

## CONFIDENTIAL BACKGROUND VERIFICATION REPORT

<b>Name of the Candidate</b>	Mohit	<b>Client Name</b>	AML Rightsource India Pvt Ltd - AMRSN 2025
<b>Application ID</b>	GQ-AMRSN-1540	<b>Report Status</b>	Closed
<b>Date of Birth</b>	02 May 2001	<b>Application Received</b>	23-05-2025
<b>Candidate Employee ID</b>	113900	<b>Insuff Cleared/Reopened</b>	NA
<b>Report Type</b>	FINAL	<b>Final Report Date</b>	31-07-2025
<b>Verification Purpose</b>	EMPLOYMENT	<b>Overall Report Status</b>	<b>GREEN</b>

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
LATEST EMPLOYMENT 1	Rahul - HR- Team Leader	25-07-2025	<b>GREEN</b>
GRADUATION	Online Database	23-05-2025	<b>GREEN</b>
EX EMPLOYMENT 2	ABC HR Helpdesk	30-07-2025	<b>GREEN</b>
CREDIT/CIBIL CHECK	Transunion CIBIL	23-05-2025	<b>GREEN</b>
POLICE VERIFICATION	Mr. Sandeep Golui, Constable	23-05-2025	<b>GREEN</b>
COURT VERIFICATION	Palav Agarwal - Advocate	23-05-2025	<b>GREEN</b>
UAN/ITR/Form 26AS	PF PORTAL	29-07-2025	<b>GREEN</b>
NATIONAL IDENTITY 1	PAN Database	27-05-2025	<b>GREEN</b>
GAP CHECK	Resume & Supported Documents	24-07-2025	<b>GREEN</b>
CRIMINAL DATABASE	Refinitiv / World Check One	23-05-2025	<b>GREEN</b>

### End of summary report

<b>Legend:</b>	 -Major discrepancy	 -Minor discrepancy	 -Unable to verify	 -Pending from source	 -All clear
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LATEST EMPLOYMENT 1		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Aye Finance limited	Aye Finance limited
Name Of The Candidate:	Mohit	Mohit
Emp Code:	Aye17415	Aye17415
From:	08-02-2024	08-02-2024
To:	12-05-2025	12-05-2025
Designation:	Field Credit Officer	Field Credit Officer
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Personal Reason
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	The above details were verified by Rahul HR Team Leader of Aye Finance limited, found the employment as genuine, Hence closing the check as Green and the same is furnished as annexure.	
Information Source Name:	Rahul - HR- Team Leader	
Report Verified Date:	25-07-2025	
Verification Status(Color Code):	GREEN	

## Annexure 1 (a)

Re: Requesting for Ex-Employment Verification for the Candidate Mohit - GQ-AMRSN-1540



HR DA <hrda@ayefin.com>

To: HR Operations; verifyemployment@goldquestglobal.in; jay@goldquestglobal.in; aarti@goldquestglobal.in

1 Follow up.

Reply

Dear Team,

Please find below the remarks for your reference:

<b>Company Address</b>	Aye Finance Private Limited Unitech Commercial Tower 2, Arya Samaj Road, Block B, Greenwood City Gurugram   Haryana 122 003
<b>Emp ID</b>	Aye17415
<b>Name</b>	Mohit
<b>Date of Joining</b>	08-Feb-24
<b>Date of Relieving</b>	12-May-25
<b>Designation</b>	Field Credit Officer
<b>Department</b>	Credit
<b>Location / Branch</b>	Palwal
<b>State</b>	Haryana
<b>Region</b>	North Branches
<b>Branch Manager</b>	Koshal Tyagi
<b>Reason for leaving</b>	Personal Reason
<b>Verifier</b>	
<b>Name</b>	Rahul
<b>Designation</b>	Team Leader
<b>Contact No</b>	9871384042
<b>Email ID</b>	<a href="mailto:hrda@ayefin.com">hrda@ayefin.com</a>

## Annexure 1 (b)

Re: Requesting for Ex-Employment Verification for the Candidate Mohit - GQ-AMRSN-1540



HR DA <hrda@ayefin.com>

To: HR Operations: verifyemployment@goldquestglobal.in; jay@goldquestglobal.in; aarti@goldquestglobal.in

Follow up.

