

## CONFIDENTIAL BACKGROUND VERIFICATION REPORT

|                              |               |                                |  |
|------------------------------|---------------|--------------------------------|--|
| <b>Name of the Candidate</b> | Sneha Soni    | <b>Client Name</b>             | AML Rightsource India Pvt Ltd - AMRSN 2025 |
| <b>Application ID</b>        | GQ-AMRSN-1720 | <b>Report Status</b>           | Closed                                     |
| <b>Date of Birth</b>         | 19 Jun 2000   | <b>Application Received</b>    | 01-07-2025                                 |
| <b>Candidate Employee ID</b> | 114684        | <b>Insuff Cleared/Reopened</b> | NA   |
| <b>Report Type</b>           | STOPCHECK     | <b>Final Report Date</b>       | 21-07-2025                                 |
| <b>Verification Purpose</b>  | EMPLOYMENT    | <b>Overall Report Status</b>   | <b>GREEN</b>                               |

| REPORT COMPONENT    | INFORMATION SOURCE          | COMPONENT STATUS |                     |
|---------------------|-----------------------------|------------------|---------------------|
|                     |                             | COMPLETED DATE   | VERIFICATION STATUS |
| POST GRADUATION     | Registrar                   | 08-07-2025       | <b>GREEN</b>        |
| GRADUATION          | Registrar                   | 04-07-2025       | <b>GREEN</b>        |
| COURT VERIFICATION  | Palav Agarwal-Advocate      | 01-07-2025       | <b>GREEN</b>        |
| POLICE VERIFICATION | Mr. Surath Dutta, Constable | 01-07-2025       | <b>GREEN</b>        |
| NATIONAL IDENTITY 1 | UIDAI - Aadhaar             | 04-07-2025       | <b>GREEN</b>        |
| CRIMINAL DATABASE   | Refinitiv / World Check One | 01-07-2025       | <b>GREEN</b>        |

### End of summary report

|                |  |  |   |  |  |
|----------------|--|--|---|--|--|
| <b>Legend:</b> |  -Major discrepancy |  -Minor discrepancy |  -Unable to verify |  -Pending from source |  -All clear |
|----------------|--|--|---|--|--|

| POST GRADUATION                  |  |                                    |
|----------------------------------|--|------------------------------------|
| PARTICULARS                      | APPLICATION DETAILS  | REPORT DETAILS                     |
| Name Of The Institution:         | Jiwaji University  | Jiwaji University                  |
| Name Of The Candidate:           | Sneha Soni   | Sneha Soni                         |
| Enroll No:                       | JC18005152   | JC18005152                         |
| Degree:                          | Master of Business Administrations   | Master of Business Administrations |
| Major:                           | Business Administrations   | Business Administrations           |
| Month And Year Of Passing:       | 2025   | 2025                               |
| Certification Issued Date:       | 2025-06  | 2025-06                            |
| SI No:                           | Not Provided   | Not Provided                       |
| Remarks:                         | The following applicant details were verified by Registrar of Jiwaji University found genuine, hence closing the check as GREEN and the same is furnished as annexure. |                                    |
| Information Source Name:         | Registrar  |                                    |
| Report Verified Date:            | 08-07-2025   |                                    |
| Verification Status(Color Code): | GREEN  |                                    |

# Annexure 1 (a)



Prestige Institute of Management and Research, Gwalior

OPP. DEEN DAYAL NAGAR, AIR PORT ROAD, GWALIOR

(AFFILIATED TO JIWAJI UNIVERSITY GWALIOR)

MBA (BUSINESS ANALYTICS) FOURTH SEMESTER Examination (Jan-June 2025)

|                         |                          |  |
|-------------------------|--------------------------|--|
| NAME :                  | SNEHA SONI               |  |
| FATHER'S/HUSBAND NAME : | SANJAY SONI              |  |
| MOTHER NAME :           | REKHA SONI               |  |
| ENROLLMENT NO :         | JC18005152               |  |
| ROLL NO :               | 23012028                 |  |
| COURSE :                | MBA (BUSINESS ANALYTICS) |  |
| BRANCH/SPECIALIZATION : | MBA (BUSINESS ANALYTICS) |  |

| Course Code | Subject Name                                    | Total Credits | Earned Credits | Grade | Grade Point | Credit Point (Credits * Grade Point) |
|-------------|---|---------------|----------------|-------|-------------|--------------------------------------|
| MBA-BA 401  | Entrepreneurship and Small Business Development | 4             | 4              | A     | 8           | 32                                   |
| MBA-BA 402  | Cyber Security & Law                            | 4             | 4              | A+    | 9           | 36                                   |
| MBA-BA 403  | Security Analysis and Portfolio Management      | 4             | 4              | B+    | 7           | 28                                   |
| MBA-BA 404  | Marketing Analytics                             | 4             | 4              | C     | 10          | 40                                   |
| MBA-BA 405  | H R Analytics                                   | 4             | 4              | A+    | 9           | 36                                   |
| MBA-BA 406  | Dissertation Report and Viva Voce               | 4             | 4              | A+    | 9           | 36                                   |
| MBA-BA 407  | Predictive Analytics Using SPSS                 | 4             | 4              | A+    | 9           | 36                                   |
| Non Credit  | Value Added Courses Elective VAC                | 0             | 0              | P     | 0           | 0                                    |

