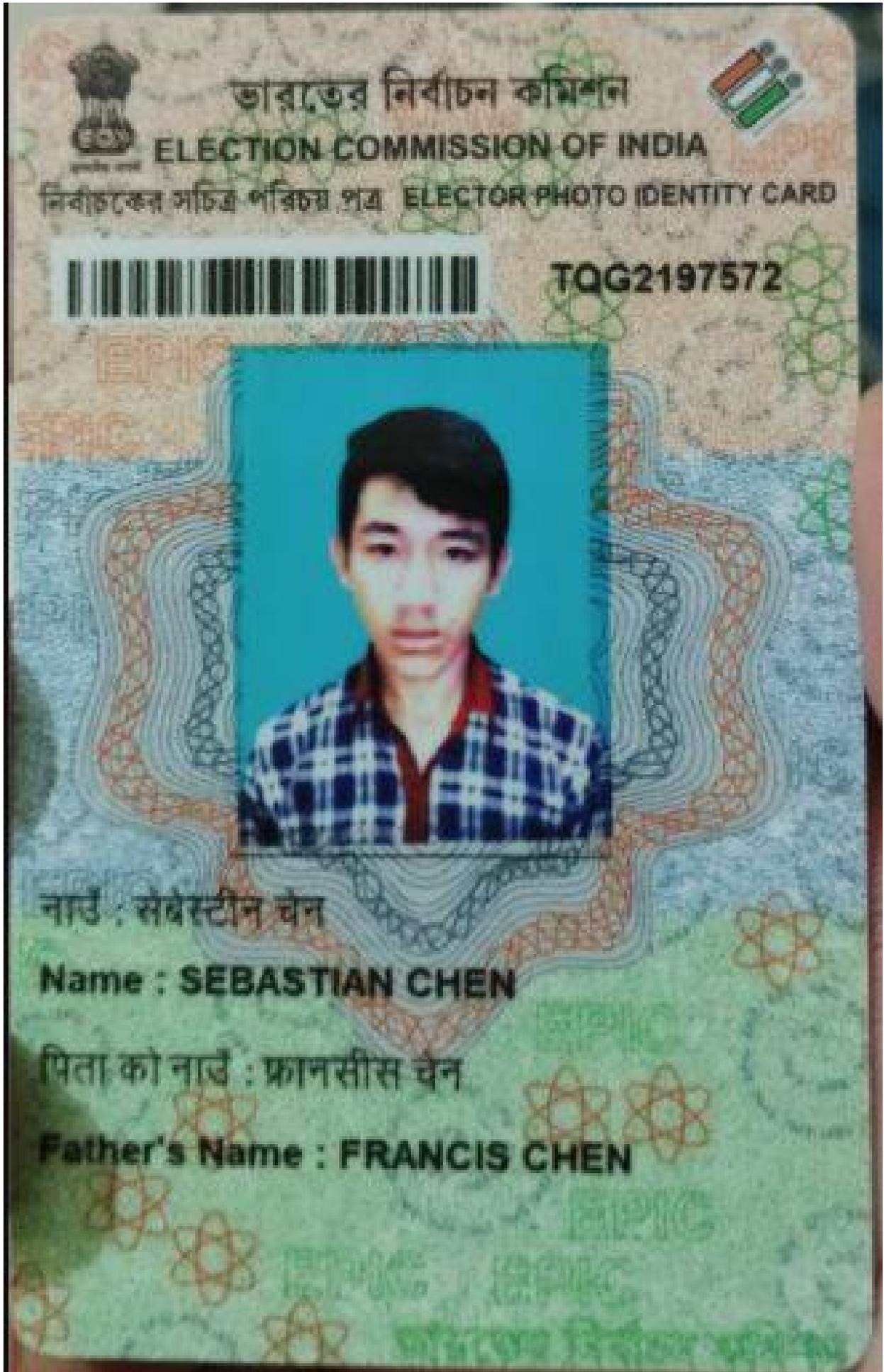
CIR DATA GLOSSARY		
REPORT SECTION	KEY TERM / CODE	DESCRIPTION
Report name	-	Consumer CIR
Consumer Details	e	Enriched through Enquiry
Identification(s)	ID Types	Income Tax ID Number (PAN) Passport Number Voter ID Driver's License Number Ration Card Number Universal ID Number (UID)
Telephone(s) :	Telephone Types	Latest 4 Telephone details reported. Mobile phone Home Phone Office phone Not Classified
Email Contact(s) :	-	Latest 4 emails reported.
Employment Information(s) :	Occupation Codes	Latest Employment detail reported. Salaried Self Employed Professionals Self Employed Others
Address(es) :	Address Category	Latest 4 address reported. Permanent Address Residence Address Office Address Not categorized
Consumer Account Details:	Account Information	Active: Account not closed Inactive: Closed account Date Opened: Date of first disbursement Date Closed: Date of account closure Date reported & Certified: Most recent date reported by reporting member Last Payment Date: Most recent date a payment was made on the account.
Consumer Account Details:	Day Past Due/Asset Classification	Start date: Beginning of the payment history End Date: End of the payment history 000: Payment is made on the due date 001-900: Payment is missed by number of days from the due date STD: Payments being made within 90 days SMA: Special account created for reporting Standard Accounts moving toward Sub-Standard SUB: Payments being made after 90 days DBT : The account has remained Sub-Standard for 12 months LSS : The account where loss has been identified and remains uncollectable XXX : Data not reported by Institution
Consumer Account Details:	Information under dispute	Consumer has raised grievance request regarding issue in correctness of the data reported by Financial Institution
Enquiry Details :	Not Disclosed	Enquiry made with other Members

