

CONFIDENTIAL BACKGROUND VERIFICATION REPORT

Name of the Candidate	Shivam Malik	Client Name	AML Rightsource India Pvt Ltd - AMRSN 2025
Application ID	GQ-AMRSN-2870	Report Status	Closed
Date of Birth	07 Jan 1998	Application Received	29-12-2025
Candidate Employee ID	116868	Insuff Cleared/Reopened	NA
Report Type	FINAL	Final Report Date	16-02-2026
Verification Purpose	EMPLOYMENT	Overall Report Status	GREEN

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
POST GRADUATION	Controller of Examinations	08-01-2026	GREEN
LATEST EMPLOYMENT 1	Akash Pal - HRSS (PeopleFirst)	31-12-2025	GREEN
POLICE VERIFICATION	Palav Agarwal - Advocate	29-12-2025	GREEN
EX EMPLOYMENT 2	Shubham Shukla - HR Generalist	11-02-2026	GREEN
GRADUATION	Controller of Examinations	08-01-2026	GREEN
NATIONAL IDENTITY 1	UIDAI - Aadhaar	03-01-2026	GREEN
COURT VERIFICATION	Palav Agarwal - Advocate	29-12-2025	GREEN
UAN/ITR/FORM 26AS	PF Portal	30-12-2025	COMPLETED
CREDIT/CIBIL CHECK	Transunion CIBIL	29-12-2025	GREEN
CRIMINAL DATABASE	Refinitiv / World Check One	29-12-2025	GREEN
GAP CHECK	Resume & Supported Documents	09-02-2026	GREEN

End of summary report

Legend:	■ -Major discrepancy	■ -Minor discrepancy	■ -Unable to verify	■ -Pending from source	■ -All clear
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POST GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Kumaun University	Kumaun University
Name Of The Candidate:	Shivam Malik	Shivam Malik
Enroll No:	KU15051806	KU15051806
Degree:	Master of Science	Master of Science
Major:	Mathematics	Mathematics
Month And Year Of Passing:	2020	2020
Certification Issued Date:	2020-12	2020-12
Remarks:	The following applicant details were verified by Controller of Examinations-Kumaun University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Controller of Examinations	
Report Verified Date:	08-01-2026	
Verification Status(Color Code):	GREEN	

Annexure 1 (a)



कुमाऊँ विश्वविद्यालय, नैनीताल (उत्तराखण्ड) भारत
Kumaun University, Nainital (Uttarakhand) India



www.kunainital.ac.in

CONSOLIDATED STATEMENT OF MARKS/TRANSCRIPT
for the Session 2018-2020

Name : Shivam Malik

Master of Science (Mathematics)

Roll No.: 180130700035

Father's Name : Arvind Malik

Enrollment No.: KU15051806

Name of Campus/College/Institute : M.B.Govt.P.G.College, Haldwani (Nainital)

M.Sc.- Mathematics (Regular)		First Semester-2018						
Papers	Max. Marks	Min. Marks	Ext / Int		Theory Total	Practical / Viva	Total	
			I-Real Analysis	100				36
II-Topology	100	36	29/75	22/25	51/100		51/100	
III-Differential Geometry And Tensor Calculus	100	36	26/75	22/25	48/100		48/100	
IV-Theory of Number	100	36	55/75	19/25	74/100		74/100	
V-Special Functions	100	36	35/75	19/25	54/100		54/100	
Result : Pass							Current Total:	278/500

M.Sc.- Mathematics (Regular)		Second Semester-2019						
Papers	Max. Marks	Min. Marks	Ext / Int		Theory Total	Practical / Viva	Total	
			I-Complex Analysis	100				36
II-Abstract Algebra	100	36	46/75	23/25	69/100		69/100	
III-Differential Equations	100	36	61/75	23/25	84/100		84/100	
IV-Relativity	100	36	51/75	23/25	74/100		74/100	
V-Operation Research	100	36	49/75	23/25	72/100		72/100	
Result : Pass							Current Total:	366/500

M.Sc.- Mathematics (Regular)		Third Semester-2019						
Papers	Max. Marks	Min. Marks	Ext / Int		Theory Total	Practical / Viva	Total	
			I-Linear Algebra	100				36
II-Measure Theory	100	36	31/75	24/25	55/100		55/100	
III-Numerical Analysis	100	36	30/75	23/25	53/100		53/100	
IV-Mathematical Statistics	100	36	54/75	24/25	78/100		78/100	
V-Discrete Mathematics	100	36	53/75	24/25	77/100		77/100	
Result : Pass							Current Total:	322/500