|                 |      |                        |    |                       |     |
|-----------------|------|------------------------|----|-----------------------|-----|
| TOTAL CREDITS : | 28   | TOTAL EARNED CREDITS : | 28 | TOTAL CREDIT POINTS : | 244 |
| RESULT :        | PASS |                        |    |                       |     |
| SGPA :          | 8.71 |                        |    |                       |     |

Dy. Registrar  
Jiwaji University  
Gwalior

Jiwaji University  
Gwahar

| SEMESTER WISE DETAIL OF RESULTS |          |                       |          |          |              |    |     |                |    |   |  |
|---------------------------------|----------|-----------------------|----------|----------|--------------|----|-----|----------------|----|---|--|
| Unclear Subject Code :          |          |                       |          |          |              |    |     |                |    |   |  |
| SEMESTER                        | I        | II                    | III      | IV       | V            | VI | VII | VIII           | IX | X |  |
| EARNED / TOTAL CREDITS          | 30.00/30 | 30.00/30              | 28.00/28 | 28.00/28 | /            | /  | /   | /              | /  | / |  |
| SGPA                            | 8.27     | 9.00                  | 8.71     | 8.71     |              |    |     |                |    |   |  |
| ATTEMPT                         | 1        | 1                     | 1        | 1        |              |    |     |                |    |   |  |
| RESULT                          | PASS     | PASS                  | PASS     | PASS     |              |    |     |                |    |   |  |
| TOTAL CREDITS                   | CGPA     | EQUIVALENT PERCENTAGE |          |          | FINAL RESULT |    |     | DIVISION       |    |   |  |
| 106                             | 8.67     | 86.7                  |          |          | PASS         |    |     | First Division |    |   |  |

Note -  
1. This is a computer-generated printout & no signature is required.

| GRADUATION                       |  |                      |
|----------------------------------|--|----------------------|
| PARTICULARS                      | APPLICATION DETAILS  | REPORT DETAILS       |
| Name Of The Institution:         | Jiwaji University  | Jiwaji University    |
| Name Of The Candidate:           | Sneha Soni   | Sneha Soni           |
| Enroll No:                       | JC18005152   | JC18005152           |
| Degree:                          | Bachelor of Commerce   | Bachelor of Commerce |
| Major:                           | Commerce   | Commerce             |
| Month And Year Of Passing:       | 2021   | 2021                 |
| Certification Issued Date:       | 2021-09  | 2021-09              |
| SI No:                           | Not Provided   | Not Provided         |
| Remarks:                         | The following applicant details were verified by Registrar of Jiwaji University found genuine, hence closing the check as GREEN and the same is furnished as annexure. |                      |
| Information Source Name:         | Registrar  |                      |
| Report Verified Date:            | 04-07-2025   |                      |
| Verification Status(Color Code): | GREEN  |                      |

# Annexure 2 (a)

**GOVERNMENT KAMLARAJA GIRLS POST-GRADUATE**  
AUTONOMOUS COLLEGE, GWALIOR  
An Autonomous Institution of  
**JIWAJI UNIVERSITY, GWALIOR (M.P.)**  
Statement of marks for the examination of

