

Prashant Kumar

Analyst KYC And AML compliance



Key skills

- AML Compliance
- Customer Due Diligence
- Enhanced Due Diligence
- Transaction Monitoring
- Anti Money Laundering
- KYC
- KYC AML



Personal Information

City Gurugram

Country INDIA



Languages

- English
- Hindi



3 Years 0 Month



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Profile Summary

Experienced Transaction Monitoring and KYC Analyst with 3+ years of experience in identifying and investigating suspicious financial activities within both traditional finance and digital asset ecosystems. Proficient in conducting enhanced due diligence (EDD), customer onboarding, and KYC reviews in compliance with AML/CFT regulations. Experienced in monitoring transactions for red flags related to money laundering, terrorist financing, and fraud, using tools such as Chainalysis, TRM Labs, and internal TM systems. Skilled in preparing Suspicious Activity Reports (SARs). Strong communicator with a compliance-first mindset and a passion for financial integrity.



Education

B.B.A/ B.M.S, 2019

Aryabhatta knowledge university

12th, 2016

CBSE, English

10th, 2014

CISCE(ICSE/ISC), English



Work Experience

Jan 2023 - Sep 2024

Analyst KYC And AML compliance

iEnergizer

• Task Management & Issue Tracking: Proficient in using Jira for comprehensive task management and

precise issue tracking, ensuring timely resolution and project completion.

• Team Coordination & Communication: Experienced in using Slack for seamless team communication

and coordination, facilitating real-time updates and collaborative work environments.

• Transaction Monitoring & Reconciliation: Strong attention to detail in monitoring transactions and

performing reconciliation processes to ensure financial accuracy and compliance.

• CDD/EDD Reviews: Experienced in reviewing CDD/EDD for New to Bank (NTB) and existing customers,

undertaking KYC remediation on a structured and cyclic basis.

- Adverse Media/Sanction Screening: Proficient in performing adverse media/sanction, PEP screening.
- Regulatory Compliance: Adhered to Group Policy/Procedures, meeting internal guidelines and regulatory expectations.

- Risk Escalation: Independently analyzed high-risk factors and escalated relevant cases to the Financial

Crime Compliance team (FCC).

Sep 2024 - Present

Senior Analyst

EXL

- Responsible for reviewing CDD/EDD for New to Bank (NTB)/ Existing customers and undertake KYC

remediation on a structured and cyclic basis.

- Performed Adverse Media/ sanction, PEP screening, Name screening checks, escalations on Negative

Media Reports, calculation of system generated risk rating for Periodic and Trigger reviews

- Transaction analysis of system generated alerts, matching transactions with LOB and client's profile.
- PEP identification, declassification, and SOW (source of wealth) reviews
- Direct reported to onshore AVP and worked directly in team of 5-10 onshore and offshore employees.