

CONFIDENTIAL BACKGROUND VERIFICATION REPORT

Name of the Candidate	Sanyam Saxena	Client Name	AML Rightsource India Pvt Ltd - AMRSN 2025
Application ID	GQ-AMRSN-1511	Report Status	Closed
Date of Birth	17 Aug 1992	Application Received	23-05-2025
Candidate Employee ID	114204	Insuff Cleared/Reopened	NA
Report Type	FINAL	Final Report Date	30-07-2025
Verification Purpose	EMPLOYMENT	Overall Report Status	GREEN

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
LATEST EMPLOYMENT 1	Human Resource Department	21-07-2025	GREEN
GRADUATION	Registrar	26-05-2025	GREEN
EX EMPLOYMENT 2	PF PORTAL	24-05-2025	GREEN
POLICE VERIFICATION	Biswajit Sarkar - Police Constable	23-05-2025	GREEN
POST GRADUATION	Registrar	26-05-2025	GREEN
CREDIT/CIBIL CHECK	Transunion CIBIL	23-05-2025	GREEN
NATIONAL IDENTITY 1	PAN Database	23-05-2025	GREEN
COURT VERIFICATION	Palav Agarwal-Advocate	23-05-2025	GREEN
UAN/ITR/FORM 26AS	PF PORTAL	24-05-2025	GREEN
GAP CHECK	Resume & Supported Documents	30-07-2025	GREEN
CRIMINAL DATABASE	Refinitiv / World Check One	23-05-2025	GREEN

End of summary report

Legend:	 -Major discrepancy	 -Minor discrepancy	 -Unable to verify	 -Pending from source	 -All clear
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LATEST EMPLOYMENT 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Shivalik Small Finance Bank	Shivalik Small Finance Bank
Name Of The Candidate:	Sanyam Saxena	Sanyam Saxena
Emp Code:	13874	13874
From:	02-12-2024	02-12-2024
To:	20-05-2025	20-05-2025
Designation:	Manager (Associate - Compliance)	Manager (Associate - Compliance)
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Not Disclosed
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	Screen-shot of the Email confirmation received from Human Resource Department - Shivalik Small Finance Bank, found the employment as genuine. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Human Resource Department	
Report Verified Date:	21-07-2025	
Verification Status(Color Code):	GREEN	

Annexure 1 (a)



Mon 21-Jul-25 11:59 AM

HROPS <hrops@shivalikbank.com>

RE: Ex-Employment verification for Sanyam Saxena (1)- GQ-AMRSN-1511- Shivalik Small Finance Bank

To Akshatha

Cc 'Jayakumar Velu - GoldQuest Global'; 'ex employment'; HROPS

Message ✉ 13874 - Sanyam Saxena.pdf (181 KB)

Dear Akshatha,

Relieving letter is issued by us.

Thanks & Regards,

Human Resource Department | Shivalik Small Finance Bank
2nd floor, Add India Tower, Plot No.A6A, Sector-125, Noida-201303.
www.shivalikbank.com | www.facebook.com/shivalikbank

Annexure 1 (b)



Date: - 18-Jun-2025

Ref No: - SSFB/HR/25-26/0199

TO WHOMSOEVER IT MAY CONCERN

This is to certify that **Mr. Sanyam Saxena (13874)**, S/O Dhiraj Saxena, R/o B-39, Krishna Nagar, Mathura, Uttar Pradesh - 281004, has worked in our bank from **02-Dec-2024** to **20-May-2025** as **Manager (Associate - Compliance)** in Compliance department. Subsequent to his resignation from the services of the bank and clearing all his dues towards the bank, he is relieved from the services of the bank at the close of the business hours on **20-May-2025**.

However, looking at the nature of his job he will be under obligation to be answerable for all the things done by him as an employee of the bank and for all the discrepancies & deficiencies, if any, found to have been committed by him during the tenure of his employment in the bank.

Thanks and Regards,

For Shivalik Small Finance Bank.

"THIS IS A COMPUTER-GENERATED DOCUMENT, DOES NOT REQUIRE SIGNATURE"

SHIVALIK SMALL FINANCE BANK LTD.