B.COM. PART III (JYDC) EXAM: MARCH/APRIL-2021

ROLL NO.: 1922038

S/NAME : SNEHA SONI  
F/NAME : SANJAY SONI  
M/NAME : REKHA SONI

ENROL. NO.: JC18005152  
DATE OF ISSUE: 21/09/2021  
CATEG.: REGULAR

| SUBJECTS                                  | SCHEME OF MARKS |     |     |     |     |     | MARKS OBTAINED |     |             |     |     |     |
|---|-----------------|-----|-----|-----|-----|-----|----------------|-----|-------------|-----|-----|-----|
|   | MAX             | MKS | TOT | MIN | CCE | MAX | MIN            | MKS | OBT         | TOT | CCE | TOT |
| 1. FOUNDATION COURSE                      | 30              | 30  | 25  | 85  | 28  | 15  | 100            | 33  | 22          | 22  | 15  | 59  |
| I HINDI LANGUAGE AND MORAL VALUES         |                 |     |     |     |     |     |                |     |             |     |     |     |
| II ENGLISH LANGUAGE                       |                 |     |     |     |     |     |                |     |             |     |     |     |
| III BASIC OF COMPUTER & INFOR. TECHNOLOGY |                 |     |     |     |     |     |                |     |             |     |     |     |
| 2. ACCOUNTING                             | 40              | 40  |     | 80  | 27  | 20  | 100            | 33  | 23          | 24  |     | 47  |
| I INCOME TAX LAW & PRACTICE               |                 |     |     |     |     |     |                |     |             |     |     |     |
| II GOODS & SERVICES TAXES & CUSTOM DUTY   |                 |     |     |     |     |     |                |     |             |     |     |     |
| 3. BUSINESS MANAGEMENT                    | 40              | 40  |     | 80  | 27  | 20  | 100            | 33  | 27          | 25  |     | 52  |
| I MANAGEMENT ACCOUNTING                   |                 |     |     |     |     |     |                |     |             |     |     |     |
| II AUDITING                               |                 |     |     |     |     |     |                |     |             |     |     |     |
| 4. APPLIED ECONOMICS                      | 40              | 40  |     | 80  | 27  | 20  | 100            | 33  | 25          | 24  |     | 49  |
| I PUBLIC FINANCE                          |                 |     |     |     |     |     |                |     |             |     |     |     |
| II FINANCIAL MANAGEMENT                   |                 |     |     |     |     |     |                |     |             |     |     |     |
|   |                 |     |     |     |     |     | 400            |     | GRAND TOTAL |     |     | 254 |

| M<br>A<br>R<br>K<br>S | FIRST YEAR |        | SECOND YEAR |        | THIRD YEAR |        | GRAND TOTAL |        | RESULT     | DIVISION |
|-----------------------|------------|--------|-------------|--------|------------|--------|-------------|--------|------------|----------|
|                       | TOTAL      | OUT OF | TOTAL       | OUT OF | TOTAL      | OUT OF | TOTAL       | OUT OF |            |          |
|                       | 235        | 400    | 250         | 400    | 254        | 400    | 739         | 1200   | PASS FIRST |          |

IN WORDS: SEVEN HUNDRED THIRTY NINE O/OF 1200

The asterisk adjacent to marks denotes failure. The alphabet 'C' adjacent to marks denotes carry forward.

**REGISTRAR**  
**JIWAJI UNIVERSITY**  
**GWALIOR**

BY REGISTRAR (EXAMS)  
Jiwaji University

CHECKED BY

CONTROLLER OF EXAMS

| COURT VERIFICATION               |  |   |
|----------------------------------|--|---|
| PARTICULARS                      | APPLICATION DETAILS  | REPORT DETAILS  |
| Reference Number:                | GQ-AMRSN-1720  | GQ-AMRSN-1720   |
| Candidate Name:                  | Sneha Soni   | Sneha Soni  |
| Date Of Birth:                   | 19-06-2000   | 19-06-2000  |
| Father Name:                     | Sanjay soni  | Sanjay soni   |
| Address1:                        | #103 century apartment, Mayur market thatipur Mayur nagar Gwalior Madhya Pradesh - 474011  | #103 century apartment, Mayur market thatipur Mayur nagar Gwalior Madhya Pradesh - 474011 |
| Remarks:                         | Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure. |   |
| Information Source Name:         | Palav Agarwal-Advocate   |   |
| Report Verified Date:            | 01-07-2025   |   |
| Verification Status(Color Code): | GREEN  |   |



## Annexure 3 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### COURT VERIFICATION REPORT

|                          |   |
|--------------------------|---|
| APPLICATION ID           | GQ-AMRSN-1720   |
| NAME OF THE APPLICANT    | Sneha Soni  |
| FATHER NAME              | Sanjay soni   |
| DATE OF BIRTH            | 19-June-2000  |
| ADDRESS OF THE APPLICANT | #103 century apartment, Mayur market thatipur Mayur nagar Gwalior Madhya Pradesh - 474011 |
| VERIFICATION STATUS      | Verified Clear  |
| VERIFIED DATE            | 01-July-2025  |

### EXECUTIVE SUMMARY

|                                     |                                   |
|-------------------------------------|-----------------------------------|
| CIVIL COURT VERIFICATION            | Verified Clear - No Records Found |
| SESSIONS COURT VERIFICATION         | Verified Clear - No Records Found |
| MAGISTRATE COURT VERIFICATION       | Verified Clear - No Records Found |
| HIGH COURT VERIFICATION             | Verified Clear - No Records Found |
| SUPREME COURT OF INDIA VERIFICATION | Verified Clear - No Records Found |

### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

| POLICE VERIFICATION              |   |   |
|----------------------------------|---|---|
| PARTICULARS                      | APPLICATION DETAILS   | REPORT DETAILS  |
| Reference Number:                | GQ-AMRSN-1720   | GQ-AMRSN-1720   |
| Candidate Name:                  | Sneha Soni  | Sneha Soni  |
| Date Of Birth:                   | 19-06-2000  | 19-06-2000  |
| Father Name:                     | Sanjay soni   | Sanjay soni   |
| Address1:                        | #103 century apartment, Mayur market thatipur Mayur nagar Gwalior Madhya Pradesh - 474011   | #103 century apartment, Mayur market thatipur Mayur nagar Gwalior Madhya Pradesh - 474011 |
| Remarks:                         | The following applicant details are verbally verified by Mr. Surath Dutta, (Constable), and the notary report duly stamped and signed by Mr Palav Agarwal (Advocate) with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure. |   |
| Information Source Name:         | Mr. Surath Dutta, Constable   |   |
| Report Verified Date:            | 01-07-2025  |   |
| Verification Status(Color Code): | GREEN   |   |