<b>Name</b>	Rahul
<b>Designation</b>	Team Leader
<b>Contact No</b>	9871384042
<b>Email ID</b>	<a href="mailto:hrda@ayefin.com">hrda@ayefin.com</a>

Regards,  
Rahul - HR  
Aye Finance Limited  
Unitech Commercial Tower 2, Arya Samaj Road, Block B, Greenwood City  
Gurugram | Haryana 122 003  
Ph : 0124 4844000 | M : +91 9871384042  
[HRDA@ayefin.com](mailto:HRDA@ayefin.com) <<mailto:HRDA@ayefin.com>>

## Annexure 1 (c)

Re: Requesting for Ex-Employment Verification for the Candidate Mohit - GQ-AMRSN-1540



HR, DA <hrda@ayefin.com>

To: HR Operations; verifyemployment@goldquestglobal.in; jay@goldquestglobal.in; aarti@goldquestglobal.in

Follow up.

Client Name: Rainbow Hospital

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	Aye Finance limited	
Candidate Name	Mohit	
Employee Code/ID/No	Aye17415	
From (Date of Joining)	08-February-2024	
To (Date of Exit/Relieving)	12-May-2025	
Designation (At the time of leaving the company):	Field Credit Officer	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Rehire Eligibility	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA – BREACH of any kind	Please Provide	
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:	Please Provide	
Verified By ( Name, Contact Number & Designation)	Please Provide	

GRADUATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Maharshi Dayanand University	Maharshi Dayanand University
Name Of The Candidate:	Mohit	Mohit
Enroll No:	2011342208	2011342208
Degree:	Bachelor of Commerce	Bachelor of Commerce
Major:	Commerce	Commerce
Month And Year Of Passing:	May-2023	May-2023
SI No:	Not Applicable	Not Applicable
Remarks:	The following applicant details were verified in the Online Database of Maharshi Dayanand University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Online Database	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

## Annexure 2 (a)

23/05/2025, 14:28

Maharshi Dayanand University, Rohtak

### Result

**\*\*The Results displayed here subject to updation/correction. The final result will on DMC.**

#### Instructions:

1- Enter your complete "Registration No" and "Roll Number" for searching.



**MAHARSHI DAYANAND UNIVERSITY, ROHTAK**  
(A State University established under Haryana Act No. XXV of  
1975)

#### Result

**Date of result declaration: 23/06/2023**

#### Student Details

Reg. No.: 2011342208 Roll No.: 1120649  
Student Name: MOHIT Father Name: CHUNNI LAL  
Sem/Part: 6 (MAY - 2023) College Code: F210  
Course Name: B.COM.(VOCATIONAL) (BM06) College Name:

#### Obtained Marks Details

Subject Details	Theory Marks1	Theory Marks2	Theory Marks3	Sessional Marks	Practical Marks	Practical Sessional	Total
C602 :	052			019			071
C603 :	043			018			061
C604 :	051			019			070
C607 :	054			016			070
C613 :	028			007	016		051
C614 :	044			006	016		066
Total :-							389

**Result: PASS,GT-2319/3600**

**NOTE:** In R.L.A./R.L.E. cases (Result Late due to non-receipt/non-eligibility of awards) the student concerned should submit details viz: name of examination center, date of examination, name of subject (where A.W. has been indicated) along with a copy of the downloaded result to the concerned Result Branch within 10 days positively, failing which he/she will be treated 'Absent' in the said paper and the result will be finalized accordingly.