HEAD OFFICE:
2nd Floor, Add India Tower, Plot No.A-6A,
Sector-125, Noida-201303

Ph: 0120-4060000
info@shivalikbank.com
www.shivalikbank.com

REGD. OFFICE:
501, Salcon Aurum, Jasola District Centre, New Delhi - 110025.
CIN NO: U65900DL2020PLC366027

Annexure 1 (c)

Mon 21-Jul-23 11:39 AM

HROPS <hrops@shivalikbank.com>

RE: Ex-Employment verification for Sanyam Saxena (1)- GQ-AMRSN-1511- Shivalik Small Finance Bank

To: Alshatha

Cc: 'Sanyam Saxena - Goldquest Global'; 'Ex-employment'; 'HROPS'

Message 13874 - Sanyam Saxena.pdf (181 KB)

We are an Employee Background Verification Organization. We have been authorized to conduct Ex-Employment Verification on behalf of an client organization of your former Employee **Sanyam Saxena** for employment purposes. Following are the details provided by the candidate to an organization, which we would request you to please verify the details with your comments in the **HR/Verifier Inputs column**

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	Shivalik Small Finance Bank	
Candidate Name	Sanyam Saxena	
From (Date of Joining) 00	02 December 2024	
To (Date of Exit/Relieving)	20 May 2025	
Designation (At the time of leaving the company):	Manager (Associate - Compliance)	
Employee Code/ID/No	13874	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Relieve Eligibility (If "NO" kindly confirm the reason)	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA - BREACH of any kind		
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:		
Verified By (Name, Contact Number & Designation)	Please Provide	

GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Dayalbagh Educational Institute	Dayalbagh Educational Institute
Name Of The Candidate:	Sanyam Saxena	Sanyam Saxena
Enroll No:	084031	084031
Degree:	Bachelor of Science (Engineering)	Bachelor of Science (Engineering)
Major:	Electrical Engineering	Electrical Engineering
Month And Year Of Passing:	2012	2012
Certification Issued Date:	2013-01	2013-01
SI No:	Not Provided	Not Provided
Remarks:	The following applicant details were verified by Registrar -Dayalbagh Educational Institute found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Registrar	
Report Verified Date:	26-05-2025	
Verification Status(Color Code):	GREEN	

**Dayalbagh Educational Institute
(Deemed University)
Dayalbagh, Agra**



Registrar
DAYALBAGH EDUCATIONAL INSTITUTE
(DEEMED UNIVERSITY)
DAYALBAGH, AGRA

Faculty of Engineering

Sanyam Saxena

having completed a 4 year course of study approved
by the Institute and passed the prescribed
examinations has been admitted in the
year 2012 to the Degree of

Bachelor of Science (Engineering)

in Electrical Engineering

and has been placed

in First Division with Distinction.

Roll No. 084031

frand hohan

Dated 12 January, 2013

Registrar

I.e.b

Director

EX EMPLOYMENT 2		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Netimpact Solutions Pvt Ltd.	Netimpact Solutions Pvt Ltd.
Name Of The Candidate:	Sanyam Saxena	Sanyam Saxena
Emp Code:	NIS19	Not Provided
From:	08-03-2024	08-03-2024
To:	26-08-2024	26-08-2024
Designation:	Manager- ORM	Not Provided
Last Drawn Salary:	Not Provided	Not Provided
Reason For Leaving:	Not Provided	Not Provided
Rehire Eligibility:	Not Applicable	Not Applicable
Remarks:	Please note that due to no response from the HR, we are closing check with UAN/PF Records, found the name of the Candidate, Father Name, Name of the Organization, DOJ & Date of Exit. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PF PORTAL	
Report Verified Date:	24-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 3 (a)