## Annexure 4 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### COURT VERIFICATION REPORT

|                          |   |
|--------------------------|---|
| APPLICATION ID           | GQ-AMRSN-1720   |
| NAME OF THE APPLICANT    | Sneha Soni  |
| FATHER NAME              | Sanjay soni   |
| DATE OF BIRTH            | 19-June-2000  |
| ADDRESS OF THE APPLICANT | #103 century apartment, Mayur market thatipur Mayur nagar Gwalior Madhya Pradesh - 474011 |
| VERIFICATION STATUS      | Verified Clear  |
| VERIFIED DATE            | 01-July-2025  |

### EXECUTIVE SUMMARY

|                                     |                                   |
|-------------------------------------|-----------------------------------|
| CIVIL COURT VERIFICATION            | Verified Clear - No Records Found |
| SESSIONS COURT VERIFICATION         | Verified Clear - No Records Found |
| MAGISTRATE COURT VERIFICATION       | Verified Clear - No Records Found |
| HIGH COURT VERIFICATION             | Verified Clear - No Records Found |
| SUPREME COURT OF INDIA VERIFICATION | Verified Clear - No Records Found |

### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly





(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

| NATIONAL IDENTITY 1              |  |                 |
|----------------------------------|--|-----------------|
| PARTICULARS                      | APPLICATION DETAILS  | REPORT DETAILS  |
| Type Of Id Card:                 | Aadhaar Card   | Aadhaar Card    |
| Identity Number:                 | 343420175987   | 343420175987    |
| Verification Result:             | Not Applicable   | Green           |
| Verified By:                     | Not Applicable   | UIDAI - Aadhaar |
| Remarks:                         | The following applicant details were verified through unique identification authority of India, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure. |                 |
| Information Source Name:         | UIDAI - Aadhaar  |                 |
| Report Verified Date:            | 04-07-2025   |                 |
| Verification Status(Color Code): | GREEN  |                 |


Annexure 5 (a)



## Annexure 5 (b)




Unique Identification Authority of India

 myAadhaar

English ▾

Dashboard > Check Aadhaar Validity



343420175987 Exists

Aadhaar Verification Completed

|          |                |
|----------|----------------|
| Age Band | 20-30 years    |
| Gender   | FEMALE         |
| State    | Madhya Pradesh |
| Mobile   | *****453       |

This Aadhaar number requires Document (Proof of Identity-POI document & Proof of Address-POA) update and Mandatory Biometric Update. Please visit nearby Aadhaar Seva Kendra.

| CRIMINAL DATABASE                |   |                |
|----------------------------------|---|----------------|
| PARTICULARS                      | APPLICATION DETAILS   | REPORT DETAILS |
| Name Of The Candidate:           | Sneha Soni  | Sneha Soni     |
| Remarks:                         | The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure. |                |
| Information Source Name:         | Refinitiv / World Check One   |                |
| Report Verified Date:            | 01-07-2025  |                |
| Verification Status(Color Code): | GREEN   |                |

## LSEG World-Check One CASE REPORT

Confidential

|                           |                   |                    |                   |
|---------------------------|-------------------|--------------------|-------------------|
| Name                      | Sneha Soni        |                    |                   |
| Case Rating               | Not Rated         |                    |                   |
| World-Check Total Matches | NO MATCHES FOUND  |                    |                   |
| Case ID                   | GQ-AMRSN-1720     |                    |                   |
| Current Group             | World Check       |                    |                   |
| Gender                    | Female            | Date of Birth      | 19 Jun 2000       |
| Last Screened             | 01 Jul 2025 07:41 | Case Created       | 01 Jul 2025 07:41 |
| Entity Type               | Individual        | Ongoing Screening  | No                |
| Archived                  | No                | Name Transposition | Yes               |

### KEY FINDINGS

|                    |                  |
|--------------------|------------------|
| Total Matches      | NO MATCHES FOUND |
| Unresolved Matches | 0                |

Name Sneha Soni  
 Date Printed 01-07-2025, 07:43  
 Printed By Hemender Kumar  
 Group World Check





## Annexure 6 (b)

### AUDIT

| Date              | Actioned By    | Action   | Notes | Source           |
|-------------------|----------------|--|-------|------------------|
| 01 Jul 2025 07:41 | Hemender Kumar | Case Screened for Wor<br>Id-Check (Initial/Re-<br>Screen Only) |       | Desktop<br>Batch |
| 01 Jul 2025 07:41 | Hemender Kumar | New Case added   |       | Desktop<br>Batch |

### NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

#### Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name  
Date Printed  
Printed By  
Group

Sneha Soni  
01-07-2025, 07:43  
Hemender Kumar  
World Check



## Annexure 6 (c)

### Annexure A

| Criminal Records Database Checks - India         |           |
|--|-----------|
| Central Bureau of Investigation Most Wanted List | No record |
| Supreme Court of India                           | No record |
| Ministry of Defense                              | No record |
| National Investigation Agency                    | No record |
| National Crime Records Bureau                    | No record |
| Delhi Police                                     | No record |
| India Courts                                     | No record |
| Ministry of Home Affairs of India                | No record |

### Annexure B

| Civil Litigation Database Checks – India          |           |
|---|-----------|
| University Grants Commission                      | No record |
| Indian Politically Exposed Persons (PEP) Database | No record |
| Income Tax Department                             | No record |
| Press Information Bureau                          | No record |

### Annexure C

| Credit and Reputational Risk Database Checks – India                                  |           |
|---|-----------|
| Reserve Bank of India   | No record |
| Securities and Exchange Board of India  | No record |
| Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors | No record |
| Bombay Stock Exchange   | No record |
| Insurance Regulatory and Development Authority  | No record |
| National Stock Exchange of India Ltd.   | No record |
| Competition Commission of India   | No record |

### Annexure D

| Serious and Organized Crimes Database Checks – Global  |           |
|--|-----------|
| Interpol Most Wanted   | No record |
| <b>US &amp; Canada – Most Wanted Lists</b>   |           |
| Air Force Office of Special Investigation - Air Force Fugitives, USA                                   | No record |
| Bureau of Alcohol, Tobacco and Firearms, USA   | No record |
| Delaware State Police, USA   | No record |
| Drug Enforcement Administration, USA   | No record |
| Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information] | No record |
| Kansas Bureau of Investigation, USA  | No record |
| Mississippi Department of Public Safety, USA   | No record |
| Oregon Department of Corrections, USA  | No record |
| Illinois Department of Corrections, USA  | No record |
| Rhode Island State Police, USA   | No record |

## Annexure 6 (d)

|  |           |
|--|-----------|
| Tennessee Bureau of Investigation, USA                                       | No record |
| Most Wanted Fugitives: Texas Department of Public Safety, USA                | No record |
| Immigration and Customs Enforcement, USA                                     | No record |
| Secret Service, USA  | No record |
| United States Department of Justice (DOJ), USA                               | No record |
| United States Marshals Service, USA  | No record |
| Naval Criminal Investigative Service, USA                                    | No record |
| Postal Inspection Service, USA   | No record |
| Virginia State Police, USA   | No record |
| West Virginia State Police, USA  | No record |
| New York Police Department, USA  | No record |
| Department of Defense, USA   | No record |
| Department of State-Enforcement, USA   | No record |
| Dept of State Foreign Terrorist Organizations, USA                           | No record |
| Dept of State Terrorist Exclusion List, USA                                  | No record |
| Georgia Bureau of Investigation, USA   | No record |
| New York City Department of Investigation, USA                               | No record |
| Central Intelligence Agency, USA   | No record |
| Department of Defense Military Commission Proceedings at Guantanamo Bay, USA | No record |
| Department of Homeland Security, USA   | No record |
| Department of State, Narcotics Rewards Program, USA                          | No record |
| US Coast Guard, USA  | No record |
| Virgin Islands Police Department, USA  | No record |
| Royal Canadian Mounted Police, Canada  | No record |
| Ontario Provincial Service, Canada   | No record |
| The Peel Regional Police, Canada   | No record |
| Alberta Law Enforcement Response Teams, Canada                               | No record |
| Border Services Agency, Canada   | No record |
| Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada | No record |
| Edmonton Police Service, Canada  | No record |
| London Canada Police Service, Canada   | No record |
| Niagara Regional Police Service, Canada                                      | No record |
| OSFI Enforcements, Canada  | No record |
| OSFI Anti Terrorism, Canada  | No record |
| Ottawa Police Service, Canada  | No record |
| Permanent Anti-Corruption Unit, Canada                                       | No record |
| Toronto Police Service, Canada   | No record |
| York Regional Police, Canada   | No record |
| <b>Most Wanted Lists: Europe and Central Asia</b>                            |           |
| The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany                 | No record |
| German Federal Criminal Police Office, Germany                               | No record |
| Baden-Württemberg Police, Germany  | No record |
| Bayern Police, Germany   | No record |