M.Sc.- Mathematics (Final) (Regular)		Fourth Semester-2020						
Papers	Max. Marks	Min. Marks	Ext / Int		Theory Total	Practical / Viva	Total	
			I-Dynamics of Rigid Bodies	100				36
II-Functional Analysis	100	36	44/75	24/25	68/100		68/100	
III-Calculus of Variation and Integral Equations	100	36	27/75	24/25	51/100		51/100	
IV-Riemannian Geometry	100	36	65/75	24/25	89/100		89/100	
V-Design and Analysis of Algorithm	100	36	51/75	24/25	75/100		75/100	
VI-Viva-Voce	100	36			89/100		89/100	
Result : Pass							Current Total:	456/600

Summary
Grand Total (in Aggregate): 1422/2100
Overall Percentage: 67.71 %
Division Award: First Division

* Candidate Should Score Minimum 36% Marks In Each Paper Including (Ext+Int).



Checked by

[Signature]
 Controller of Examinations
 Kumaun University, Nainital

16-12-2020

LATEST EMPLOYMENT 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Genpact India Private Limited	Genpact India Private Limited
Name Of The Candidate:	Shivam Malik	Shivam Malik
Emp Code:	OHR ID - 703382360	OHR ID - 703382360 & E-CODE - 700786
From:	29-02-2024	29-02-2024
Designation:	Business Analyst	Business Analyst
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Not Disclosed
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	The above details were verified by Akash Pal - HRSS (PeopleFirst), Genpact India Private Limited, found the employment as genuine, Hence closing the check as Green and the same is furnished as annexure.	
Information Source Name:	Akash Pal - HRSS (PeopleFirst)	
Report Verified Date:	31-12-2025	
Verification Status(Color Code):	GREEN	

Annexure 2 (a)



Wed 31-Dec-25 6:26 PM

People First, Helpdesk <helpdesk.peoplefirst@genpact.com>

RE: Requesting for Ex-Employment Verification for candidate Shivam Malik - GQ-AMRSN-2870

To Parimala

Cc jay@goldquestglobal.in; aarti@goldquestglobal.in; 'ex employment'

 Follow up.

Hi Team,

Thankyou for contacting PeopleFirst.

Please find below details.

OHR-ID	703382360
E-CODE	700786
NAME	Mr. Shivam Malik
DATE OF JOINING	29-Feb-24
DATE OF RELIEVING	19-Dec-25
DESIGNATION	Business Analyst
SUPERVISOR	Mr. Sajan Singh Bisht



Thanks & Regards

Akash Pal

HRSS(PeopleFirst)

genpact | Transformation Happens Here

Annexure 2 (b)



Wed 31-Dec-25 6:26 PM

People First, Helpdesk <helpdesk.peoplefirst@genpact.com>

RE: Requesting for Ex-Employment Verification for candidate Shivam Malik - GQ-AMRSN-2870

To Parimala

Cc jay@goldquestglobal.in; aarti@goldquestglobal.in; 'ex employment'

Follow up.

Client Name: Rainbow Hospital

OHRID	ECode
703382360	700786

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	Genpact India Private Limited	
Candidate Name	Shivam Malik	
Employee Code/ID/No	OHR ID - 703376408	
From (Date of Joining)	29-FEB-2024	
To (Date of Exit/Relieving)	Please Provide	
Designation (At the time of leaving the company):	Business Analyst	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Rehire Eligibility	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA – BREACH of any kind	Please Provide	
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:	Please Provide	
Verified By (Name, Contact Number & Designation)	Please Provide	

POLICE VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-2870	GQ-AMRSN-2870
Candidate Name:	Shivam Malik	Shivam Malik
Date Of Birth:	07-01-1998	07-01-1998
Father Name:	Arvind Malik	Arvind Malik
Address1:	Ganpati Vihar Phase-1, Bari Mukhani, Near Ecotown, Haldwani, Nainital, Uttarakhand- 263139.	Ganpati Vihar Phase-1, Bari Mukhani, Near Ecotown, Haldwani, Nainital, Uttarakhand- 263139.
Remarks:	The following applicant details are verbally verified and the notary report duly stamped and signed by Palav Agarwal (Advocate) with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	29-12-2025	
Verification Status(Color Code):	GREEN	

Annexure 3 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-2870
NAME OF THE APPLICANT	Shivam Malik
FATHER NAME	Arvind Malik
DATE OF BIRTH	07-January-1998
ADDRESS OF THE APPLICANT	Ganpati Vihar Phase-1, Bari Mukhani, Near Ecotown, Haldwani, Nainital, Uttarakhand-263139.
NAME OF THE POLICE STATION AS PER ADDRESS	Haldwani Police Station
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	29-December-2025

