

Lokesh Kumar Sharma

+91 9990390868 | Lsharma.info@gmail.com | linkedin.com/in/contactlokesh | Gurugram, Haryana

PROFILE

Certified Anti-Money Laundering Specialist (CAMS) and Six Sigma Green Belt professional with nine years of expertise in AML, KYC, Transaction Monitoring, CDD, and Fraud Risk Management. Skilled in risk assessment, fraud detection, compliance framework enhancement, and AML control implementation. Experienced in identifying suspicious activities, ensuring regulatory adherence, and optimizing processes using Six Sigma methodologies. Currently at Genpact, specializing in due diligence, fraud detection, and transaction monitoring to mitigate financial crime risks. Seeking to leverage my expertise in compliance, risk management, and process improvement in a dynamic organization.

EDUCATION

Master of Business Administration | Finance & Marketing **2013 - 2015**
Guru Gobind Singh Indraprastha University (GGSIPU), Delhi
Army Institute of Management & Technology, Greater Noida

Bachelor of Business Administration **2009 - 2012**
Jiwaji University, Gwalior
Institute of Allied Sciences & Computer Applications, Gwalior

SKILLS

- AML Investigation
 - Customer Risk Profiling
- Data Analysis
 - Attention to Detail
- Training & Development
 - Continuous Improvement

WORK EXPERIENCE

Business Analyst | Genpact India Private Limited | Gurugram **Jan 2024 – Present**

- Assessed transactions by reviewing customer profiles, affiliations, and financial activities using internal systems and open-source intelligence (OSINT) to identify red flags related to money laundering, terrorist financing, and other illicit activities.
- Investigated escalated cases, verified Customer Due Diligence (CDD) documentation, and provided risk-based recommendations for potential Suspicious Activity Report (SAR) filings.
- Conducted in-depth transaction monitoring and analysis of flagged activities to detect unusual patterns, structuring, layering, and sanctions violations, ensuring compliance with AML regulations.
- Performed internal quality reviews of case investigations, ensuring regulatory adherence, tracking productivity, and providing performance feedback to enhance workflow efficiency.
- Maintained subject matter expertise in AML and financial crime compliance, collaborated with internal stakeholders, and provided guidance to junior analysts to enhance investigative processes and ensure regulatory compliance.

Lead Associate Operations | WNS Global Services Private Limited | Gurugram **April 2021 – Jan 2024**

Senior Associate Operations | WNS Global Services Private Limited | Gurugram **May 2017 – March 2021**

- Compiled and maintained detailed financial institution records by sourcing and verifying information from internal systems, corporate websites, and regulatory databases.
- Ensured the accuracy and completeness of KYC records, Customer Identification Program (CIP) documents, and due diligence reports, adhering to compliance standards and regulatory requirements.
- Conducted real-time investigations on financial institutions, gathering insights to enhance a leading U.S.-based Financial Crime Compliance tool and support risk assessment processes.
- Performed in-depth research on companies and industries across global financial markets, analyzing market trends, risk indicators, and regulatory developments to strengthen compliance strategies.
- Supported case escalations, conducted quality reviews, and collaborated with internal stakeholders to improve compliance workflows and mitigate financial crime risks.

Research Analyst | Digital IP Insights Private Limited | NOIDA

Feb 2016 - April 2017

- Conducted sanctions screening against global watchlists, including OFAC, EU, HMT, and other international regulatory bodies, ensuring full compliance with AML and financial crime regulations.
- Reviewed sanctions-related documentation, assessed potential matches and false positives, and ensured adherence to regulatory standards and internal compliance policies.
- Performed due diligence on high-risk individuals and business entities, analyzing affiliations, ownership structures, and risk exposure related to sanctioned entities.
- Compiled and maintained detailed profiles of sanctioned entities by sourcing information from official websites, corporate records, subsidiaries, M&A transactions, branches, and aliases.
- Conducted real-time investigations to gather intelligence on sanctioned individuals and organizations, contributing to updates for a leading U.S.-based Financial Crime Compliance tool.

Research Analyst | Randstad India (Deputed to KPMG) | Gurugram

July 2015 - Jan 2016

- Conducted Politically Exposed Person (PEP) research, analyzing high-risk individuals and preparing detailed risk reports for the Risk and Compliance team.
- Performed comprehensive secondary research, extracting key insights from global databases, regulatory filings, and open-source intelligence (OSINT) to assess potential financial crime risks.
- Conducted in-depth background investigations and adverse media screening on entities and individuals, utilizing targeted web search methodologies and regulatory data sources.
- Maintained and updated PEP databases for a leading U.S.-based risk intelligence tool, ensuring data accuracy, regulatory compliance, and alignment with evolving AML/KYC requirements.

CERTIFICATION

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|---|-----------------------|
| • Certified Anti-Money Laundering Specialist (CAMS), ACAMS | Sept 2023 - Sept 2026 |
| • Leadership Skills and Team Management, Alison | Feb 2023 |
| • Lean Six Sigma Green Belt, KPMG | Dec 2022 |
| • AML/KYC Certification, Indian Institute of Banking & Finance (IIBF) | Oct 2022 |
| • Open-Source Intelligence (OSINT) Certification, Basel Institute on Governance | Feb 2022 |

AWARDS

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|--------------------------------|--|--------------------------------------|
| • Bronze Award, Genpact (2024) | • Victory Fleet and Up & Ahead, WNS (2021) | • Excel at Work, WNS (2019) |
| • Star Performer, WNS (2023) | • Live the Values, WNS (2020) | • Operational Excellence, WNS (2018) |

DECLARATION

I hereby declare that the information provided above is accurate and truthful to the best of my knowledge and belief.

Place: Gurugram

Name: Lokesh Kumar Sharma