

RAGHAV MISHRA

Lucknow, India 226002

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Results-driven **Process Associate at HCL Tech** with hands-on experience in **AML Transaction Monitoring, Sanction Screening, and KYC Compliance**. Skilled in identifying suspicious activities, investigating financial crime risks, and ensuring compliance with **global and local regulations**. Strong analytical and investigative reporting expertise with exposure to **CDD, EDD, SWIFT message reviews, and suspicious matters reporting**. Adept at improving process efficiency, maintaining high-quality standards, and contributing to risk mitigation in financial operations.

Skills

- **AML Transaction Monitoring & Investigations**
- **Sanctions & SWIFT Screening**
- **Financial Crime & Fraud Investigations**
- **CDD / EDD Reviews**
- **Suspicious Matter Reporting (SMR/SAR)**
- **Regulatory Compliance & Risk Assessment**
- **Quality Assurance & Audit Support**
- **Data Analysis & Root Cause Identification**

WorkHistory

Process Associate

HCL Tech, Lucknow, India

Apr 2024-Present

- Assessed and analyzed **transaction monitoring alerts** to identify red flags and potential financial crime risks.
- Conducted investigations on high-risk alerts and prepared detailed findings for escalation in line with global AML standards.
- Performed **CDD/EDD checks** on customers, reviewing documentation and transactional history.

- Supported **sanctions and SWIFT message reviews** to detect prohibited transactions and ensure adherence to economic & trade sanctions policies.
- Drafted reports summarizing investigative outcomes for further compliance review.
- Maintained 100% compliance with internal SOPs and international AML regulations.
- Delivered training support for new joiners on AML workflows, boosting team efficiency.
- Played a key role in **process improvement initiatives**, reducing case handling time by 12% through workflow optimization.

Education

BA in Political Science and Governance, June 2021

Mahatma Gandhi Kashi Vidyapeeth at Varanasi, India

Languages

- Hindi- Native/Bilingual (C2)**
- English- Proficient (C2)**
- German-Beginner (A1)**