

Mayank Tripathi

Senior Associate (L3)

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CAMI Certified, currently working at Level 3, with almost 5 Years of experience under various financial investigations and research positions, skilled in area of KYC, CDD, compliance and financial security with focus on fraud preventions, KYC, CDD, halting financial crimes and terrorism financing.

Work Experience

Senior Associate- Level 3 (AML/KYC)

Jun 2025 - Present

Paytm Payments Bank | Noida

- Performed advanced L3 investigations on suspicious CASA and Wallet transactions, building on L1/L2 findings to raise STRs on our portal and complete regulatory filings on FinGate portal(FIU-IND).
- Managed end-to-end transaction monitoring and escalations, ensuring timely resolution of the cases.
- Conducted root cause analysis for high-impact cases, coordinated with cross-functional teams, and recommended process improvements to reduce recurring issues.
- Ensured adherence to RBI guidelines, KYC/AML policies, and compliance frameworks, minimizing regulatory risks.
- Handled L2 escalations from frontline teams and provided structured resolutions, maintaining high SLA adherence and customer satisfaction.
- Generated and analysed daily/weekly MIS reports, highlighting fraud trends and transaction failures.

Senior Associate (FIU)

Mar 2024 - Apr 2025

WNS INDIA | Gurugram

- AML/CFT.
- Analyzing financial records and transaction patterns for suspicious activity.
- Conducting RBA investigations to identify different transaction monitoring patterns and money laundering schemes.
- Preparing detailed reports on findings and making recommendations and then filling SAR.
- Ensuring compliance with local and international financial regulations.

Senior Associate

Nov 2022 - Mar 2024

Paytm Payments Bank | Noida

- Reviewing the alerts and verifying their KYC and Performing End-to-End investigation.
- Reviewing the transactions of bank accounts and wallet accounts and takes appropriate actions with detailed remarks and pointers to recommend it for STR filings.
- Reviewing the escalated case at L2 and verify the payment of the client whether it is legitimate or not and if not then file the case for STR/SAR. Highlighting the new typologies/trends or location specific trends of the transactions.
- Reviewing of corporate accounts transactions and carrying out proper investigation and taking appropriate action.

Analyst (AML/KYC)

Feb 2022 - Nov 2022

KPMG | Gurugram

- Perform high standards of quality in performing corporate renewal reviews of Know Your Customer Due Diligence as per, client policy and procedures.
- Manage caseload throughout end to end process in timely manner. We ensured to perform End 2 End checks at the CDDI stage and complete CDDR stage.
- Review corporate structures i.e., Privately owned or public companies, trusts, sole traders, partnerships etc.
- Performing high standards of quality in performing corporate renewal reviews of Know Your Customer Due Diligence

as per, client policy and procedures.

- Assurance of mitigating all the risks present through investigation. Worked on different entity types, such as - Private limited, LLP, Sole Trader, UK Ltd by Guarantee, Clubs and Societies, Trusts and Unincorporated Partnership.
- Ensuring screening procedures are followed consistently with a documented audit trail for all KYC / sanction match resolution actions taken. Monitoring of financial transactions, focusing on identifying red flags, unusual patterns, and potential high-risk activities.
- Knowledge of high-risk countries, businesses, PEPs etc. Performed several screening checks (Ex; Equifax, Moody's) on business and individuals to ensure there are no alerts or unusual suspicious activities.

Compliance Officer

Oct 2020 - Feb 2022

Harbour and Hills Financial Services Limited | Kanpur

- Conducting Customer due diligence (CDD) and KYC on all of our clients before On-boarding as an act to perform background verification and screening to ensure risk associated with them is in compliance with the guidelines.
- Performing Enhance Due Diligence (EDD) on high-risk clients by utilizing online software such as Dow Jones, OFAC, Interpol, Lexis-Nexis, World Check and World Wide Web (WWW) Check and by verifying the documents furnished.
- Managing and investigating AML/CTF and PEP alerts for the transaction monitoring (TM) stage by screening clients and reviewing documents such as-ID proofs and source of funding (SOF)

Projects

MNRL (Mobile Number Revocation List) Project Handling

Jun 2025 - Present

- Led end-to-end handling of MNRL cases, from detection to closure, ensuring adherence to regulatory and internal compliance standards.
- Prepared detailed case notes with transaction checks, revocation history, and risk assessments to support audit and compliance reviews.
- Filed and reported MNRL cases accurately within defined SLAs, including STR submissions where applicable.
- Coordinated with compliance, risk, and operations teams to validate findings and finalize reporting.
- Maintained structured, audit-ready documentation and suggested process improvements to enhance reporting accuracy.

Core Skills

Multi Layer Ownership, Regulatory Knowledge, Adaptability, Teamwork, Risk Assessment, CDD, EDD, FATF, OFAC, HM Treasury, Lexis Nexis, World Check, Moody's, Equifax

Education

Allen House Business School (Dr. A.P.J. Abdul Kalam Technical University)

Jun 2021 - Jun 2021

Master of Business Administration Finance and Marketing

Allen House Business School (Chhatrapati Shahu Ji Maharaj University)

Jun 2016 - Jun 2019

Bachelor of Business Administration Business Administration

Mary Jesus Education Center (ISC Board)

May 2015 - May 2016

Intermediate Commerce

Mary Jesus Education Center (ICSE Board)

Apr 2014 - Apr 2015

High School Science

Languages

English, Hindi

Certificates

Introduction To Corporate Finance

Great Learning

Nov 2021

Certified Investment Banking and Operations

Imarticus Learning

Aug 2021

Certified Anti Money Laundering Investigator (CAMI)

Ponsun Academy

Feb 2024

Additional

- Start Date: Available to start immediately.
- Relocation: Open to relocation nationwide.
- Leadership activities.