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

EX EMPLOYMENT 2

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	AML RightSource India Private Limited	AML RightSource India Private Limited
Name Of The Candidate:	Shivam Malik	Shivam Malik
Emp Code:	105895	105895
From:	26-12-2022	26-12-2022
To:	28-02-2024	28-02-2024
Designation:	Associate Analyst II	Associate Analyst II
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Better Opportunity
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	The above details were verified by Shubham Shukla - HR Generalist, AML RightSource India Private Limited found the employment as genuine, Hence closing the check as Green and the same is furnished as annexure.	
Information Source Name:	Shubham Shukla - HR Generalist	
Report Verified Date:	11-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 4 (a)



Wed 11-Feb-26 1:36 PM

Shubham Shukla <shubham.shukla@amlrightsourcing.com>

RE: [EXTERNAL] Requesting for Ex-Employment Verification for candidate Shivam Malik - GQ-AMRSN-2870

To Parimala

Cc jay@goldquestglobal.in; aarti@goldquestglobal.in; 'ex employment'; bgv.assist@amlrightsourcing.com

Follow up.

Message

Shivam_Malik (1).pdf (254 KB)

Hello Team,

PFB the details as per Company records. PFB the required details as per Company records. In case any further information required, pls inform us

Best Regards,

Shubham Shukla
Human Resource Generalist



shubham.shukla@amlrightsourcing.com

+91-9266400380

4,5,6, Plot No C3-C, Jaypee Wishtown, Max Square

Greater Noida Expressway, Sector 129, Noida

Gautam Buddha Nagar-201301

www.amlrightsourcing.com



Annexure 4 (b)



Wed 11-Feb-26 1:36 PM

Shubham Shukla <shubham.shukla@amlrightsource.com>

RE: [EXTERNAL] Requesting for Ex-Employment Verification for candidate Shivam Malik - GQ-AMRSN-2870

To Parimala

Cc Joy@goldquestglobal.in; aarti@goldquestglobal.in; 'ex employment'; bgv.assist@amlrightsource.com

Follow up.

Message Shivam_Malik (1).pdf (254 KB)

Client Name: Rainbow Hospital

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	AML RightSource India Private Limited	AML RightSource India Pvt Ltd
Candidate Name	Shivam Malik	Shivam Malik
Employee Code/ID/No	105895	105895
From (Date of Joining)	26 December, 2022	26-Dec-2022
To (Date of Exit/Relieving)	28 February, 2024	28-Feb-2024
Designation (At the time of leaving the company):	Associate Analyst II	Associate Analyst II
Salary/CTC:	Please Provide	Confidential
Was this position: Permanent/Temporary/Contractual	Please Provide	Permanent
Supervisor's Name	Please Provide	NA
Reason for Leaving	Please Provide	Better Opportunity
Rehire Eligibility	(Please fill in the relevant information)	NA
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	Completed
Kindly confirm attached Relieving letter /document is authenticate?	Yes	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	NA	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	NA	
DATA – BREACH of any kind	NA	
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:	NA	
Verified By (Name, Contact Number & Designation)	Shubham Shukla, HR Generalist	

GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Kumaun University	Kumaun University
Name Of The Candidate:	Shivam Malik	Shivam Malik
Enroll No:	KU15051806	KU15051806
Degree:	Bachelor of Science	Bachelor of Science
Major:	Science	Science
Month And Year Of Passing:	2018	2018
Remarks:	The following applicant details were verified by Controller of Examinations-Kumaun University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Controller of Examinations	
Report Verified Date:	08-01-2026	
Verification Status(Color Code):	GREEN	

संयोजन / Enrolment No.

KU15051806

संयुक्तिका / Roll No.

