Priyanshu Gupta

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Summary

Analytical and results-driven finance professional with a Post Graduate Diploma in Management (PGDM) in Finance and Retail Management, backed by hands-on experience as an Equity Advisor. Skilled in investment analysis, portfolio management, and regulatory compliance, with a strong commitment to delivering tailored financial strategies that enhance client portfolios. Passionate about stock market research, and in my personal time, I enjoy photography and exploring new destinations.

Experience

Axis Securities **Lucknow**
Portfolio Manager August'2024 - May'2025

- Conduct in-depth analysis of equities, mutual funds, and other asset classes to optimize portfolio performance.
- Develop personalized investment strategies based on client profiling, market outlook, and financial objectives.
- Execute buy/sell orders and rebalance portfolios in response to market movements or client requirements.
- Track portfolio returns against benchmarks and provide performance reports with actionable insights.
- Stay updated on market trends, company financials, and macroeconomic indicators to identify investment opportunities.
- Maintain compliance with SEBI and internal regulatory standards while handling client investments.
- Advise clients on asset allocation, tax-efficient investments, and long-term wealth creation strategies
- Collaborate with research and advisory teams to enhance product offerings and client engagement.
- Achieve and exceed revenue, retention, and client satisfaction targets through proactive portfolio reviews and advisory.

Aditya Birla Finance **Lucknow**
Wealth Management Intern May'2024 - August'2024

- Assisted in developing customized investment strategies aligned with clients' financial goals, risk profiles, and time horizons.
- Supported senior wealth managers in managing investment portfolios comprising equities, mutual funds, bonds, and other financial instruments.
- Conducted financial research and prepared investment proposals to help clients make informed decisions
- Analyzed client portfolios to identify opportunities for rebalancing and optimizing returns.
- Assisted in preparing client presentations, portfolio performance reports, and market updates.
- Gained exposure to financial planning concepts, including asset allocation, tax-efficient investing, and goal-based planning.
- Interacted with clients and relationship managers to understand financial objectives and provide tailored advisory support.
- Ensured compliance with internal policies and SEBI/RBI guidelines during all investment-related activities.
- Monitored daily market movements, economic indicators, and fund performances to support advisory recommendations.

Education

PGDM **Lucknow**
Jaipuria Institute of Management August' 2022 – June' 2024

B.com **Lucknow**
University of Lucknow July'2019 – May' 2022

12th **Basti**
Sarla International Academy 2019

10th **Basti**
Sarla International Academy 2017

Technical Skills

MS Excel Equity Research Portfolio Management Risk Assessment AML/KYC Compliance Financial Reporting

Soft Skills

Tech Savvy Problem Solver Quick Learner Detail-Oriented Problem-Solving & Decision-Making

Certification

NISM Series V-A (Mutual Fund Distributor)

Key Achievement

Achieved zero compliance errors for 12 consecutive months through meticulous documentation checks and regulatory updates

Reviewed and verified 200+ client KYC documents, ensuring 100% adherence to SEBI and RBI norms.

Strengthened client retention by aligning portfolio strategies with evolving market conditions.