## Annexure 6 (e)

|   |           |
|---|-----------|
| Brandenburg Police, Germany   | No record |
| Bremen Police, Germany  | No record |
| Hamburg Police, Germany   | No record |
| Mecklenburg-Vorpommern Police, Germany  | No record |
| Niedersachsen Police, Germany   | No record |
| Saarland Police, Germany  | No record |
| Sachsen Police, Germany   | No record |
| The Netherlands Police Department, The Netherlands                                | No record |
| National Terrorism List, The Netherlands  | No record |
| Netherlands Police  | No record |
| Public Prosecution Service, The Netherlands                                       | No record |
| State Police, Italy   | No record |
| Proscribed Organizations, United Kingdom  | No record |
| The Metropolitan Police Service, United Kingdom                                   | No record |
| Serious Fraud Office, United Kingdom  | No record |
| National Crime Squad, United Kingdom  | No record |
| Crimestoppers Trust, United Kingdom   | No record |
| Crown Prosecution Service, United Kingdom   | No record |
| London Police, United Kingdom   | No record |
| Scottish Crime and Drug Enforcement Agency, United Kingdom                        | No record |
| Serious Organized Crime Agency, United Kingdom                                    | No record |
| UK Border Agency, United Kingdom  | No record |
| Ministry of the Interior, Russia  | No record |
| Chief Military Prosecutor, Russia   | No record |
| Federal Penitentiary Service, Russia  | No record |
| Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia | No record |
| <b>Most Wanted Lists: Africa</b>  |           |
| South African Police Service, South Africa  | No record |
| National Prosecution Authority, South Africa                                      | No record |
| <b>Most Wanted Lists: Asia Pacific</b>  |           |
| Australian National Security, Australia   | No record |
| Australian Crime Commission, Australia  | No record |
| Australian Customs and Border Protection Service, Australia                       | No record |
| Australian Federal Police, Australia  | No record |
| New South Wales Police, Australia   | No record |
| Victoria Crime Stoppers, Australia  | No record |
| Hangzhou Police Wanted List, China  | No record |
| Hebei Province Public Security Bureau, China                                      | No record |
| Central Commission for Discipline Inspection-Top 100 Fugitives, China             | No record |
| Dubai Police, UAE   | No record |
| Hong Kong Police Force, Hong Kong   | No record |
| Criminal Investigation Police, Indonesia  | No record |
| National Police, Indonesia  | No record |

## Annexure 6 (f)

|  |           |
|--|-----------|
| Hokkaido Prefecture Police, Japan                                | No record |
| Public Security Intelligence Agency, Japan                       | No record |
| Fukuoka Prefecture Police, Japan                                 | No record |
| Japanese National Police Agency, Japan                           | No record |
| Ministry of Defense, Japan                                       | No record |
| Yamagata Prefecture Police, Japan                                | No record |
| Korean National Police Agency, Korea                             | No record |
| Royal Malaysian Police Force, Malaysia                           | No record |
| New Zealand Police, New Zealand                                  | No record |
| Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan | No record |
| Punjab Police, Pakistan  | No record |
| National Bureau Of Investigation, Philippines                    | No record |
| Philippine Drug Enforcement Agency, Philippines                  | No record |
| Philippine National Police, Philippines                          | No record |
| Central Narcotics Bureau, Singapore                              | No record |
| Police Force Case Studies, Singapore                             | No record |
| Corrupt Practices Investigation Bureau, Singapore                | No record |
| Anti-Human Trafficking Division, Thailand                        | No record |
| The Department of Special Investigation, Thailand                | No record |
| Royal Thai Police, Thailand                                      | No record |
| Criminal Investigation Bureau, Taiwan                            | No record |
| Bureau of Investigation, Taiwan                                  | No record |
| Ministry of National Defense of Taiwan, Taiwan                   | No record |
| Bureau of Investigation, Ministry of Justice, Taiwan             | No record |
| Ministry of National Defense of Taiwan                           | No record |

## Annexure E

| Global Regulatory Bodies   |           |
|--|-----------|
| Bureau of Industry and Security  | No record |
| World Bank Debarred Parties  | No record |
| Defense Trade Controls (DTC) Debarred Parties                                      | No record |
| <b>US and Canadian Regulatory Bodies</b>   |           |
| New York Stock Exchange (NYSE), USA  | No record |
| Commodities and Futures Trading Commission (CFTC), USA                             | No record |
| Excluded Parties List System [includes General Services Administration (GSA)], USA | No record |
| Federal Deposit and Insurance Corporation (FDIC), USA                              | No record |
| Federal Reserve Board (FRB), USA   | No record |
| Financial Crimes Enforcement Network, USA  | No record |
| HHS-Office of Inspector General (OIG), USA   | No record |
| Department of Health & Human Services, USA   | No record |
| National Credit Union Association (NCUA), USA                                      | No record |
| Consumer Financial Protection Bureau, USA  | No record |
| Office Comptroller of Currency (OCC), USA  | No record |
| Oregon Department of Consumer & Business Services, USA                             | No record |