13819368

कुमाऊँ विश्वविद्यालय



प्रमाणित किया जाता है कि

शिवम मलिक

को वर्ष 2018 में प्रथम श्रेणी में स्नातक की परीक्षा उत्तीर्ण किया जान पर एतद्वारा वीएससी (बैचलर ऑफ साइंस) की उपाधि प्रदान की जाती है।

परीक्षा विषय

मुख्य विषय

1. भौतिक विज्ञान

2. गणित

3. कंप्यूटर विज्ञान

Kumaun University

This is to certify that

Shivam Malik

having passed the graduate examination in the year 2018

with First Division and is hereby conferred the Degree of B. Sc. (Bachelor of Science)

Subjects of Examination

Core Courses

CONTROLLER OF EXAMINATIONS
KUMAUN UNIVERSITY
NAINITAL UTTARAKHAND

1. Physics
2. Mathematics
3. Computer Science



दिनांक / Dated

नैनीताल / Nainital

[Signature]
कुलपति
Vice Chancellor

NATIONAL IDENTITY 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Aadhaar Card	Aadhaar Card
Identity Number:	6463 1752 2213	6463 1752 2213
Verification Result:	Not Applicable	gfrn
Verified By:	Not Applicable	UIDAI - Aadhaar
Remarks:	The following applicant details were verified through unique identification authority of India, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	UIDAI - Aadhaar	
Report Verified Date:	03-01-2026	
Verification Status(Color Code):	GREEN	

Annexure 6 (a)

 भारत सरकार
Government of India 

Issue Date: 22/11/2016



शिवम मलिक
Shivam Malik
जन्म तिथि/DOB: 07/01/1998
पुरुष/ MALE

6463 1752 2213
VID : 9124 7000 1813 8734

मेरा आधार, मेरी पहचान

Annexure 6 (b)



Unique Identification Authority of India



myAadhaar

English

Dashboard > Check Aadhaar Validity



646317522213 Exists

Aadhaar Verification Completed

Age Band	20-30 years
Gender	MALE
State	Uttarakhand
Mobile	*****691

COURT VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-2870	GQ-AMRSN-2870
Candidate Name:	Shivam Malik	Shivam Malik
Date Of Birth:	07-01-1998	07-01-1998
Father Name:	Arvind Malik	Arvind Malik
Address1:	Ganpati Vihar Phase-1, Bari Mukhani, Near Ecotown, Haldwani, Nainital, Uttarakhand- 263139.	Ganpati Vihar Phase-1, Bari Mukhani, Near Ecotown, Haldwani, Nainital, Uttarakhand- 263139.
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	29-12-2025	
Verification Status(Color Code):	GREEN	

Annexure 7 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-2870
NAME OF THE APPLICANT	Shivam Malik
FATHER NAME	Arvind Malik
DATE OF BIRTH	07-January-1998
ADDRESS OF THE APPLICANT	Ganpati Vihar Phase-1, Bari Mukhani, Near Ecotown, Haldwani, Nainital, Uttarakhand 263139.
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	29-December-2025

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

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Yours Truly



(PALAV AGARWAL)

BAR COUNCIL DELHI ID D/1432/14

UAN/ITR/Form 26AS

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Shivam Malik	Shivam Malik
Application Id:	GQ-AMRSN-2870	GQ-AMRSN-2870
UAN No:	101725164721	101725164721
Remarks:	The following applicant details were verified through PF Portal & found the name of the Candidate, Father Name, Name of the Organization, DOJ & Date of Exit. found the employment as Genuine. hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PF Portal	
UAN Generated Date:	30-12-2025	
Verification Status(Color Code):	GREEN	

Annexure 8 (a)

UAN Details Report

UAN 101725164721
NAME SHIVAM MALIK
FATHER'S NAME ARVIND MALIK

Work History

#	Member ID	Establishment Name	Date of Joining	Date of Exit
1	PYKRP00450530000173023	GENPACT INDIA PRIVATE LIMITED	29-Feb-2024	NA
2	PYBOM24182510000010655	AML RIGHTSOURCE INDIA PRIVATE LIMITED	26-Dec-2022	28-Feb-2024
3	MHBAN00499710000092048	SBI LIFE INSURANCE CO.LTD.	26-Jul-2021	13-Dec-2022

Generated on 30-12-2025 15:53:15 Report Runtime 2025-12-30 15:53:07

CREDIT/CIBIL CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Shivam Malik	Shivam Malik
Pan Number:	DOZPM1775L	DOZPM1775L
CIBIL Transunion Score:	Not Applicable	781
Scoring Factors:	Not Applicable	High Proportion Of Outstanding Trades
Personal Loan Score:	Not Applicable	Not Applicable
Status:	Not Applicable	GREEN
Verified By:	Not Applicable	Transunion CIBIL
Remarks:	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Transunion CIBIL	
Report Verified Date:	29-12-2025	
Verification Status(Color Code):	GREEN	

Annexure 9 (a)

REPORT DATE & TIME : 29/12/2025 (23:59:40)