Date : 24-May-2025

UAN	Member Id	Name	Father/Husband Name	Establishment Name	DOI	DOE
100629504048	MRMRTO0409770000012783	Sanyam Saxena	NOT AVAILABLE	SHIVALIK SMALL FINANCE BANK LIMITED	02-12-2024	-
100629504048	DSNHP26730060000010101	Sanyam Saxena	NOT AVAILABLE	NETIMPACT SOLUTIONS PRIVATE LIMITED	08-03-2024	26-08-2024
100629504048	MHBAN00452390000285327	Sanyam Saxena	NOT AVAILABLE	AXIS BANK LIMITED	10-08-2023	04-03-2024
100629504048	GNGGN00262380000047872	Sanyam Saxena	NOT AVAILABLE	TELEPERFORMANCE GLOBAL BUSINESS PRIVATE LIMITED	04-05-2023	04-05-2023
100629504048	GNGGN00106400000650746	Sanyam Saxena	NOT AVAILABLE	CONCENTRIX DAKSH SERVICES INDIA PRIVATE LIMITED	25-01-2023	-
100629504048	DSNHP00336120000015061	Sanyam Saxena	NOT AVAILABLE	AMERIPRISE INDIA LLP	21-03-2022	14-10-2022
100629504048	APHYD00444540000143978	Sanyam Saxena	NOT AVAILABLE	BA CONTINUUM INDIA PVT LTD	27-02-2021	18-03-2022
100629504048	MHBAN00461350000377973	Sanyam Saxena	NOT AVAILABLE	H D F C BANK LTD.	11-06-2015	22-09-2016

<https://unifiedportal-epfo.epfindia.gov.in/fointerface/>

Annexure 3 (b)



Akshatha <ex-employment@goldquestglobal.in>

RE: Ex-Employment verification for Sanyam Saxena (2)- GQ-AMRSN-1511- Netimpact Solutions Pvt Ltd.

To : Akshatha@netimpactlimited.com

Cc : Jayakumar Velu - GoldQuest Global; 'ex-employment'

Message Net.pdf (147 KB)

Warm Greetings from GoldQuest Global!!

We are an Employee Background Verification Organization. We have been authorized to conduct Ex-Employment Verification on behalf of an client organization of your former Employee **Sanyam Saxena** for employment purposes. Following are the details provided by the candidate to an organization, which we would request you to please verify the details with your comments in the **HR/Verifier Inputs column**

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	Netimpact Solutions Pvt ltd.	
Candidate Name	Sanyam Saxena	
From (Date of Joining)	08 March 2024	
To (Date of Exit/Relieving)	26 August 2024	
Designation (At the time of leaving the company):	Manager - ORM	
Employee Code/ID/No	NIS19	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Relieve Eligibility (If "NO" kindly confirm the reason)	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA - BREACH of any kind		
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:		
Verified By (Name, Contact Number & Designation)	Please Provide	

Annexure 3 (c)



Wed 25-Jun-25 10:34 AM

Akshatha <ex-employment@goldquestglobal.in>

RE: Ex-Employment verification for Sanyam Saxena (2)- GQ-AMRSN-1511- Netimpact Solutions Pvt Ltd.

To abhishek@netimpactlimited.com

Cc 'Jayakumar Velu - GoldQuest Global'; 'ex employment'

Message

 Net.pdf (347 KB)

Dear Sir/Madam,

Warm Greetings from GoldQuest Global!

Gentle reminder for the Ex-Employee verification of **Sanyam Saxena** that we have sent you earlier.

Could you please spare a few minutes of your time & verify the below details; it will be a great help for his/her joining.

Thanks for your understanding on the urgency & request your valuable response at your earliest convenience.

Warm Regards

Akshatha R Shetty

Senior Verification Specialist (Employment)

Mobile Number - 8867545055

From: Akshatha <ex-employment@goldquestglobal.in>

Sent: Tuesday, 24 June, 2025 12:39 PM

To: 'abhishek@netimpactlimited.com' <abhishek@netimpactlimited.com>

Cc: 'Jayakumar Velu - GoldQuest Global' <jay@goldquestglobal.in>; 'ex employment' <verifyemployment@gmail.com>

Subject: RE: Ex-Employment verification for Sanyam Saxena (2)- GQ-AMRSN-1511- Netimpact Solutions Pvt Ltd.

Dear Sir/Madam,

Warm Greetings from GoldQuest Global!

Gentle reminder for the Ex-Employee verification of **Sanyam Saxena** that we have sent you earlier.

Could you please spare a few minutes of your time & verify the below details; it will be a great help for his/her joining.

