

## CONFIDENTIAL BACKGROUND VERIFICATION REPORT

|                              |              |                                |                                            |
|------------------------------|--------------|--------------------------------|--------------------------------------------|
| <b>Name of the Candidate</b> | Ankita Ghosh | <b>Client Name</b>             | AML Rightsource India Pvt Ltd - AMRSN 2025 |
| <b>Application ID</b>        | GQ-AMRSN-292 | <b>Report Status</b>           | Closed                                     |
| <b>Date of Birth</b>         | 03 Feb 2001  | <b>Application Received</b>    | 29-03-2025                                 |
| <b>Candidate Employee ID</b> | 112037       | <b>Insuff Cleared/Reopened</b> | NA                                         |
| <b>Report Type</b>           | STOPCHECK    | <b>Final Report Date</b>       | 21-06-2025                                 |
| <b>Verification Purpose</b>  | EMPLOYMENT   | <b>Overall Report Status</b>   | <b>GREEN</b>                               |

| REPORT COMPONENT    | INFORMATION SOURCE          | COMPONENT STATUS |                     |
|---------------------|-----------------------------|------------------|---------------------|
|                     |                             | COMPLETED DATE   | VERIFICATION STATUS |
| GRADUATION          | Assistant Registrar (Exams) | 17-05-2025       | <b>GREEN</b>        |
| NATIONAL IDENTITY 1 | UIDAI - Aadhaar             | 05-04-2025       | <b>GREEN</b>        |
| CREDIT/CIBIL CHECK  | Transunion CIBIL            | 21-04-2025       | <b>GREEN</b>        |
| CRIMINAL DATABASE   | Refinitiv / World Check One | 21-04-2025       | <b>GREEN</b>        |

### End of summary report

|                |                                                                                                        |                                                                                                        |                                                                                                       |                                                                                                           |                                                                                                  |
|----------------|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| <b>Legend:</b> |  -Major discrepancy |  -Minor discrepancy |  -Unable to verify |  -Pending from source |  -All clear |
|----------------|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|

| GRADUATION                       |                                                                                                                                                                                                                         |                                                  |
|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| PARTICULARS                      | APPLICATION DETAILS                                                                                                                                                                                                     | REPORT DETAILS                                   |
| Name Of The Institution:         | Maulana Abul Kalam Azad University Of Technology                                                                                                                                                                        | Maulana Abul Kalam Azad University Of Technology |
| Name Of The Candidate:           | Ankita Ghosh                                                                                                                                                                                                            | Ankita Ghosh                                     |
| Enroll No:                       | 13003419015                                                                                                                                                                                                             | 13003419015                                      |
| Degree:                          | Bachelor of Technology                                                                                                                                                                                                  | Bachelor of Technology                           |
| Major:                           | Food Technology                                                                                                                                                                                                         | Food Technology                                  |
| Month And Year Of Passing:       | 2023                                                                                                                                                                                                                    | 2023                                             |
| Certification Issued Date:       | 2023-07                                                                                                                                                                                                                 | 2023-07                                          |
| SI No:                           | 2023/034/0212686                                                                                                                                                                                                        | 2023/034/0212686                                 |
| Remarks:                         | The following applicant details were verified by Assistant Registrar (Exams) of Maulana Abul Kalam Azad University Of Technology found genuine, hence closing the check as GREEN and the same is furnished as annexure. |                                                  |
| Information Source Name:         | Assistant Registrar (Exams)                                                                                                                                                                                             |                                                  |
| Report Verified Date:            | 17-05-2025                                                                                                                                                                                                              |                                                  |
| Verification Status(Color Code): | GREEN                                                                                                                                                                                                                   |                                                  |

Annexure 1 (a)



**MAULANA ABUL KALAM AZAD UNIVERSITY OF TECHNOLOGY, WEST BENGAL**

(Formerly known as West Bengal University of Technology)

website: www.wbut.ac.in

Document No. : 2023/034/0212686

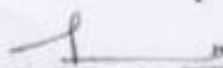
**PROVISIONAL CERTIFICATE**

This is to certify that ANKITA GHOSH (RollNo:13003419015, Reg No:020021 of 2019-20) of TECHNO INDIA, has successfully completed Bachelor of Technology In Food Technology degree under Maulana Abul Kalam Azad University Of Technology, West Bengal (formerly known as West Bengal University Of Technology) in 2022-23(Even Sem).