CONTROL NUMBER : 10298121287



CONSUMER CIR

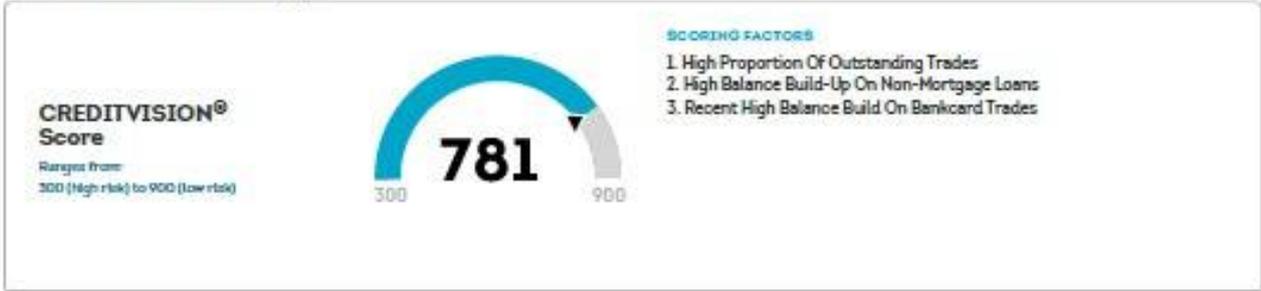
MEMBER ID : Bs00115009_sHYD20737

REFERENCE NUMBER : 11

CONSUMER INFORMATION

CONSUMER NAME	: Shivam Malik	PAN	: DOZPMI775L
DOB	: 07/01/1998	DRIVING LICENCE NO	: -
TELEPHONE NO.	: -	VOTER ID	: -
EMAIL ID	: -	PASSPORT NO.	: -
GENDER	: Male	AADHAAR NUMBER (UID)	: -
ADDRESS	: DELHI, DELHI, DELHI - 110001		

CIBIL TRANSUNION SCORE(S)



CONSUMER ACCOUNT SUMMARY

ACCOUNTS		BALANCES		ACCOUNT OPENED DATE	
Total	: 7	High Cr/Sanc. Amt	: 39,46,524	Recent	: 01/07/2025
Zero balance	: 4	Current	: 37,57,703	Oldest	: 13/07/2022
Overdue	: 0	Overdue	: 30		

ENQUIRY SUMMARY

TOTAL ENQUIRIES	MOST RECENT	PAST 30 DAYS	PAST 12 MONTHS	PAST 24 MONTHS
9	30/10/2024	0	0	2

CREDITVISION® ALGORITHM(S)

No CreditVision Algorithm(s) available.

CONSUMER DETAILS

CONSUMER INFORMATION

CONSUMER NAME : MR SHIVAM MALIK D.O.B : 07/01/1998 GENDER : Male CREDITVISION® SCORE : 781

IDENTIFICATION(S)

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
PAN CARD	DOZPMI775L	-	-
AADHAAR ID	NOT DISCLOSED	-	-
CKYC	10019859544457	-	-

(e) - IDENTIFICATION REPORTED FROM ENQUIRY

Annexure 9 (b)

TELEPHONE(S)

TYPE	TELEPHONE NUMBER	TELEPHONE EXTENSION
Office Phone	07017388691	-
Home Phone	07017388691	-
Mobile Phone	7017388691	-

(e) - TELEPHONE REPORTED FROM ENQUIRY

EMAIL CONTACT(S)

SHIVAMIMALIK@GMAIL.COM
SHIVAMMALIK3009@GMAIL.COM

CONSUMER'S REPORTED ADDRESS(ES)

ADDRESS	CATEGORY	RESIDENCE CODE	DATE REPORTED
AML RIGHTSOURCE INDIA PRIV, AML RIGHTSOURCE LTD,BUSINESS TOWER, 5TH FLOOR,SECTOR-125, NOIDA, UTTAR PRADESH - 201303	Office	-	26/02/2024
FLATNO-1802TOWER T9 PANCHSHEEL HYNISH, SEC-1 GREATER NOIDA, NOIDA, UTTAR PRADESH - 201306	Residence	Rented	26/02/2024
S/O ARVIND MALIK GANPATI VIHAR, HALDWANI, UTTARAKHAND - 263139	Permanent	-	26/02/2024
S/O: ARVIND MALIK , GANPATI VIHAR PHASE, 1, BARI MUKHANI , NAINITAL, 2171, UTTARANCHAL, UTTARAKHAND - 263139	Residence	-	31/10/2023