POLICE VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-1511	GQ-AMRSN-1511
Candidate Name:	Sanyam Saxena	Sanyam Saxena
Date Of Birth:	17-08-1992	17-08-1992
Father Name:	Dhiraj Saxena	Dhiraj Saxena
Address1:	B-39, krishna nagar, Mathura, Near Sanatan Dharma Mandir, Uttar Pradesh-281004	B-39, krishna nagar, Mathura, Near Sanatan Dharma Mandir, Uttar Pradesh-281004
Remarks:	The following applicant details are verbally verified by Mr. Biswajit Sarkar (Police Constable), and the notary report duly stamped and signed by Palav Agarwal (Advocate) with comment on criminal record not found, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Biswajit Sarkar - Police Constable	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 4 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-1511
NAME OF THE APPLICANT	Sanyam Saxena
FATHER NAME	Dhiraj Saxena
DATE OF BIRTH	17-August-1992
ADDRESS OF THE APPLICANT	B-39,krishna nagar,Mathura,Near Sanatan Dharma Mandir,Uttar Pradesh-281004
NAME OF THE POLICE STATION	Krishna Nagar Mathura Police Station
NAME OF THE STATION HOUSE OFFICER	NA
POLICE STATION CONTACT NUMBER	09147-888286
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	23-May-2025
VERIFIER NAME AND DESIGNATION	Mr. Biswajit Sarkar, Constable

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYER'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

POST GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Dayalbagh Educational Institute	Dayalbagh Educational Institute
Name Of The Candidate:	Sanyam Saxena	Sanyam Saxena
Enroll No:	137637	137637
Degree:	Master of Business Administration	Master of Business Administration
Major:	Business Management	Business Management
Month And Year Of Passing:	2015	2015
Certification Issued Date:	2015-11	2015-11
SI No:	Not Provided	Not Provided
Remarks:	The following applicant details were verified by Registrar -Dayalbagh Educational Institute found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Registrar	
Report Verified Date:	26-05-2025	
Verification Status(Color Code):	GREEN	

**Dayalbagh Educational Institute
(Deemed University)
Dayalbagh, Agra**



Registrar
DAYALBAGH EDUCATIONAL INSTITUTE
(DEEMED UNIVERSITY)
DAYALBAGH, AGRA

Faculty of Social Sciences

Sanyam Saxena

having completed the course of study approved
by the Institute and passed the prescribed
examinations has been admitted in the
in the year 2015 to the degree of

**Master of Business Administration
(Business Management)**

and has been placed in

First Division with Distinction.

Roll No. 137637

Dated 28 November, 2015

Alka
Registrar

Basu Kundan,
Director

CREDIT/CIBIL CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Sanyam Saxena	Sanyam Saxena
Pan Number:	EXKPS2774E	EXKPS2774E
CIBIL Transunion Score:	Not Applicable	-1
Scoring Factors:	Not Applicable	INSUFFICIENT HISTORY TO SCORE.
Personal Loan Score:	Not Applicable	Not Applicable
Status:	Not Applicable	GREEN
Verified By:	Not Applicable	Transunion CIBIL
Remarks:	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Transunion CIBIL	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 6 (a)



CONSUMER CIR

CONSUMER: SANYAM SAXENA

DATE: 23-05-2025

MEMBER ID: BS00115010_KOL12455

TIME: 15:52:10

MEMBER REFERENCE NUMBER:

CONTROL NUMBER: 9,11,97,28,182

CONSUMER INFORMATION:

NAME: SANYAM SAXENA

DATE OF BIRTH: 17-08-1992

GENDER: MALE

CIBIL TRANSUNION SCORE(S):

SCORE NAME	SCORE	SCORING FACTORS
CREDITVISION® SCORE	-1	1: INSUFFICIENT HISTORY TO SCORE.

POSSIBLE RANGE FOR CREDITVISION® SCORE

Consumer with at least one trade on the bureau in last 36 months : 300 (High risk) to 900 (low risk)
Consumer not in CIBIL database or history older than 36 months : -1

* At least one tradeline with information updated in last 36 months is required.

IDENTIFICATION(S):

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
INCOME TAX ID NUMBER (PAN) (e)	EXKPS2774E		

TELEPHONE(S):

TELEPHONE TYPE	TELEPHONE NUMBER	TELEPHONE EXTENSION
MOBILE PHONE (e)	9810860731	
MOBILE PHONE (e)	9045522297	
MOBILE PHONE (e)	8527123562	

EMAIL CONTACT(S):

ADDRESS(ES):

ADDRESS (e)	B-92, SECTOR -36 NOIDA, UTTAR PRADESH 201303 UTTAR PRADESH 201303	
CATEGORY: RESIDENCE ADDRESS	RESIDENCE CODE:	DATE REPORTED: 21-08-2020
ADDRESS (e)	MUMBAI MAHARASHTRA MAHARASHTRA 400083	

