

Shubham Sharma

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Date of Birth: - 12th Jan 1997

CAREER OBJECTIVE

Solution oriented financial research analyst eager towards developing my career further with my core competencies of research, scrutiny, analysis of data.

PROFESSIONAL EXPERIENCE

TEKsystems Global Services Pvt Ltd. - Senior Support Analyst (23rd, Oct 2024 – 4th, April 2025)

- Financial Crimes Triage Level 1 Analyst is responsible for the initial review of alerts generated in response to banks transaction monitoring, KYC, and/or Fraud systems.
- Review and analyze alerts generated by the bank's detection systems. This includes understanding the nature of the alert, the transaction details, and the parties involved.
- Escalate suspicious alerts to the L2 Investigation team for further review and potential SAR filing reporting to regulatory bodies.
- Managed AML compliance program, including risk assessments, transaction monitoring, and reporting which involves analyzing large volumes of financial transactions to identify patterns indicative of money laundering or fraudulent activity.
- Conducted regular sanction screenings to ensure compliance with global regulations.

American Express India Pvt Ltd. - Senior Fraud Analyst (18th, Jul 2023 – 12th, Sep 2023)

Key Responsibility Area: -

- Perform manual fraud review to detect fraudulent transactions.
- Review queued transactions and independently determine if the reviewed transactions are fraudulent or legitimate.
- Handling client queries via email and phone hotline.
- Participate in internal / external training needs. Educate clients on upcoming changes.
- Ensuring accurate input of static data client profile configurations are processed daily and the team meets assigned targets and SLA's
- • Work with peers and Managed Services leadership to communicate fraud trends and share best practices, ideas, and information.

HTCGLOBAL SERVICES - Associate (18th, Feb 2022 – 7th, July 2023)

Key Responsibility Area: -

- Operational support basis customer requirements on one or more products. This includes setting up of accounts and users, researching entities, conducting outreach to support global investment banks or asset managers on their regulatory and compliance needs.
- Coordinate and conduct project specific tasks in line with the Uncleared Margin Rules (UMR – P6 Implementation).
- Assisting Buy Side and Sell Side in data exchanging.
- Determined and confirmed derivative trade regulations and term handling operational process.

Wipro Ltd– Associate Analyst (17th, June 2019 –10th Dec 2021)

Key Responsibility Area

- Technical analysis & fundamental research on company filings 10K, 10Q, DEF 14A, Annual General Meeting & extraction of financial & non-financial data for capturing the information into Bloomberg Terminal.
- Scrutinize the Mergers & Acquisition of the company during a period of year to examine net increase in the EBITDA/EBIT from the preceding year.
- Scrutinize the decision made on the AGM of top fortune 500 Companies and analyze the impact of AGM in share price of the company.
- Resides responsibilities of helping the new joiners in understanding & polishing process related activities.
- Knowledge of capital market research tool – Bloomberg.
- Ensuring accuracy and completeness of analysis and data integrity.

EDUCATION

| Year | Degree | Institution | Specialization |
|------|--------|---|----------------|
| 2018 | B.B.A. | Guru Gobind Singh Indraprastha University | GENERAL |
| 2015 | XII | Bharat National Public School | GENERAL |
| 2013 | X | KD Field Public School | GENERAL |

INTERNSHIP

Name of the company : Sanjeev Hari Nandan & Associates.
Designation : Trainee
Project Title : Updated multiple accounts of companies like Debtor A/c, Creditor A/c, CashA/c.
Duration : June 2017- Jul 2017

CERTIFICATION

- BSE Certification in Investment Banking & Operations.

ACHIEVEMENTS

- Awarded with **Star of the month** for performing best in the month of January 2020, May 2021 and June 2021 help the organization meet goals with quality.
- Volunteered for various events during college for youth fest.
- Participated in various cultural events during school and college.

HOBBIES AND EXTRA CURRICULAR INTERESTS

- Photography & Cosmology.
- Certificate of participation in college annual fest (Varchasva).
- Training camp at Manesar, Gurgaon for a week to learn discipline and punctuality.
- Community involvement.

SKILLS AND COMPETENCIES

- **Personality Traits:** Creative and analytical mindset
- **Organizational Abilities:** Strong work ethic, flexible & adaptable to switch between team player
- **IT Skills:** MS Excel, Bloomberg terminal and data analysis
- **Domain Skills:** Knowledge of stock exchange & trading
- Learned basics of derivatives - future & option market.