

Kanchan Mala Srivastava

Sr. Associate

Experienced sr. analyst in optimizing KYC, AML, and regulatory compliance. Skilled in stakeholder engagement, resource staffing optimization, and dashboard development for internal and external reporting. Dedicated to meeting team performance objectives and achieving targets as a goal-oriented team member. Possesses more than 6 years of experience in the FCC space, demonstrating a commitment to thorough training, setting team goals, and developing innovative strategies.



Contact

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Work History

**2022-12 -
Current**

Sr Associate

RTRCM, Gurugram

- Customer Due Diligence (CDD)
Conducted in-depth customer risk assessments by analyzing identity documentation, source of funds, and business activities, ensuring compliance with AML/KYC regulations

Reviewed and verified customer profiles against sanctions lists, PEP databases, and adverse media to identify potential red flags.

Enhanced Due Diligence (EDD):

Managed high-risk customer reviews by performing comprehensive EDD investigations, including detailed analysis of ownership structures and transactional behaviours.

2021-10 2022-07

Sr. Analyst- KYC Periodic Review Services

Mercer India Consulting, Noida

- Conduct independent quality checks of client profiles as a Certified Checker, ensuring compliance with regulatory requirements and bank policies, including assessments of corporate structures, source of wealth, KYC, sanctions, PEPs, and risk scores.
- Provide subject matter expertise (SME) in Client Due Diligence (CDD) and offer guidance to Relationship Managers in private banking to uphold the highest quality standards during Focused Review processes.
- Delivered daily case updates to stakeholders and ensured timely completion of tasks.

Associate - KYC

Centum Learning Ltd, New Delhi

- Carry out customer KYC verification process as per defined SOP.
- Responsible for ensuring KYC checks and completing enhanced Due Diligence.
- Perform necessary checks and report potential fraud customers.
- Constantly review the process and identify areas of improvement to ensure 0% defect rate.
- Exhibit highest standards of customer service.
- Ensure accurate and timely processing & submission of KYC requests.

**2014-09 -
2018-12**



Languages

English

Hindi

Bengali



EDUCATION

- **Bachelor of Arts (Major - English)**- Graduate from IGNOU, Delhi
- **Class 12th HSC** - VNBS, CBSE board
- **Class 10th SSC** - VNBS, CBSE board