Annexure 6 (b)



CONSUMER CIR

CONSUMER: SANYAM SAXENA

DATE: 23-05-2025

MEMBER ID: BS00115010_KOL12455

TIME: 15:52:10

MEMBER REFERENCE NUMBER:

CONTROL NUMBER: 9,11,97,26,182

CATEGORY: PERMANENT ADDRESS RESIDENCE CODE: DATE REPORTED: 18-10-2019

ADDRESS (e): D-41, LOVELY APARTMENTS, MAYUR VIHAR EXTENTION NEW DELHI, DELHI 110091 DELHI 110091

CATEGORY: RESIDENCE ADDRESS RESIDENCE CODE: DATE REPORTED: 18-05-2018

ADDRESS (e): SEC-88 C-75, NOIDA NOIDA UTTAR PRADESH 201307

CATEGORY: RESIDENCE ADDRESS RESIDENCE CODE: DATE REPORTED: 12-03-2017

EMPLOYMENT INFORMATION:

SUMMARY:

ACCOUNT(S)

ACCOUNT TYPE	ACCOUNTS	ADVANCES	BALANCES	DATE OPENED
All Accounts	TOTAL: 0	HIGH CR/SANC. AMT:	CURRENT:	RECENT:
	OVERDUE: 0		OVERDUE:	OLDEST:
	ZERO-BALANCE: 0			

ENQUIRIES

Only Last 36 months enquiries will be displayed in the Credit report

ENQUIRY PURPOSE	TOTAL	PAST 30 DAYS	PAST 12 MONTHS	PAST 24 MONTHS	RECENT
All Enquiries	0	0	0	0	

END OF REPORT ON SANYAM SAXENA

All information contained in this credit report has been collated by TransUnion CIBIL Limited (TU CIBIL) based on information provided/ submitted by its various members("Members"), as part of periodic data submission and Members are required to ensure accuracy, completeness and veracity of the information submitted. The credit report is generated using the proprietary search and match logic of TU CIBIL. TU CIBIL uses its best efforts to ensure accuracy, completeness and veracity of the information contained in the Report, and shall only be liable and / or responsible if any discrepancies are directly attributable to TU CIBIL. The use of this report is governed by the terms and conditions of the Operating Rules for TU CIBIL and its Members.

NATIONAL IDENTITY 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Pan Card	Pan Card
Identity Number:	EXKPS2774E	EXKPS2774E
Verification Result:	Not Applicable	GREEN
Verified By:	Not Applicable	PAN Database
Remarks:	The PAN records were verified through tax information network, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PAN Database	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 7 (a)

 e-Filing *Anywhere Anytime*
Income Tax Department, Government of India

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e-Pay Tax

 You have successfully verified through mobile OTP. Click Continue to make a new payment.

PAN / TAN EXKPS2774E	Name SXXXXM SXXXXA
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COURT VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-1511	GQ-AMRSN-1511
Candidate Name:	Sanyam Saxena	Sanyam Saxena
Date Of Birth:	17-08-1992	17-08-1992
Father Name:	Dhiraj Saxena	Dhiraj Saxena
Address1:	B-39, krishna nagar, Mathura, Near Sanatan Dharma Mandir, Uttar Pradesh-281004	B-39, krishna nagar, Mathura, Near Sanatan Dharma Mandir, Uttar Pradesh-281004
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal-Advocate	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 8 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-1511
NAME OF THE APPLICANT	Sanyam Saxena
FATHER NAME	Dhiraj Saxena
DATE OF BIRTH	17-August-1992
ADDRESS OF THE APPLICANT	B-39, krishna nagar, Mathura, Near Sanatan Dharma Mandir, Uttar Pradesh-281004
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	23-May-2025

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

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Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

UAN/ITR/FORM 26AS

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Sanyam Saxena	Sanyam Saxena
Application Id:	GQ-AMRSN-1511	GQ-AMRSN-1511
Employee Id:	114204	114204
Remarks:	The following applicant details were verified through PF Portal & found the name of the Candidate, Father Name, Name of the Organization, DOJ & Date of Exit. found the employment as Genuine. hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PF PORTAL	
Report Verified Date:	24-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 9 (a)