CGPA(Cumulative Grade Point Average): 8.40  
Completed in 2022-23(Even Sem)

Result: P

Kolkata  
12-07-2023

  
Controller of Examinations

*D. Bhattacharyay*

Principal  
Techno Main Salt Lake  
EM 4th Sector IV, Salt Lake  
Kolkata 700091



Assistant Registrar (Exams)  
Maulana Abul Kalam Azad University Of Technology  
Kolkata, West Bengal

| NATIONAL IDENTITY 1              |                                                                                                                                                                                                                                                      |                 |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| PARTICULARS                      | APPLICATION DETAILS                                                                                                                                                                                                                                  | REPORT DETAILS  |
| Type Of Id Card:                 | Aadhaar Card                                                                                                                                                                                                                                         | Aadhaar Card    |
| Identity Number:                 | 415774766146                                                                                                                                                                                                                                         | 415774766146    |
| Verification Result:             | Not Applicable                                                                                                                                                                                                                                       | Green           |
| Verified By:                     | Not Applicable                                                                                                                                                                                                                                       | UIDAI - Aadhaar |
| Remarks:                         | The following applicant details were verified through unique identification authority of India, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure. |                 |
| Information Source Name:         | UIDAI - Aadhaar                                                                                                                                                                                                                                      |                 |
| Report Verified Date:            | 05-04-2025                                                                                                                                                                                                                                           |                 |
| Verification Status(Color Code): | GREEN                                                                                                                                                                                                                                                |                 |

Annexure 2 (a)

  
सत्यमेव जयते

**ভারত সরকার**  
**Government of India**



অঙ্কিতা ঘোষ  
ANKITA GHOSH  
পিতা : অভিজিৎ ঘোষ  
Father : AVIJIT GHOSH  
জন্মতারিখ / DOB : 03/02/2001  
মহিলা / Female



**4157 7476 6146**

**আমার **আধার**, আমার পরিচয়**

## Annexure 2 (b)



Unique Identification Authority of India

 myAadhaar English

[Dashboard](#) > [Check Aadhaar Validity](#)



415774766146 Exists

Aadhaar Verification Completed

|          |             |
|----------|-------------|
| Age Band | 20-30 years |
| Gender   | FEMALE      |
| State    | West Bengal |
| Mobile   | *****510    |

| CREDIT/CIBIL CHECK               |                                                                                                                                                                                                                                                             |                                                              |
|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| PARTICULARS                      | APPLICATION DETAILS                                                                                                                                                                                                                                         | REPORT DETAILS                                               |
| Name Of The Candidate:           | Ankita Ghosh                                                                                                                                                                                                                                                | Ankita Ghosh                                                 |
| Pan Number:                      | DCVPG8710D                                                                                                                                                                                                                                                  | DCVPG8710D                                                   |
| CIBIL Transunion Score:          | Not Provided                                                                                                                                                                                                                                                | 757                                                          |
| Scoring Factors:                 | Not Provided                                                                                                                                                                                                                                                | HIGH BALANCE BUILD-UP ON NON-MORTGAGE LOANS IN PAST 6 MONTHS |
| Personal Loan Score:             | Not Provided                                                                                                                                                                                                                                                | Not Provided                                                 |
| Status:                          | Not Applicable                                                                                                                                                                                                                                              | Green                                                        |
| Verified By:                     | Not Applicable                                                                                                                                                                                                                                              | Transunion CIBIL                                             |
| Remarks:                         | The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure. |                                                              |
| Information Source Name:         | Transunion CIBIL                                                                                                                                                                                                                                            |                                                              |
| Report Verified Date:            | 21-04-2025                                                                                                                                                                                                                                                  |                                                              |
| Verification Status(Color Code): | GREEN                                                                                                                                                                                                                                                       |                                                              |