EX EMPLOYMENT 2		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Aditya Birla Sun Life Insurance Limited	Aditya Birla Sun Life Insurance Limited
Name Of The Candidate:	Mohit	Mohit
Emp Code:	581301	Not Provided
From:	13-03-2023	13-03-2023
To:	12-01-2024	12-01-2024
Designation:	Executive Relationship Manager	Executive Relationship Manager
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Not Disclosed
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	The above details were verified by ABC HR Helpdesk of Aditya Birla Sun Life Insurance Limited , found the employment as genuine, Hence closing the check as Green and the same is furnished as annexure.	
Information Source Name:	ABC HR Helpdesk	
Report Verified Date:	30-07-2025	
Verification Status(Color Code):	GREEN	




## Annexure 3 (a)

ELPDF  
368 KB


attachment4.eml (412 KB)  
Outlook item

101929441536.pdf  
215 KB



Wed 30-07-2023 16:56  
ABG Helpdesk <hr.abc@peoplestrong.com>  
Fwd: [#INC-5530073] - FW: Requesting for Ex-Employment Verification for the Candidate Mohit - GQ-AMRSN-1540

To: verifyemployment@goldquestglobal.in

 If there are problems with how this message is displayed, click here to view it in a web browser.  
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

ELPDF  
.PDF File

Dear Team,

Thank you for your request.

Please find the below verification details for below mentioned employee:

1. D.O.J- 13-Mar-2023
2. Designation- Executive Relationship Manager
3. D.O.L- 12-Jan-2024

Please feel free to reach us in case of any further assistance is required.


Thanks & Regards,  
ABC HR Helpdesk  
Toll Free : 1-800-103-0110 ,  
Email : [hr.abc@peoplestrong.com](mailto:hr.abc@peoplestrong.com)

## Annexure 3 (b)

ELPDF  
368 KB

attachment4.eml (412 KB)  
Outlook item

101929441536.pdf  
215 KB




Wed 10-07-2025 16:56

ABG Helpdesk <hr.abc@peoplestrong.com>

Fwd: [#INC-5530073] - FW: Requesting for Ex-Employment Verification for the Candidate Mohit - GQ-AMRSN-1540

To: [verifyemployment@goldquestglobal.in](mailto:verifyemployment@goldquestglobal.in)



If there are problems with how this message is displayed, click here to view it in a web browser.  
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

ELPDF  
.PDF File

Continuing with all relevant personal up and organizational records and ensure complete your up-to-date filing with all relevant documents and ensure complete up-to-date filing with all relevant documents.

**Client Name: Rainbow Hospital**

	Candidate's Particulars	HR/Verifier inputs (Please substantiate here)
Company Name	Aditya Birla Sun Life Insurance Limited	
Candidate Name	Mohit	
Employee Code/ID/No	581301	
From (Date of Joining)	13 Mar 2023	
To (Date of Exit/Relieving)	12 Jan 2024	
Designation (At the time of leaving the company)	Executive Relationship Manager	
Salary/CTC	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Refuge Eligibility	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter document is authentic?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA - BREACH of any kind	Please Provide	
Any important Point Apart from the Above if relevant in making right hiring decision, Please let us know:	Please Provide	
Verified By ( Name, Contact Number & Designation)	Please Provide	

Kindly note that your inputs and feedback given would assist our client in making a prompt hiring decision and therefore we await your response for the same at the earliest.

CREDIT/CIBIL CHECK		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Mohit	Mohit
Pan Number:	FVIPM7703J	FVIPM7703J
CIBIL Transunion Score:	Not Applicable	737
Scoring Factors:	Not Applicable	HIGH BALANCE BUILD-UP ON NON-MORTGAGE LOANS
Personal Loan Score:	Not Applicable	Not Applicable
Status:	Not Applicable	Green
Verified By:	Not Applicable	Transunion CIBIL
Remarks:	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Transunion CIBIL	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

## Annexure 4 (a)



### CONSUMER CIR

CONSUMER: MOHIT

MEMBER ID: BS00115010\_KOL12455

MEMBER REFERENCE NUMBER:

