

DIPAK ROSAN BARLA

Faridabad, Haryana, India | 9555403345 | dipakroshan@gmail.com

PROFESSIONAL SUMMARY

Senior AML & Financial Crime Compliance Analyst with 11 years of experience in AML, KYC, CDD, EDD, Transaction Monitoring, Sanctions Screening, and Regulatory Compliance within global financial institutions. Proven expertise in alert adjudication, risk assessment, onboarding, periodic reviews, and remediation projects. Strong ability to manage high-volume investigations while ensuring adherence to FATF guidelines and global AML regulations.

CORE COMPETENCIES

- Anti-Money Laundering (AML)
- KYC / CDD / EDD Reviews
- Transaction Monitoring & Alert Adjudication
- Sanctions Screening (Bridger)
- Suspicious Activity Investigation
- Risk Assessment & Mitigation
- Regulatory Compliance & FATF Guidelines
- Periodic Reviews & Lookback Investigations
- Quality Assurance & Dual Control
- Stakeholder & Escalation Management
- SWIFT & Global Payments (FXIP)
- JIRA & Issue Management
- Process Migration & Automation
- Team Leadership & Training

PROFESSIONAL EXPERIENCE

AML Compliance Analyst | American Express | Gurgaon | 09/2019 – Present

- Conduct AML investigations including onboarding, periodic reviews, transaction monitoring, and lookback exercises.
- Adjudicate real-time and batch sanctions alerts using Bridger screening system.
- Perform Customer Due Diligence (CDD) and Enhanced Due Diligence (EDD) using risk-based approach.
- Identify and escalate suspicious activity in line with AML policies and regulatory requirements.
- Serve as Subject Matter Expert (SME) for compliance escalations and stakeholder queries.
- Led remediation project impacting 700+ clients ensuring timely regulatory compliance.
- Implemented dual-verifier quality control process reducing operational errors.
- Supported automation of CICI renewals improving process efficiency.
- Manage Global Pay / FXIP trade confirmations and SSI validation with counterparties.
- Monitor Intellimatch and Sierra reconciliation systems to prevent financial breaks.
- Facilitate domestic and international fund transfers ensuring compliance with payment regulations.
- Perform operational risk checks ensuring accuracy in high-value transactions.

Payments Analyst – FXIP US Operations | ManpowerGroup (AMEX) | 10/2017 – 09/2019

- Validated bank details under VBD process ensuring payment accuracy and compliance.
- Managed incoming funds and performed reconciliations.
- Conducted internal audits of payment records aligning with compliance standards.

Process Developer – Westpac | Genpact | 06/2014 – 09/2017

- Enforced underwriting and compliance policies for client applications.
- Processed up to 275 applications per day during peak month-end cycles.
- Supported Quality Assurance team setup and provided training in leader's absence.

KEY ACHIEVEMENTS

- Automated monthly CICI renewals process improving operational efficiency.
- Recognized for compliance remediation leadership and stakeholder coordination.
- Acted as Team Lead managing workflow, escalations, and volume allocation.

TOOLS USED

Bridger | World-Check | LexisNexis | Salesforce | JIRA | Intellimatch | Sierra | SWIFT

EDUCATION

Bachelor of Commerce (B.Com) | Delhi University | 2013