(e) - ADDRESSES REPORTED FROM ENQUIRY

EMPLOYMENT INFORMATION

ACCOUNT TYPE (Date Reported)	OCCUPATION CODE	INCOME	NET/GROSS INCOME INDICATOR	MONTHLY/ANNUAL INCOME INDICATOR
CREDIT CARD (26/03/2024)	Salaried	Not Available	Not Available	Not Available

Annexure 9 (c)

1. ACCOUNT

ACCOUNT INFORMATION												DATE OPENED : 01/07/2025		DATE CLOSED : 15/12/2025		DATE REPORTED & CERTIFIED : 15/12/2025		INACTIVE	
ACCOUNT				AMOUNTS						STATUS									
TYPE : CONSUMER LOAN				SANCTIONED AMOUNT : ₹ 2,35L		PAYMENT FREQUENCY : MONTHLY		NA											
MEMBER NAME : NOT DISCLOSED				CURRENT BALANCE : ₹ 0		COLLATERAL TYPE : NO COLLATERAL													
ACCOUNT NUMBER : Not Disclosed																			
OWNERSHIP : INDIVIDUAL																			
DAYS PAST DUE/ASSET CLASSIFICATION												START DATE : 01/12/2025		END DATE : 01/07/2025		LAST PAYMENT : 02/08/2025			
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC							
2025	-	-	-	-	-	-	000	000	000	000	000	000							

2. ACCOUNT

ACCOUNT INFORMATION												DATE OPENED : 04/11/2024		DATE CLOSED :		DATE REPORTED & CERTIFIED : 21/12/2025		ACTIVE	
ACCOUNT				AMOUNTS						STATUS									
TYPE : AUTO LOAN (PERSONAL)				SANCTIONED AMOUNT : ₹ 8,00,000		PAYMENT FREQUENCY : MONTHLY		NA											
MEMBER NAME : NOT DISCLOSED				CURRENT BALANCE : ₹ 7,00,872		EMI : ₹ 12,322													
ACCOUNT NUMBER : Not Disclosed																			
OWNERSHIP : INDIVIDUAL																			
DAYS PAST DUE/ASSET CLASSIFICATION												START DATE : 01/12/2025		END DATE : 01/11/2024		LAST PAYMENT : 06/03/2025			
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC							
2025	sTD	sTD	sTD	sTD	sTD	sTD	sTD	sTD	sTD	sTD	sTD	sTD							
2024	-	-	-	-	-	-	-	-	-	-	sTD	sTD							

3. ACCOUNT

ACCOUNT INFORMATION												DATE OPENED : 12/12/2023		DATE CLOSED :		DATE REPORTED & CERTIFIED : 15/12/2025		ACTIVE	
ACCOUNT				AMOUNTS						STATUS									
TYPE : CREDIT CARD				CREDIT LIMIT : ₹ 1,32,000		PAYMENT FREQUENCY : MONTHLY		NA											
MEMBER NAME : NOT DISCLOSED				HIGH CREDIT AMOUNT : ₹ 78,608															
ACCOUNT NUMBER : Not Disclosed				CASH LIMIT : ₹ 26,400															
OWNERSHIP : INDIVIDUAL				CURRENT BALANCE : ₹ 37,467															
				ACTUAL PAYMENT : ₹ 69,123															
DAYS PAST DUE/ASSET CLASSIFICATION												START DATE : 01/12/2025		END DATE : 01/02/2024		LAST PAYMENT : 04/11/2025			
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC							
2025	000	000	000	000	000	000	000	000	000	000	000	000							
2024	-	000	000	000	000	000	000	000	000	000	000	000							

4. ACCOUNT

ACCOUNT INFORMATION												DATE OPENED : 06/10/2023		DATE CLOSED : 26/05/2025		DATE REPORTED & CERTIFIED : 26/05/2025		INACTIVE	
ACCOUNT				AMOUNTS						STATUS									
TYPE : CONSUMER LOAN				SANCTIONED AMOUNT : ₹ 15,000		PAYMENT FREQUENCY : MONTHLY		NA											
MEMBER NAME : NOT DISCLOSED																			

Annexure 9 (d)

ACCOUNT	AMOUNTS											
ACCOUNT NUMBER : Not Disclosed	CURRENT BALANCE : ₹ 0	REPAYMENT TENURE : 12										
OWNERSHIP : INDIVIDUAL	ACTUAL PAYMENT : ₹ 1											
DAYS PAST DUE/ASSET CLASSIFICATION												
	START DATE : 01/05/2025	END DATE : 01/10/2023	LAST PAYMENT : 06/10/2023									
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	000	000	000	-	-	-	-	-	-	-
2024	000	000	000	000	000	000	000	000	000	000	000	000
2023	-	-	-	-	-	-	-	-	-	000	000	000