DATE: 23-05-2025

TIME: 15:37:07

CONTROL NUMBER: 9,11,96,44,260

#### CONSUMER INFORMATION:

NAME: MOHIT S O CHUNNI LAL SHARMA

DATE OF BIRTH: 02-05-2001

GENDER: MALE

#### CIBIL TRANSUNION SCORE(S):

SCORE NAME	SCORE	SCORING FACTORS
CREDITVISION® SCORE	737	<ol style="list-style-type: none"><li>1: HIGH BALANCE BUILD-UP ON NON-MORTGAGE LOANS</li><li>2: HIGH PROPORTION OF OUTSTANDING TRADES</li><li>3: PRESENCE OF DELINQUENCY</li><li>4: PRESENCE OF SEVERE DELINQUENCY</li><li>5: HIGH BALANCE BUILD-UP ON REVOLVING TRADES</li></ol>

#### POSSIBLE RANGE FOR CREDITVISION® SCORE

Consumer with at least one trade on the bureau in last 36 months  
Consumer not in CIBIL database or history older than 36 months

: 300 (High risk) to 900 (low risk)  
: -1

\* At least one tradeline with information updated in last 36 months is required.

#### IDENTIFICATION(S):

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
INCOME TAX ID NUMBER (PAN)	FVIPM7703J		
UNIVERSAL ID NUMBER (UID)	XXXXXXXXXXXX		
CKYC	40076988987829		

#### TELEPHONE(S):

TELEPHONE TYPE	TELEPHONE NUMBER	TELEPHONE EXTENSION
NOT CLASSIFIED	919991833939	
NOT CLASSIFIED	919306641424	
HOME PHONE	012759991833939	
NOT CLASSIFIED	09991833939	

## Annexure 4 (b)



## CONSUMER CIR

CONSUMER: MOHIT

MEMBER ID: BS00115010\_KOL12455

MEMBER REFERENCE NUMBER:

DATE: 23-05-2025

TIME: 15:37:07

CONTROL NUMBER: 9,11,96,44,260

## EMAIL CONTACT(S):

## EMAIL ADDRESS

MOHIT2001@GMAIL.COM

MOHITKASHYAP252001@GMAIL.COM

MOHITPANDIT2916@GMAIL.COM

## ADDRESS(ES):

ADDRESS : DC 702 3, KRISHNA COLONY PALWAL, BEHIND FCI GODAWN, PALWAL, HARYANA, 121102 HARYANA 121102

CATEGORY: RESIDENCE ADDRESS RESIDENCE CODE: RENTED DATE REPORTED: 31-03-2024

ADDRESS : SCO-10 HUDA MARKET SECTOR 16 HARYANA 121002

CATEGORY: OFFICE ADDRESS RESIDENCE CODE: DATE REPORTED: 31-12-2023

ADDRESS : HNO 441 NEAR CHAIRMAN HOUSE KRISHNA COLONY HARYANA 121102

CATEGORY: RESIDENCE ADDRESS RESIDENCE CODE: DATE REPORTED: 31-12-2023

ADDRESS : S/O: CHUNNI LAL SHARMA DC-702/3 KRISHANA COLO NY PALWAL PALWAL HARYANA 121102

CATEGORY: PERMANENT ADDRESS RESIDENCE CODE: DATE REPORTED: 31-12-2023

## EMPLOYMENT INFORMATION:

ACCOUNT TYPE	DATE REPORTED	OCCUPATION CODE	INCOME	NET / GROSS INCOME INDICATOR	MONTHLY / ANNUAL INCOME INDICATOR
SHORT TERM PERSONAL LOAN - UNSECURED	15-03-2025	SALARIED	20000	NET INCOME	MONTHLY

## SUMMARY:

## ACCOUNT(S)

ACCOUNT TYPE	ACCOUNTS	ADVANCES	BALANCES	DATE OPENED
All Accounts	TOTAL: 83	HIGH CR/SANC. AMT: 9,99,211	CURRENT: 2,04,334	RECENT: 01-05-2025
	OVERDUE: 0		OVERDUE: 0	OLDEST: 08-02-2022
	ZERO-BALANCE: 71			