## Annexure 3 (a)



### CONSUMER CIR

CONSUMER: ANKITA GHOSH  
MEMBER ID: BN05034012  
MEMBER REFERENCE NUMBER:

DATE: 21-04-2025  
TIME: 11:29:50  
CONTROL NUMBER: 8,99,30,29,890

#### CONSUMER INFORMATION:

NAME: ANKITA GHOSH

DATE OF BIRTH: 03-02-2001

GENDER: FEMALE

#### CIBIL TRANSUNION SCORE(S):

| SCORE NAME          | SCORE | SCORING FACTORS                                                                      |
|---------------------|-------|--------------------------------------------------------------------------------------|
| CREDITVISION® SCORE | 757   | 1: HIGH BALANCE BUILD-UP ON NON-MORTGAGE LOANS IN PAST 6 MONTHS<br>2: LOW CREDIT AGE |

#### POSSIBLE RANGE FOR CREDITVISION® SCORE

Consumer with at least one trade on the bureau in last 36 months : 300 (High risk) to 900 (low risk)  
Consumer not in CIBIL database or history older than 36 months : -1

\* At least one tradeline with information updated in last 36 months is required.

#### IDENTIFICATION(S):

| IDENTIFICATION TYPE        | IDENTIFICATION NUMBER | ISSUE DATE | EXPIRATION DATE |
|----------------------------|-----------------------|------------|-----------------|
| INCOME TAX ID NUMBER (PAN) | DCVPG8710D            |            |                 |
| UNIVERSAL ID NUMBER (UID)  | XXXXXXXXXXXX          |            |                 |

#### TELEPHONE(S):

| TELEPHONE TYPE | TELEPHONE NUMBER | TELEPHONE EXTENSION |
|----------------|------------------|---------------------|
| MOBILE PHONE   | 8145113486       |                     |
| MOBILE PHONE   | 8159969510       |                     |

#### EMAIL CONTACT(S):

##### EMAIL ADDRESS

ANKITA03GHOSH@GMAIL.COM

ANKITA03GHOSH@GMAIL.COM

#### ADDRESS(ES):

Annexure 3 (b)



CONSUMER CIR

CONSUMER: ANKITA GHOSH  
MEMBER ID: BN05034012  
MEMBER REFERENCE NUMBER:

DATE: 21-04-2025  
TIME: 11:29:50  
CONTROL NUMBER: 8,99,30,29,890

ADDRESS: PLOT NO PART 4 HIJLI CO OP DEV SOCITY WE ST MIDNAPUR KHARAGPUR, WEST BENGAL WEST BENGAL 721306

CATEGORY: PERMANENT ADDRESS      RESIDENCE CODE:      DATE REPORTED: 14-01-2020

| EMPLOYMENT INFORMATION: |               |                 |               |                              |                                   |
|-------------------------|---------------|-----------------|---------------|------------------------------|-----------------------------------|
| ACCOUNT TYPE            | DATE REPORTED | OCCUPATION CODE | INCOME        | NET / GROSS INCOME INDICATOR | MONTHLY / ANNUAL INCOME INDICATOR |
| EDUCATION LOAN          | 30-11-2024    | SALARIED        | Not Available | Not Available                | Not Available                     |

| SUMMARY:     |                 |                             |                   |                    |
|--------------|-----------------|-----------------------------|-------------------|--------------------|
| ACCOUNT(S)   |                 |                             |                   |                    |
| ACCOUNT TYPE | ACCOUNTS        | ADVANCES                    | BALANCES          | DATE OPENED        |
| All Accounts | TOTAL: 1        | HIGH CR/SANC. AMT: 4,04,275 | CURRENT: 3,97,785 | RECENT: 16-01-2020 |
|              | OVERDUE: 0      |                             | OVERDUE: 0        | OLDEST: 16-01-2020 |
|              | ZERO-BALANCE: 0 |                             |                   |                    |