5. ACCOUNT

ACCOUNT INFORMATION			DATE OPENED : 20/01/2023	DATE CLOSED :	DATE REPORTED & CERTIFIED : 15/12/2025	ACTIVE						
ACCOUNT	AMOUNTS			STATUS								
TYPE : CREDIT CARD	CREDIT LIMIT : ₹ 50,000	PAYMENT FREQUENCY : MONTHLY	MEMBER NAME : NOT DISCLOSED	HIGH CREDIT AMOUNT : ₹ 45,565	STATUS : NA							
ACCOUNT NUMBER : Not Disclosed	CASH LIMIT : ₹ 10,000		OWNERSHIP : INDIVIDUAL	CURRENT BALANCE : ₹ 19,364								
	ACTUAL PAYMENT : ₹ 4,518											
DAYS PAST DUE/ASSET CLASSIFICATION												
	START DATE : 01/12/2025	END DATE : 01/01/2023	LAST PAYMENT : 02/12/2025									
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	000	000	000	000	000	000	000	000	000	000
2024	000	000	000	000	000	000	000	000	000	000	000	000
2023	000	000	000	000	000	000	000	000	000	000	000	000

6. ACCOUNT

ACCOUNT INFORMATION			DATE OPENED : 13/07/2022	DATE CLOSED :	DATE REPORTED & CERTIFIED : 15/12/2025	ACTIVE						
ACCOUNT	AMOUNTS			STATUS								
TYPE : CONSUMER LOAN	SANCTIONED AMOUNT : ₹ 4,000	PAYMENT FREQUENCY : MONTHLY	MEMBER NAME : NOT DISCLOSED	CURRENT BALANCE : ₹ 0	STATUS : NA							
ACCOUNT NUMBER : Not Disclosed			OWNERSHIP : INDIVIDUAL									
DAYS PAST DUE/ASSET CLASSIFICATION												
	START DATE : 01/12/2025	END DATE : 01/01/2023	LAST PAYMENT :									
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	000	000	000	000	000	000	000	000	000	000
2024	000	000	000	000	000	000	000	000	000	000	000	000
2023	000	000	000	000	000	000	000	000	000	000	000	000

7. ACCOUNT

ACCOUNT INFORMATION			DATE OPENED : 13/07/2022	DATE CLOSED :	DATE REPORTED & CERTIFIED : 15/12/2025	ACTIVE						
ACCOUNT	AMOUNTS			STATUS								
TYPE : CONSUMER LOAN	SANCTIONED AMOUNT : ₹ 1,000	PAYMENT FREQUENCY : MONTHLY	MEMBER NAME : NOT DISCLOSED	CURRENT BALANCE : ₹ 0	STATUS : NA							
ACCOUNT NUMBER : Not Disclosed			OWNERSHIP : INDIVIDUAL									
DAYS PAST DUE/ASSET CLASSIFICATION												
	START DATE : 01/12/2025	END DATE : 01/01/2023	LAST PAYMENT :									
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	000	000	000	000	000	000	000	000	000	000
2024	000	000	000	000	000	000	000	000	000	000	000	000
2023	000	000	000	000	000	000	000	000	000	000	000	000

Annexure 9 (e)

ACCOUNT ACCOUNT NUMBER : Not Disclosed OWNERSHIP : INDIVIDUAL												
DAYS PAST DUE/ASSET CLASSIFICATION START DATE : 01/12/2025 END DATE : 01/01/2023 LAST PAYMENT :												
YEAR	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
2025	000	000	000	000	000	000	000	000	000	000	000	000
2024	000	000	000	000	000	000	000	000	000	000	000	000
2023	000	000	000	000	000	000	000	000	000	000	000	000

CONSUMER ENQUIRY DETAILS

ENQUIRIES

MEMBER NAME	ENQUIRY DATE	ENQUIRY PURPOSE	ENQUIRY AMOUNT
NOT DISCLOSED	30/10/2024	AUTO LOAN (PERSONAL)	₹ 1,60,000
NOT DISCLOSED	30/10/2024	AUTO LOAN (PERSONAL)	₹ 8,00,000
NOT DISCLOSED	11/12/2023	CREDIT CARD	₹ 10,000
SBI	04/11/2023	AUTO LOAN (PERSONAL)	₹ 6,00,000
NOT DISCLOSED	06/10/2023	CONSUMER LOAN	₹ 10,000
NOT DISCLOSED	19/09/2023	CREDIT CARD	₹ 1,000
NOT DISCLOSED	11/03/2023	COMMERCIAL VEHICLE LOAN	₹ 1
NOT DISCLOSED	18/01/2023	CREDIT CARD	₹ 15,000
NOT DISCLOSED	18/01/2023	CREDIT CARD	₹ 100