## ENQUIRIES

Only Last 36 months enquiries will be displayed in the Credit report

ENQUIRY PURPOSE	TOTAL	PAST 30 DAYS	PAST 12 MONTHS	PAST 24 MONTHS	RECENT
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## Annexure 4 (c)



### CONSUMER CIR

CONSUMER: MOHIT

MEMBER ID: BS00115010\_KOL12455

MEMBER REFERENCE NUMBER:

DATE: 23-05-2025

TIME: 15:37:07

CONTROL NUMBER: 9,11,96,44,260

MEMBER	ENQUIRY DATE	ENQUIRY PURPOSE	ENQUIRY AMOUNT
NOT DISCLOSED	26-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	20-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	19-10-2023	CREDIT CARD	10,000
NOT DISCLOSED	19-10-2023	CONSUMER LOAN	20,000
NOT DISCLOSED	19-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	19-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	19-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	18-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	18-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	18-10-2023	CREDIT CARD	1,000
NOT DISCLOSED	15-10-2023	TWO-WHEELER LOAN	60,000
NOT DISCLOSED	22-08-2023	CREDIT CARD	10,000
NOT DISCLOSED	08-08-2023	CREDIT CARD	1,000
NOT DISCLOSED	25-07-2023	CREDIT CARD	1,000
NOT DISCLOSED	21-07-2023	CREDIT CARD	1,000
NOT DISCLOSED	07-07-2023	CREDIT CARD	1,00,000
NOT DISCLOSED	03-07-2023	CREDIT CARD	1,000
NOT DISCLOSED	30-06-2023	CREDIT CARD	100
NOT DISCLOSED	22-06-2023	CREDIT CARD	10,000
NOT DISCLOSED	21-06-2023	CREDIT CARD	10,000
NOT DISCLOSED	21-06-2023	CREDIT CARD	10,000
NOT DISCLOSED	18-06-2023	CREDIT CARD	10,000
NOT DISCLOSED	14-06-2023	PERSONAL LOAN	25,000



## Annexure 4 (d)



### CONSUMER CIR

CONSUMER: MOHIT

MEMBER ID: BS00115010\_KOL12455

MEMBER REFERENCE NUMBER:

DATE: 23-05-2025

TIME: 15:37:07

CONTROL NUMBER: 9,11,96,44,260

MEMBER	ENQUIRY DATE	ENQUIRY PURPOSE	ENQUIRY AMOUNT
NOT DISCLOSED	10-06-2023	CONSUMER LOAN	49,990
NOT DISCLOSED	08-06-2023	CREDIT CARD	20,000
NOT DISCLOSED	13-05-2023	CREDIT CARD	10,000
NOT DISCLOSED	01-05-2023	CREDIT CARD	50,000
NOT DISCLOSED	29-04-2023	PERSONAL LOAN	25,000
NOT DISCLOSED	27-04-2023	CREDIT CARD	10,000
NOT DISCLOSED	05-04-2023	CREDIT CARD	20,000
NOT DISCLOSED	24-02-2023	CREDIT CARD	10,000
NOT DISCLOSED	25-11-2022	OTHER	50,000
NOT DISCLOSED	22-10-2022	PERSONAL LOAN	25,000
NOT DISCLOSED	07-10-2022	CREDIT CARD	100
NOT DISCLOSED	24-09-2022	PERSONAL LOAN	25,000
NOT DISCLOSED	23-09-2022	PERSONAL LOAN	25,000
NOT DISCLOSED	20-09-2022	CONSUMER LOAN	10,000
NOT DISCLOSED	05-09-2022	CREDIT CARD	1,000
NOT DISCLOSED	04-07-2022	CONSUMER LOAN	50,000

### END OF REPORT ON MOHIT

All information contained in this credit report has been collated by TransUnion CIBIL Limited ( TU CIBIL) based on information provided/ submitted by its various members("Members"), as part of periodic data submission and Members are required to ensure accuracy, completeness and veracity of the information submitted. The credit report is generated using the proprietary search and match logic of TU CIBIL. TU CIBIL uses its best efforts to ensure accuracy, completeness and veracity of the information contained in the Report, and shall only be liable and / or responsible if any discrepancies are directly attributable to TU CIBIL. The use of this report is governed by the terms and conditions of the Operating Rules for TU CIBIL and its Members.