| ENQUIRIES                                                            |       |              |                |                |            |
|----------------------------------------------------------------------|-------|--------------|----------------|----------------|------------|
| Only Last 36 months enquiries will be displayed in the Credit report |       |              |                |                |            |
| ENQUIRY PURPOSE                                                      | TOTAL | PAST 30 DAYS | PAST 12 MONTHS | PAST 24 MONTHS | RECENT     |
| All Enquiries                                                        | 1     | 0            | 1              | 0              | 23-08-2024 |

ACCOUNT(S):

| ACCOUNT                                                             | DATES                              | AMOUNTS                   | STATUS |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
|---------------------------------------------------------------------|------------------------------------|---------------------------|--------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| MEMBER NAME: NOT DISCLOSED                                          | OPENED: 16-01-2020                 | SANCTIONED: 4,04,275      |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| ACCOUNT NUMBER: NOT DISCLOSED                                       | LAST PAYMENT: 31-03-2025           | CURRENT BALANCE: 3,97,785 |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| DISCLOSED                                                           | REPORTED AND CERTIFIED: 15-04-2025 | EMI: 5,245                |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| TYPE: EDUCATION LOAN                                                |                                    | PMT FREQ: MONTHLY         |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| OWNERSHIP: JOINT                                                    | PMT HIST START: 01-04-2025         | REPAYMENT TENURE: 180     |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| COLLATERAL TYPE: NO COLLATERAL                                      | PMT HIST END: 01-05-2022           | INTEREST RATE: 10.90      |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| DAYS PAST DUE/ASSET CLASSIFICATION (UP TO 36 MONTHS; LEFT TO RIGHT) |                                    |                           |        |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| 000                                                                 | 000                                | 000                       | 000    | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   |
| 04-25                                                               | 03-25                              | 02-25                     | 01-25  | 12-24 | 11-24 | 10-24 | 09-24 | 08-24 | 07-24 | 06-24 | 05-24 | 04-24 | 03-24 | 02-24 | 01-24 | 12-23 | 11-23 |
| 000                                                                 | 000                                | 000                       | 000    | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   | 000   |
| 10-23                                                               | 09-23                              | 08-23                     | 07-23  | 06-23 | 05-23 | 04-23 | 03-23 | 02-23 | 01-23 | 12-22 | 11-22 | 10-22 | 09-22 | 08-22 | 07-22 | 06-22 | 05-22 |

ENQUIRIES:

Annexure 3 (c)



CONSUMER CIR

CONSUMER: ANKITA GHOSH  
MEMBER ID: BN06034012  
MEMBER REFERENCE NUMBER:

DATE: 21-04-2025  
TIME: 11:29:50  
CONTROL NUMBER: 8,99,30,29,890

Only Last 36 months enquiries will be displayed in the Credit report

| MEMBER        | ENQUIRY DATE | ENQUIRY PURPOSE | ENQUIRY AMOUNT |
|---------------|--------------|-----------------|----------------|
| NOT DISCLOSED | 23-08-2024   | CREDIT CARD     | 1,00,000       |

END OF REPORT ON ANKITA GHOSH

All information contained in this credit report has been collated by TransUnion CIBIL Limited ( TU CIBIL ) based on information provided/ submitted by its various members("Members"), as part of periodic data submission and Members are required to ensure accuracy, completeness and veracity of the information submitted. The credit report is generated using the proprietary search and match logic of TU CIBIL. TU CIBIL uses its best efforts to ensure accuracy, completeness and veracity of the information contained in the Report, and shall only be liable and / or responsible if any discrepancies are directly attributable to TU CIBIL. The use of this report is governed by the terms and conditions of the Operating Rules for TU CIBIL and its Members.