Date : 24-May-2025

UAN	Member Id	Name	Father/Husband Name	Establishment Name	DOI	DOE
100629504048	MRMRTO0409770000012783	Sanyam Saxena	NOT AVAILABLE	SHIVALIK SMALL FINANCE BANK LIMITED	02-12-2024	-
100629504048	DSNHP26730060000010101	Sanyam Saxena	NOT AVAILABLE	NETIMPACT SOLUTIONS PRIVATE LIMITED	08-03-2024	26-08-2024
100629504048	MHBAN00452390000285327	Sanyam Saxena	NOT AVAILABLE	AXIS BANK LIMITED	10-08-2023	04-03-2024
100629504048	GNGGN00262380000047872	Sanyam Saxena	NOT AVAILABLE	TELEPERFORMANCE GLOBAL BUSINESS PRIVATE LIMITED	04-05-2023	04-05-2023
100629504048	GNGGN00106400000650746	Sanyam Saxena	NOT AVAILABLE	CONCENTRIX DAKSH SERVICES INDIA PRIVATE LIMITED	25-01-2023	-
100629504048	DSNHP00336120000015061	Sanyam Saxena	NOT AVAILABLE	AMERIPRISE INDIA LLP	21-03-2022	14-10-2022
100629504048	APHYD00444540000143978	Sanyam Saxena	NOT AVAILABLE	BA CONTINUUM INDIA PVT LTD	27-02-2021	18-03-2022
100629504048	MHBAN00461350000377973	Sanyam Saxena	NOT AVAILABLE	H D F C BANK LTD.	11-06-2015	22-09-2016

<https://unifiedportal-epfo.epfindia.gov.in/fointerface/>

GAP CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Sanyam Saxena	Sanyam Saxena
Application Id:	GQ-AMRSN-1511	GQ-AMRSN-1511
Employee Id:	114204	114204
Remarks:	<p>The following details were verified through Resume & the Supported documents provided by the applicant. GAP identified in overall experience; however, the applicant provided the supporting documents for the GAP identified, hence closing the check as GREEN.</p>	
Information Source Name:	Resume & Supported Documents	
Report Verified Date:	30-07-2025	
Verification Status(Color Code):	GREEN	

Annexure 10 (a)

GAP VALIDATION		
PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Sanyam Saxena	Sanyam Saxena
DOB	17-08-92	17-08-92
Post Graduation		
Name of the Board / School	Dayalbagh University	Dayalbagh University
Course	MBA	MBA
Specialization / Major	Finance & Marketing	Finance & Marketing
Year of Passing	2015	2015
Gap Remarks: NA		
Graduation		
Name of the Board / School	Dayalbagh University	Dayalbagh University
Course	B.Sc	B.Sc
Specialization / Major	Electrical Engineering	Electrical Engineering
Year of Passing	2012	2012
Gap Remarks: NA		
12th		
Name of the Board / School	Central Board of Secondary Education	Central Board of Secondary Education
Course	12th	12th
Specialization / Major	NA	NA
Year of Passing	2008	2008
Gap Remarks: NA		
10TH STANDARD		
Name of the Board / School	Central Board of Secondary Education	Central Board of Secondary Education
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2006	2006
Gap Remarks: NA		

Annexure 10 (b)

EMPLOYMENT - 1		
Name of the Employer	SHIVALIK SMALL FINANCE BANK LIMITED	SHIVALIK SMALL FINANCE BANK LIMITED
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	02-12-24	02-12-24
Date of Relieving/Exit - To	21-05-25	21-05-25
Designation / Role	Associate- Compliance	Associate- Compliance
Gap Remarks: NA		

EMPLOYMENT - 2		
Name of the Employer	NETIMPACT SOLUTIONS PRIVATE LIMITED	NETIMPACT SOLUTIONS PRIVATE LIMITED
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	08-03-24	08-03-24
Date of Relieving/Exit - To	26-08-24	26-08-24
Designation / Role	Team Leader	Team Leader
Gap Remarks: NA		

EMPLOYMENT - 3		
Name of the Employer	AXIS BANK LIMITED	AXIS BANK LIMITED
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	10-08-23	10-08-23
Date of Relieving/Exit - To	04-03-24	04-03-24
Designation / Role	Asst. Manager	Asst. Manager
Gap Remarks: NA		