POLICE VERIFICATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-1540	GQ-AMRSN-1540
Candidate Name:	Mohit	Mohit
Date Of Birth:	02-05-2001	01-05-2001
Father Name:	Chunni lal	Chunni lal
Address1:	Dc 702/3,Krishna colony,Palwal,Behind fci godawn ,Haryana-121102	Dc 702/3,Krishna colony,Palwal,Behind fci godawn ,Haryana-121102
Remarks:	The following applicant details are verbally verified Mr. Sandeep Golui, Constable and the notary report duly stamped and signed by Palav Agarwal (Advocate) with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Mr. Sandeep Golui, Constable	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	



## Annexure 5 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-1540
NAME OF THE APPLICANT	Mohit
FATHER NAME	Chunni Lal
DATE OF BIRTH	02-May-2001
ADDRESS OF THE APPLICANT	Dc 702/3,Krishna colony,Palwal,Behind fci godawn ,Haryana-121102
NAME OF THE POLICE STATION	Palwal City Police Station
NAME OF THE STATION HOUSE OFFICER	NA
POLICE STATION CONTACT NUMBER	012752-52100
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	23-May-2025
VERIFIER NAME AND DESIGNATION	Mr. Sandeep Golui, Constable

### EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

COURT VERIFICATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-1540	GQ-AMRSN-1540
Candidate Name:	Mohit	Mohit
Date Of Birth:	02-05-2001	01-05-2001
Father Name:	Chunni lal	Chunni lal
Address1:	Dc 702/3, Krishna colony, Palwal, Behind fci godawn, Haryana-121102	Dc 702/3, Krishna colony, Palwal, Behind fci godawn, Haryana-121102
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

## Annexure 6 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-1540
NAME OF THE APPLICANT	Mohit
FATHER NAME	Chunni Lal
DATE OF BIRTH	02-May-2001
ADDRESS OF THE APPLICANT	Dc 702/3, Krishna colony, Palwal, Behind fci godawn, Haryana-121102
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	23-May-2025

### EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

UAN/ITR/Form 26AS		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Mohit	Mohit
Application Id:	GQ-AMRSN-1540	GQ-AMRSN-1540
Employee Id:	Not Applicable	Not Applicable
Remarks:	The following applicant details were verified through PF Portal & found the name of the Candidate, Father Name, Name of the Organisation, DOJ & Date of Exit. found the employment as Genuine. hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PF PORTAL	
Report Verified Date:	29-07-2025	
Verification Status(Color Code):	GREEN	

## Annexure 7 (a)

UAN	MEMBER ID	Name	Father/Husband Name	Establishment Name	DATE OF JOINING	DATE OF EXIT	LAST CONTRIB UTION
101929441536	PYBOM24182510000014504	M****	CHUNNI LAL SHARMA	AML RIGHTSOURCE INDIA PRIVATE LIMITED	26-05-2025		
101929441536	DLCPM10368630000027436	M****	CHUNNI LAL SHARMA	AYE FINANCE PRIVATE LIMITED	08-02-2024	NA	May-25
101929441536	MHBAN02110390000631069	M****	CHUNNI LAL SHARMA	ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED	13-03-2023	NA	Dec-23

NATIONAL IDENTITY 1		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Pan Card	Pan Card
Identity Number:	FVIPM7703J	FVIPM7703J
Verification Result:	Not Applicable	Green
Verified By:	Not Applicable	PAN Database
Remarks:	The PAN records were verified through tax information network, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PAN Database	
Report Verified Date:	27-05-2025	
Verification Status(Color Code):	GREEN	

Annexure 8 (a)