| CRIMINAL DATABASE                |                                                                                                                                                                                                                                                     |                |
|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| PARTICULARS                      | APPLICATION DETAILS                                                                                                                                                                                                                                 | REPORT DETAILS |
| Name Of The Candidate:           | Ankita Ghosh                                                                                                                                                                                                                                        | Ankita Ghosh   |
| Remarks:                         | The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure. |                |
| Information Source Name:         | Refinitiv / World Check One                                                                                                                                                                                                                         |                |
| Report Verified Date:            | 21-04-2025                                                                                                                                                                                                                                          |                |
| Verification Status(Color Code): | GREEN                                                                                                                                                                                                                                               |                |

## LSEG World-Check One CASE REPORT

Confidential

|                           |                           |                    |                   |
|---------------------------|---------------------------|--------------------|-------------------|
| Name                      | Ankita Ghosh              |                    |                   |
| Case Rating               | Not Rated                 |                    |                   |
| World-Check Total Matches | 1                         |                    |                   |
| Case ID                   | 5jb88jv4bzvi1jm39de6k9rzs |                    |                   |
| Current Group             | World Check               |                    |                   |
| Gender                    | Female                    | Date of Birth      | 03 Feb 2001       |
| Last Screened             | 21 Apr 2025 05:48         | Case Created       | 21 Apr 2025 05:48 |
| Entity Type               | Individual                | Ongoing Screening  | No                |
| Archived                  | No                        | Name Transposition | Yes               |

### KEY FINDINGS

|                    |   |             |             |          |                |
|--------------------|---|-------------|-------------|----------|----------------|
| Total Matches      | 1 |             |             |          |                |
| Resolved Matches   | 1 | Positive: 0 | Possible: 0 | False: 1 | Unspecified: 0 |
| Unresolved Matches | 0 |             |             |          |                |

Name  
Date Printed  
Printed By  
Group

Ankita Ghosh  
21-04-2025, 05:57  
Hemender Kumar  
World Check



## Annexure 4 (b)

### WORLD-CHECK MATCHES

| Resolution Status | Matching Entity | WC UID  | Type    | Category   | Gender | Date(s) of Birth | Citizenship | Location | Last Resolved | Last Reviewed | Risk | Resolution Reason | Resolution Comment     | Match Strength |
|-------------------|-----------------|---------|---------|------------|--------|------------------|-------------|----------|---------------|---------------|------|-------------------|------------------------|----------------|
| FALSE             | Ankita GH OSH   | 5459923 | SIC, RE | INDIVIDUAL | FEMALE | 08-Jun-1989      | IND         | IND      | 21-Apr-2025   |               |      | Auto-Resolved     | Date of Birth mismatch | Exact - 100%   |

Name  
Date Printed  
Printed By  
Group

Ankita Ghosh  
21-04-2025, 05:57  
Hemender Kumar  
World Check



## Annexure 4 (c)

### AUDIT

| Date              | Actioned By    | Action                                                         | Notes | Source           |
|-------------------|----------------|----------------------------------------------------------------|-------|------------------|
| 21 Apr 2025 05:48 | Hemender Kumar | Case Screened for Wor<br>Id-Check (Initial/Re-<br>Screen Only) |       | Desktop<br>Batch |
| 21 Apr 2025 05:48 | Hemender Kumar | New Case added                                                 |       | Desktop<br>Batch |

### NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

#### Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name  
Date Printed  
Printed By  
Group

Ankita Ghosh  
21-04-2025, 05:57  
Hemender Kumar  
World Check



## Annexure 4 (d)

### Annexure A

| Criminal Records Database Checks - India         |           |
|--------------------------------------------------|-----------|
| Central Bureau of Investigation Most Wanted List | No record |
| Supreme Court of India                           | No record |
| Ministry of Defense                              | No record |
| National Investigation Agency                    | No record |
| National Crime Records Bureau                    | No record |
| Delhi Police                                     | No record |
| India Courts                                     | No record |
| Ministry of Home Affairs of India                | No record |

