



SANDEEP MISHRA

bepositivesocool@gmail.com | 9654945320 | New Delhi India

Summary

A highly accomplished and detail-oriented AML Analyst with 6 years of professional experience in conducting financial crime investigations and developing money laundering policies. Possesses expertise in analyzing financial transactions and detecting signs of suspicious activity. Experienced in managing and analyzing high-volume data, identifying potential risks and implementing effective solutions. Possesses excellent research, analytical and problem-solving skills, and the ability to communicate effectively with stakeholders. Skilled at identifying and analysing suspicious activity, ensuring compliance with legal regulations, and preparing detailed reports for regulatory bodies. Strengths include risk assessment, data analysis, and collaborative problem-solving, contributing to significant improvements in fraud detection and prevention strategies.

Skills

- Due Diligence Transaction Monitoring
- Strong Analytical Skills
- Report Writing
- Leadership Skill
- AML software
- Fraud Analytics
- MS Excel
- Financial crime investigation

Experience

National Bank of Australia | Gurugram

Analyst

08/2023 - Current

- Perform CDD(customer due diligence) and EDD(enhanced due diligence)for Corporates, Trust, Public & Private Companies and Sole Proprietor and transaction monitoring and prepare detailed report on verification activities and findings and submit report to Australian regulatory AUSTRAC
- Conduct thorough CDD reviews and analysis on entities like Pty Ltd to determine legitimacy of customer behaviour across multiple products
- Perform PEP, sanction, adverse media screening using world check tool & to identify the BO and IBO & Director or Partners (shares of individuals)
- Conduct additional research on nature of business and source of wealth/funds to mitigate the risk
- Gained proficiency in ownership structure, trust deed & financial reports verification
- To participate in daily task like quality check for new joiners
- Perform Transaction Monitoring (Threshold scenarios & Structuring pattern) for individual & entities

American Express | Gurugram

Analyst

10/2022 - 07/2023

- Handle the end-to-end onboarding process, ensuring accurate data entry, collecting and verifying client information, and liaising with various stakeholders..
- conduct EDD(enhanced due diligence) and Produce well-documented reports detailing potentially suspicious transactions and submit it to FINCEN.
- Understand system rules for transaction monitoring and interpret complex red flag indicators.
- Manage internal and external SLAs for alerts without missing any deadlines, using various tools to track progress and create adherence controls.

- Collaborate with business units and build relationships with strategic partners to ensure effective coordination of significant matters.
- Apply risk categorization and conduct Enhanced Due Diligence on customers accordingly.
- Maintain working knowledge of sanctions and PEP screening processes.
- Ensure Compliance program effectiveness and foster a best-in-class Compliance culture within AEBC.
- Perform quality assurance reviews and provide feedback, as necessary.

Amazon | Bangalore
Associate
10/2018 - 10/2022

- Overseeing and coordinating the onboarding process from initial client contact to full integration
- Conduct PEP and sanctions screening through World check and AML Transaction Monitoring alerts and conducting thorough investigations for private customers, corporate clients, or institutional clients
- Prepare detailed Suspicious Activity Reports (SARs) for identified cases. Ensure timely SAR filing in compliance with regulatory
- Investigate suspicious activities and behaviors that could oppose a risk to Amazon or our customers in global markets and Review and analyze underlying data gathered opposite Anti-Money Laundering (AML), Terrorist Financing (TF), Human Trafficking and other red flags to assess whether the alert should be recommended for escalation or to be closed with no further action
- Monitored and analyse customer transactions to identify suspicious activity and prevent fraud
- Analyse client data, documentation, and negative news in order to determine Client Risk Profile as established under the KYC (Know Your Client) Procedures
- Use a wide range of internet and in-house tools to research transactions and gather data to make accurate decisions
- Prepare and submit internal and external reports and regulatory filings related to the AML program (Suspicious Activity Reports (SARS), Suspicious Transaction Reports (STRs), etc)
- Manage daily escalation queues, handling complex or exception cases from business and operations teams
- Participated in regular meetings with senior management teams to discuss progress on AML initiatives.

Education and Training

IGNOU | New Delhi
Bachelor of Arts in Psychology
03/2018

Websites, Portfolios, Profiles

- <http://linkedin.com/in/sandeep-mishra-680951212>