## Annexure 6 (g)

|  |           |
|--|-----------|
| US Securities and Exchange Commission, USA                     | No record |
| New York State Insurance Department, USA                       | No record |
| New York Department of Financial Services, USA                 | No record |
| New York State Office of General Services, USA                 | No record |
| California Department of General Services, USA                 | No record |
| Minnesota State Board of Investment, USA                       | No record |
| Financial Industry Regulatory Authority, USA                   | No record |
| Alabama Securities Commission, USA                             | No record |
| Alaska Division of Banking, Securities and Corporations, USA   | No record |
| Arizona Corporation Commission Securities Division, USA        | No record |
| Arkansas Securities Department, USA                            | No record |
| BIS Department of Commerce, USA                                | No record |
| California Department of Insurance, USA                        | No record |
| Colorado Division of Securities, USA                           | No record |
| Department of State Directorate of Defense Trade Controls, USA | No record |
| Department of the Air Force General Counsel, USA               | No record |
| Federal Aviation Administration, USA                           | No record |
| Financial Fraud Enforcement Task Force/ StopFraud.gov, USA     | No record |
| Florida Department of Financial Services, USA                  | No record |
| Illinois Securities Department, USA                            | No record |
| Kansas Securities Commission, USA                              | No record |
| Maine Securities Division, USA                                 | No record |
| Massachusetts Securities Division, USA                         | No record |
| Michigan Department of Insurance and Financial Services , USA  | No record |
| Missouri Secretary of State Securities Division, USA           | No record |
| Nebraska Department of Banking and Finance, USA                | No record |
| Nevada Secretary of State Securities Division, USA             | No record |
| New Jersey Bureau of Securities, USA                           | No record |
| New Jersey Department of Banking & Insurance, USA              | No record |
| Ohio Department of Commerce Securities Division, USA           | No record |
| Oklahoma Securities Commission, USA                            | No record |
| Pennsylvania Banking and Securities Commission, USA            | No record |
| Pennsylvania Department General Services, USA                  | No record |
| Tennessee Securities Division, USA                             | No record |
| Texas State Securities Board, USA                              | No record |
| U.S Courts, USA  | No record |
| Department of Justice, USA                                     | No record |
| Department of Labor Office of Inspector General, USA           | No record |
| Federal Trade Commission, USA                                  | No record |
| Virginia State Corporation Commission, USA                     | No record |
| Washington State Office of the Insurance Commissioner, USA     | No record |
| Bureau of Industry and Security (BIS)–export violations, USA   | No record |
| Wisconsin Commissioner of Insurance, USA                       | No record |
| US Food & Drug Administration                                  | No record |



## Annexure 6 (h)

|  |           |
|--|-----------|
| Alberta Securities Commission, Canada                                    | No record |
| British Columbia Securities Commission (BCSC), Canada                    | No record |
| Investment Dealers Association of Canada (IDA), Canada                   | No record |
| Office of Superintendents of Financial Institutions (OSFI), Canada       | No record |
| Ontario Securities Commission (OSC), Canada                              | No record |
| Canada Revenue Agency, Canada  | No record |
| Cayman Islands Monetary Authority, Cayman Islands                        | No record |
| Central Bank of Bahamas, Bahamas   | No record |
| Federal Court of Canada, Canada  | No record |
| Financial Services Commission of Ontario, Canada                         | No record |
| Canadian Securities Administrators, Canada                               | No record |
| New Brunswick Securities Commission, Canada                              | No record |
| Nova Scotia Securities Commission, Canada                                | No record |
| Tax Court of Canada, Canada  | No record |
| <b>European Regulatory Bodies</b>  |           |
| Financial Services Authority (FSA), United Kingdom                       | No record |
| Lloyds of London (Lloyds), United Kingdom                                | No record |
| HM Revenue and Customs, United Kingdom                                   | No record |
| Financial Services Authority - Final Notice, United Kingdom              | No record |
| Judiciary of Scotland, United Kingdom                                    | No record |
| Financial Conduct Authority, United Kingdom                              | No record |
| Her Majesty's Courts Service, United Kingdom                             | No record |
| Home Office, United Kingdom  | No record |
| Prudential Regulation Authority - Prohibited Individuals, United Kingdom | No record |
| Companies House - Disqualified directors, United Kingdom                 | No record |
| Serious Fraud Office, UK   | No record |
| Department of Trade and Industry, United Kingdom                         | No record |
| Malta Financial Services Authority, Malta                                | No record |
| Netherlands Courts, Netherlands  | No record |
| Netherlands Financial Intelligence Unit, Netherlands                     | No record |
| Supreme Court of the Netherlands, Netherlands                            | No record |
| The Netherlands Authority For the Financial Markets, Netherlands         | No record |
| Office of the Director of Corporate Enforcement (ODCE), Ireland          | No record |
| Revenue Commissioners - Irish Tax & Customs, Ireland                     | No record |
| The Irish Financial Services Regulatory Authority, Ireland               | No record |
| The Financial Supervision Commission, Isle of Man                        | No record |
| Institute for Supervision of Insurance, Italy                            | No record |
| Italian Securities Commission (Consob), Italy                            | No record |
| Jersey Financial Securities Commission, Jersey                           | No record |
| Courts, Jersey   | No record |
| Gibraltar Financial Services Commission, Gibraltar                       | No record |
| <b>Asia Pacific Regulatory Bodies</b>                                    |           |
| Australian Stock Exchange, Australia                                     | No record |
| Office of the Registrar of Indigenous Corporations, Australia            | No record |