<b>आयकर विभाग</b> INCOME TAX DEPARTMENT	 सत्यमेव जयते	<b>भारत सरकार</b> GOVT. OF INDIA
	<b>स्थायी लेखा संख्या कार्ड</b> Permanent Account Number Card <b>FVIPM7703J</b>	
नाम / Name <b>MOHIT</b>		
पिता का नाम / Father's Name <b>CHUNNI LAL</b>		
जन्म की तारीख / Date of Birth <b>02/05/2001</b>	 हस्ताक्षर / Signature	

## Annexure 8 (b)



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English ▾

Home

Individual/HUF ▾

Company ▾

Non-Company ▾

Tax Professionals & Others ▾

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### e-Pay Tax



You have successfully verified through mobile OTP. Click Continue to make a new payment.

PAN / TAN  
FVIPM7703J

Name  
MXXXT



GAP CHECK		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Mohit	Mohit
Application Id:	GQ-AMRSN-1540	GQ-AMRSN-1540
Employee Id:	Not Applicable	Not Applicable
Remarks:	The following details were verified through Resume & the Supported documents provided by the applicant. GAP identified for the period of 6 months in employment to employment. however the applicant provided the supporting documents for the GAP identified, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Resume & Supported Documents	
Report Verified Date:	24-07-2025	
Verification Status(Color Code):	GREEN	

## Annexure 9 (a)

### GAP VALIDATION

PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Mohit	Mohit
DOB	02-05-2001	02-05-2001

Graduation		
Name of the Board / School	Maharshi Dayanand University	Maharshi Dayanand University
Course	B.Com	B.Com
Specialization / Major	Commerce	Commerce
Year of Passing	2023	2023
Gap Remarks: A Gap of 7 months identified between UG (06-2023) & Aye Finance (02-2024). During this period, Candidate was placed by Campus Recruitment in Aditya Birla Sunlife Insurance till 02-2024. Hence considering the gap period as Green.		

12th		
Name of the Board / School	Central Board Of Secondary Education	Central Board Of Secondary Education
Course	12th	12th
Specialization / Major	NA	NA
Year of Passing	2018	2018
Gap Remarks: A Gap of 2 years identified between 12th (2018) & UG (2020). During this period, Candidate was preparing for CA Exams & also had applied for govt jobs. Candidate has provided the relevant proofs. Hence considering the gap period as Green.		

10TH STANDARD		
Name of the Board / School	Central Board Of Secondary Education	Central Board Of Secondary Education
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2016	2016
Gap Remarks: NA		

## Annexure 9 (b)

10TH STANDARD		
Name of the Board / School	Central Board Of Secondary Education	Central Board Of Secondary Education
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2016	2016
Gap Remarks: NA		

EMPLOYMENT - 1		
Name of the Employer	Aye finance pvt ltd	Aye finance pvt ltd
Full Name of the Employee	Mohit	Mohit
Date of joining - From	08-02-2024	08-02-2024
Date of Relieving/Exit - To	12-05-2025	12-05-2025
Designation / Role	Field Credit Officer	Field Credit Officer
Gap Remarks: NA		

EMPLOYMENT - 2		
Name of the Employer	Aditya Birla Sun Life Insurance Limited	Aditya Birla Sun Life Insurance Limited
Full Name of the Employee	Mohit	Mohit
Date of joining - From	13-03-2023	13-03-2023
Date of Relieving/Exit - To	12-01-2024	12-01-2024
Designation / Role	Executive Relationship Manager	Executive Relationship Manager
Gap Remarks: NA		

CRIMINAL DATABASE		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Mohit	Mohit
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	23-05-2025	
Verification Status(Color Code):	GREEN	

LSEG World-Check One  
CASE REPORT

Confidential

Name	Mohit		
Case Rating	Not Rated		
World-Check Total Matches	13		
Case ID	GQ-AMRSN-1540		
Current Group	World Check		
Gender	Male	Date of Birth	02 May 2001
Last Screened	23 May 2025 09:50	Case Created	23 May 2025 09:50
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