CRIMINAL DATABASE

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Shivam Malik	Shivam Malik
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	29-12-2025	
Verification Status(Color Code):	GREEN	

LSEG World-Check One

CASE REPORT

Confidential

Name	Shivam Malik		
Case Rating	Not Rated		
World-Check Total Matches	NO MATCHES FOUND		
Case ID	GQ-AMRSN-2870		
Current Group	World-Check		
Gender	Male	Date of Birth	07 Jan 1998
Last Screened	29 Dec 2025 13:35	Case Created	29 Dec 2025 13:35
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

KEY FINDINGS

Total Matches	NO MATCHES FOUND
Unresolved Matches	0

Name
Date Printed
Printed By
Group

Shivam Malik
29-12-2025, 13:43
database check
World-Check



Annexure 10 (b)

AUDIT

Date	Actioned By	Action	Notes	Source
29 Dec 2025 13:35	database check	Case Screened for Wor	Id-Check (Initial/Re-Screen Only)	Desktop Batch
29 Dec 2025 13:35	database check	New Case added		Desktop Batch

NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name Shivam Malik
Date Printed 29-12-2025, 13:43
Printed By database check
Group World-Check



Annexure 10 (c)

Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
US & Canada – Most Wanted Lists	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record

Annexure 10 (d)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
Most Wanted Lists: Europe and Central Asia	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

Annexure 10 (e)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
Most Wanted Lists: Africa	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
Most Wanted Lists: Asia Pacific	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

Annexure 10 (f)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
US and Canadian Regulatory Bodies	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

Annexure 10 (g)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

Annexure 10 (h)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
European Regulatory Bodies	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
Asia Pacific Regulatory Bodies	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

Annexure 10 (i)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

Annexure 10 (j)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

GAP CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Shivam Malik	Shivam Malik
Application Id:	GQ-AMRSN-2870	GQ-AMRSN-2870
Employee Id:	116868	116868
Remarks:	The following details were verified through resume & supported documents provided by the applicant, no GAP identified from any employment to employment in the overall work experience. Hence, closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Resume & Supported Documents	
Report Verified Date:	09-02-2026	
Verification Status(Color Code):	GREEN	

Annexure 11 (a)

GAP VALIDATION

PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Shivam Malik	Shivam Malik
DOB	07-01-98	07-01-98

Post Graduation

Name of the Board / School	Kumaun University	Kumaun University
Course	M.Sc	M.Sc
Specialization / Major	Mathematics	Mathematics
Year of Passing	2020	2020

Gap Remarks: NA

Graduation

Name of the Board / School	Kumaun University	Kumaun University
Course	B.Sc	B.Sc
Specialization / Major	Computer Science	Computer Science
Year of Passing	2018	2018

Gap Remarks: NA

12th std

Name of the Board / School	Central Board of Secondary Education	Central Board of Secondary Education
Course	12th	12th
Specialization / Major	Nil	Nil
Year of Passing	2015	2015

Gap Remarks: NA

10th Std

Name of the Board / School	Central Board of Secondary Education	Central Board of Secondary Education
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2013	2013

Gap Remarks: NA

Annexure 11 (b)

EMPLOYMENT - 1		
Name of the Employer	Genpact	Genpact
Full Name of the Employee	Shivam Malik	Shivam Malik
Date of joining - From	29-02-24	29-02-24
Date of Relieving/Exit - To	19-12-25	19-12-25
Designation / Role	Business Analyst	Business Analyst
Gap Remarks: NA		

EMPLOYMENT - 2		
Name of the Employer	AML Rightsource	AML Rightsource
Full Name of the Employee	Shivam Malik	Shivam Malik
Date of joining - From	26-12-22	26-12-22
Date of Relieving/Exit - To	28-02-24	28-02-24
Designation / Role	Associate Analyst 2	Associate Analyst 2
Gap Remarks: NA		

EMPLOYMENT - 3		
Name of the Employer	SBI LIFE INSURANCE CO.LTD.	SBI LIFE INSURANCE CO.LTD.
Full Name of the Employee	Shivam Malik	Shivam Malik
Date of joining - From	26-07-21	26-07-21
Date of Relieving/Exit - To	13-12-22	13-12-22
Designation / Role	Associate	Associate
Gap Remarks: NA		

Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

End of detail report