## Annexure 6 (i)

|  |           |
|--|-----------|
| Australian Securities and Investment Commission (ASIC), Australia  | No record |
| Department of Foreign Affairs and Trade, Australia                 | No record |
| Bangladesh Securities and Commission, Bangladesh                   | No record |
| China Customs, China   | No record |
| Banking Regulatory Commission, China                               | No record |
| China Food and Drug Administration, China                          | No record |
| Customs General Administration, China                              | No record |
| Insurance Regulatory Commission, China                             | No record |
| Ministry of Finance, China   | No record |
| Securities Association of China, China                             | No record |
| Supreme People's Court, China                                      | No record |
| CSRC (China Securities Regulatory Commission), China               | No record |
| Financial Services and the Treasury Bureau of Hong Kong, Hong Kong | No record |
| Hong Kong Securities & Futures Commission (HKSF), Hong Kong        | No record |
| Hong Kong Customs and Excise Department, Hong Kong                 | No record |
| Hong Kong Monetary Authority – Warnings, Hong Kong                 | No record |
| Independent Commission against Corruption, Hong Kong               | No record |
| Judiciary, Hong Kong   | No record |
| Securities and Futures Exchanges, Hong Kong                        | No record |
| Indonesian Financial Services Authority                            | No record |
| Supreme Court, Indonesia   | No record |
| Ministry of Economy, Trade and Industry, Japan                     | No record |
| Ministry of Foreign Affairs, Japan                                 | No record |
| Ministry of Finance, Japan   | No record |
| Japanese Financial Services Agency, Japan                          | No record |
| Tokyo Stock Exchange (TSE), Japan                                  | No record |
| Japan Securities and Exchange Surveillance Commission, Japan       | No record |
| Financial Supervisory Service, Korea Republic                      | No record |
| Financial Services Commission of Korea, Korea                      | No record |
| Malaysia Securities Commission (MSC), Malaysia                     | No record |
| The Companies Commission of Malaysia, Malaysia                     | No record |
| Bursa Malaysia   | No record |
| Courts of Malaysia (Judgments list), Malaysia                      | No record |
| Anti-Corruption Commission, Malaysia                               | No record |
| Labuan Financial Services Authority, Malaysia                      | No record |
| Inland Revenue of New Zealand, New Zealand                         | No record |
| New Zealand Financial Markets Authority, New Zealand               | No record |
| New Zealand Securities Commission (NZSC), New Zealand              | No record |
| New Zealand Serious Fraud Office, New Zealand                      | No record |
| New Zealand Securities Commission, New Zealand                     | No record |
| Reserve Bank, New Zealand  | No record |
| Securities Exchange Commission of Pakistan (SECP), Pakistan        | No record |
| Philippines Securities and Exchange Commission, Philippines        | No record |
| Singapore Stock Exchange, Singapore                                | No record |

## Annexure 6 (j)

|  |           |
|--|-----------|
| Supreme Court, Singapore                                   | No record |
| Ministry of Law, Singapore                                 | No record |
| Customs, Singapore   | No record |
| Inland Revenue Authority, Singapore                        | No record |
| Ministry of Manpower, Singapore                            | No record |
| Thailand Securities and Exchange Commission, Thailand      | No record |
| Supreme Court of Thailand, Thailand                        | No record |
| Anti-Money Laundering Office, Thailand                     | No record |
| Banking Bureau of Financial Supervisory Commission, Taiwan | No record |
| Financial Supervisory Commission, Taiwan                   | No record |
| Taiwan Supreme Prosecutors Office, Taiwan                  | No record |

## Annexure F

| Compliance Database   |           |
|---|-----------|
| Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list | No record |
| Monetary Authority of Singapore   | No record |
| Hong Kong Monetary Authority  | No record |
| Australian Department of Foreign Affairs and Trade (DFAT)   | No record |
| The Australian Transaction Reports and Analysis Centre, Australia   | No record |
| OSFI Consolidated List, Canada  | No record |
| United Nations International Criminal Tribunal for the Former Yugoslavia  | No record |
| International Criminal Tribunal for Rwanda  | No record |
| Global Money Laundering Database  | No record |
| Politically Exposed Persons Database  | No record |

## Annexure G

| Sanction & PEP - Global  |           |
|--|-----------|
| US Department of State - Iran and Syria Nonproliferation               | No record |
| US Department of State - Iran, North Korea, and Syria Nonproliferation | No record |
| Minister of Foreign Affairs -Special Economic Measures -Syria, Canada  | No record |
| US Iran and Syria Nonproliferation Act                                 | No record |

## Annexure H

| Web and Media Searches – Global |           |
|---------------------------------|-----------|
| Internet Searches               | No record |
| Media Searches                  | No record |

### Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

### End of detail report