END OF REPORT ON SEBASTIAN CHEN

NATIONAL IDENTITY 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Voter ID	Voter ID
Identity Number:	TQG2197572	TQG2197572
Verification Result:	Not Applicable	GREEN
Verified By:	Not Applicable	National Voter Service Portal
Remarks:	The following applicant details were verified through national voter service portal, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	National Voter Service Portal	
Report Verified Date:	09-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 9 (a)



Annexure 9 (b)

Search Result : 1

5

Search...

S. NO.	Epic Number	Name	Age	Relative Name	State	District	Assembly Constituency	Part	Polling Station	Part Serial Number	Action
1	TQG2197572	SEBASTIAN CHEN सेबेस्टीन चेन	26	FRANCIS CHEN फ्रानसीस चेन	West Bengal	23- Kalimpong	22-Kalimpong	92- C.S.T. School	C.S.T. School , Room 3 , C. S. T	413	View Details

Showing 1 to 1 of 1 entries

CRIMINAL DATABASE

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Sebastian Chen	Sebastian Chen
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	05-02-2026	
Verification Status(Color Code):	GREEN	

LSEG World-Check One

CASE REPORT

Confidential

Name	Sebastian Chen		
Case Rating	Not Rated		
World-Check Total Matches	NO MATCHES FOUND		
Case ID	GQ-AMLIPLN-547		
Current Group	World-Check		
Gender	Male	Date of Birth	13 Jan 1999
Last Screened	05 Feb 2026 07:29	Case Created	05 Feb 2026 07:29
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

KEY FINDINGS

Total Matches	NO MATCHES FOUND
Unresolved Matches	0

Name Sebastian Chen
 Date Printed 05-02-2026, 07:31
 Printed By database check
 Group World-Check



Annexure 10 (b)

AUDIT

Date	Actioned By	Action	Notes	Source
05 Feb 2026 07:29	database check	Case Screened for World-Check (Initial/Re-Screen Only)		Desktop Batch
05 Feb 2026 07:29	database check	New Case added		Desktop Batch

NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name Sebastian Chen
Date Printed 05-02-2026, 07:31
Printed By database check
Group World-Check



Annexure 10 (c)

Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
US & Canada – Most Wanted Lists	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record

Annexure 10 (d)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
Most Wanted Lists: Europe and Central Asia	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

Annexure 10 (e)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
Most Wanted Lists: Africa	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
Most Wanted Lists: Asia Pacific	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

Annexure 10 (f)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
US and Canadian Regulatory Bodies	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

Annexure 10 (g)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

Annexure 10 (h)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
European Regulatory Bodies	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
Asia Pacific Regulatory Bodies	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

Annexure 10 (i)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

Annexure 10 (j)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

End of detail report