Annexure 10 (c)

EMPLOYMENT - 4		
Name of the Employer	CONCENTRIX DAKSH SERVICES INDIA PRIVATE LIMITED	CONCENTRIX DAKSH SERVICES INDIA PRIVATE LIMITED
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	25-01-23	25-01-23
Date of Relieving/Exit - To	21-06-23	21-06-23
Designation / Role	Sr. Representative, operations	Sr. Representative, operations
Gap Remarks: After UAN initiation, found below employment details 1) Teleperformance (DOJ & DOE are of same dates :04-05-2023) & Found Overlap between Concentrix & Teleperformance. Candidate has provided the PF Details in which there is no PF deducted from Teleperformance, hence considering the gap period as Green.		
EMPLOYMENT - 5		
Name of the Employer	AMERIPRISE INDIA LLP	AMERIPRISE INDIA LLP
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	21-03-22	21-03-22
Date of Relieving/Exit - To	14-10-22	14-10-22
Designation / Role	Trust Specialist	Trust Specialist
Gap Remarks: NA		
EMPLOYMENT - 6		
Name of the Employer	BA Continuum India Pvt Ltd	BA Continuum India Pvt Ltd
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	27-02-21	27-02-21
Date of Relieving/Exit - To	18-03-22	18-03-22
Designation / Role	Team Developer	Team Developer
Gap Remarks: A gap of 1 year identified between RBL Bank (01-2020) & BA Continuum (02-2021). During this period, Candidate was preparing for Government examination. Hence considering the gap period as Green.		

Annexure 10 (d)

EMPLOYMENT - 7		
Name of the Employer	RBL Bank	RBL Bank
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	11-06-18	11-06-18
Date of Relieving/Exit - To	16-01-20	16-01-20
Designation / Role	Service Delivery Ambassador-Cash	Service Delivery Ambassador-Cash
Gap Remarks: A gap of 1.7 years identified between HDFC Bank (09-2016) & RBL Bank (06-2018). During this period, Candidate was preparing for Government examinations. Hence considering the gap period as Green.		
EMPLOYMENT - 8		
Name of the Employer	HDFC Bank	HDFC Bank
Full Name of the Employee	Sanyam Saxena	Sanyam Saxena
Date of joining - From	11-06-15	11-06-15
Date of Relieving/Exit - To	22-09-16	22-09-16
Designation / Role	Assistant Manager	Assistant Manager
Gap Remarks: NA		

CRIMINAL DATABASE

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Sanyam Saxena	Sanyam Saxena
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date of Birth and address did not match, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

LSEG World-Check One

CASE REPORT

Confidential

Name	Sanyam Saxena		
Case Rating	Not Rated		
World-Check Total Matches	NO MATCHES FOUND		
Case ID	GQ-AMRSN-1511		
Current Group	World Check		
Gender	Male	Date of Birth	17 Aug 1992
Last Screened	23 May 2025 09:50	Case Created	23 May 2025 09:50
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

KEY FINDINGS

Total Matches	NO MATCHES FOUND
Unresolved Matches	0

Name: Sanyam Saxena
 Date Printed: 23-05-2025, 09:55
 Printed By: Hemender Kumar
 Group: World Check



Annexure 11 (b)

AUDIT

Date	Actioned By	Action	Notes	Source
23 May 2025 09:50	Hemender Kumar	Case Screened for Wor ld-Check (Initial/Re- Screen Only)		Desktop Batch
23 May 2025 09:50	Hemender Kumar	New Case added		Desktop Batch

NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name
Date Printed
Printed By
Group

Sanyam Saxena
23-05-2025, 09:55
Hemender Kumar
World Check



Annexure 11 (c)

Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
US & Canada – Most Wanted Lists	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record

Annexure 11 (d)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
Most Wanted Lists: Europe and Central Asia	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

Annexure 11 (e)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
Most Wanted Lists: Africa	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
Most Wanted Lists: Asia Pacific	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

Annexure 11 (f)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
US and Canadian Regulatory Bodies	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

Annexure 11 (g)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)—export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

Annexure 11 (h)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
European Regulatory Bodies	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
Asia Pacific Regulatory Bodies	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

Annexure 11 (i)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSFC), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

Annexure 11 (j)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

End of detail report