KEY FINDINGS

Total Matches	13				
Resolved Matches	13	Positive: 0	Possible: 0	False: 13	Unspecified: 0
Unresolved Matches	0				

Name  
Date Printed  
Printed By  
Group

Mohit  
23-05-2025, 09:55  
Hemender Kumar  
World Check



## Annexure 10 (b)

### WORLD-CHECK MATCHES

Resolution Status	Matching Entity	WC UID	Type	Category	Gender	Date(s) of Birth	Citizenship	Location	Last Resolved	Last Reviewed	Risk	Resolution Reason	Resolution Comment	Match Strength
FALSE	- ANUJ	6901595	OB, SIC	INDIVIDUAL	MALE	1998, 1997	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	- MOHIT	3229448	SIC, RE	INDIVIDUAL	MALE	24-Nov-1984	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	- MOHIT	3279620	SIC, RE	INDIVIDUAL	MALE	05-Oct-1993	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	- MOHIT	5457996	SIC, RE	INDIVIDUAL	MALE	07-Jun-1995	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	- MOHIT	8343264	OB, SIC	INDIVIDUAL	MALE	2004, 2005	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	- MOHIT	3234165	SIC, RE	INDIVIDUAL	MALE	15-Jul-1993	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	Kushal DHINGRA	3587696	OB, SIC	INDIVIDUAL	MALE	1988, 1987	IND	IND	23-May-2025			Auto-Resolved	Date of Birth mismatch	Exact - 100%
FALSE	- MOHIT	7733903	PEP	INDIVIDUAL	MALE		IND	IND	23-May-2025		Unknown	NO MATCH		Exact - 100%
FALSE	- MOHIT	8433226	LE, SIC	INDIVIDUAL	MALE		IND	IND	23-May-2025		Unknown	NO MATCH		Exact - 100%
FALSE	Akshay CHAUHAN	7107339	OB, SIC	INDIVIDUAL	MALE	2000, 1999	IND	IND	23-May-2025		Unknown	NO MATCH		Exact - 100%
FALSE	Jatinder KUMAR	7970564	OB, SIC	INDIVIDUAL	MALE		IND	IND	23-May-2025		Unknown	NO MATCH		Exact - 100%
FALSE	Jatinder KUMAR	7952321	OB, SIC	INDIVIDUAL	MALE		IND	IND	23-May-2025		Unknown	NO MATCH		Exact - 100%
FALSE	Manish PARMAR	4341340	OB, SIC	INDIVIDUAL	MALE		IND	IND	23-May-2025		Unknown	NO MATCH		Exact - 100%

Name  
Date Printed  
Printed By  
Group

Mohit  
23-05-2025, 09:55  
Hemender Kumar  
World Check



## Annexure 10 (c)

### AUDIT

Date	Actioned By	Action	Notes	Source
23 May 2025 09:54	Hemender Kumar	6 World-Check matches resolved as False		Desktop
23 May 2025 09:50	Hemender Kumar	Case Screened for World-Check (Initial/Re-Screen Only)		Desktop Batch
23 May 2025 09:50	Hemender Kumar	New Case added		Desktop Batch

### NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

#### Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name  
Date Printed  
Printed By  
Group

Mohit  
23-05-2025, 09:55  
Hemender Kumar  
World Check





## Annexure 10 (d)

### Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

### Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

### Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

### Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
<b>US &amp; Canada – Most Wanted Lists</b>	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record



## Annexure 10 (e)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
<b>Most Wanted Lists: Europe and Central Asia</b>	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

## Annexure 10 (f)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
<b>Most Wanted Lists: Africa</b>	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
<b>Most Wanted Lists: Asia Pacific</b>	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

## Annexure 10 (g)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

## Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
<b>US and Canadian Regulatory Bodies</b>	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

## Annexure 10 (h)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

## Annexure 10 (i)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
<b>European Regulatory Bodies</b>	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
<b>Asia Pacific Regulatory Bodies</b>	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

## Annexure 10 (j)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

## Annexure 10 (k)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

## Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

## Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

## Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

### Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

### End of detail report