### Annexure B

| Civil Litigation Database Checks – India          |           |
|---------------------------------------------------|-----------|
| University Grants Commission                      | No record |
| Indian Politically Exposed Persons (PEP) Database | No record |
| Income Tax Department                             | No record |
| Press Information Bureau                          | No record |

### Annexure C

| Credit and Reputational Risk Database Checks – India                                  |           |
|---------------------------------------------------------------------------------------|-----------|
| Reserve Bank of India                                                                 | No record |
| Securities and Exchange Board of India                                                | No record |
| Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors | No record |
| Bombay Stock Exchange                                                                 | No record |
| Insurance Regulatory and Development Authority                                        | No record |
| National Stock Exchange of India Ltd.                                                 | No record |
| Competition Commission of India                                                       | No record |

### Annexure D

| Serious and Organized Crimes Database Checks – Global                                                  |           |
|--------------------------------------------------------------------------------------------------------|-----------|
| Interpol Most Wanted                                                                                   | No record |
| <b>US &amp; Canada – Most Wanted Lists</b>                                                             |           |
| Air Force Office of Special Investigation - Air Force Fugitives, USA                                   | No record |
| Bureau of Alcohol, Tobacco and Firearms, USA                                                           | No record |
| Delaware State Police, USA                                                                             | No record |
| Drug Enforcement Administration, USA                                                                   | No record |
| Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information] | No record |
| Kansas Bureau of Investigation, USA                                                                    | No record |
| Mississippi Department of Public Safety, USA                                                           | No record |
| Oregon Department of Corrections, USA                                                                  | No record |
| Illinois Department of Corrections, USA                                                                | No record |
| Rhode Island State Police, USA                                                                         | No record |

## Annexure 4 (e)

|                                                                              |           |
|------------------------------------------------------------------------------|-----------|
| Tennessee Bureau of Investigation, USA                                       | No record |
| Most Wanted Fugitives: Texas Department of Public Safety, USA                | No record |
| Immigration and Customs Enforcement, USA                                     | No record |
| Secret Service, USA                                                          | No record |
| United States Department of Justice (DOJ), USA                               | No record |
| United States Marshals Service, USA                                          | No record |
| Naval Criminal Investigative Service, USA                                    | No record |
| Postal Inspection Service, USA                                               | No record |
| Virginia State Police, USA                                                   | No record |
| West Virginia State Police, USA                                              | No record |
| New York Police Department, USA                                              | No record |
| Department of Defense, USA                                                   | No record |
| Department of State-Enforcement, USA                                         | No record |
| Dept of State Foreign Terrorist Organizations, USA                           | No record |
| Dept of State Terrorist Exclusion List, USA                                  | No record |
| Georgia Bureau of Investigation, USA                                         | No record |
| New York City Department of Investigation, USA                               | No record |
| Central Intelligence Agency, USA                                             | No record |
| Department of Defense Military Commission Proceedings at Guantanamo Bay, USA | No record |
| Department of Homeland Security, USA                                         | No record |
| Department of State, Narcotics Rewards Program, USA                          | No record |
| US Coast Guard, USA                                                          | No record |
| Virgin Islands Police Department, USA                                        | No record |
| Royal Canadian Mounted Police, Canada                                        | No record |
| Ontario Provincial Service, Canada                                           | No record |
| The Peel Regional Police, Canada                                             | No record |
| Alberta Law Enforcement Response Teams, Canada                               | No record |
| Border Services Agency, Canada                                               | No record |
| Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada | No record |
| Edmonton Police Service, Canada                                              | No record |
| London Canada Police Service, Canada                                         | No record |
| Niagara Regional Police Service, Canada                                      | No record |
| OSFI Enforcements, Canada                                                    | No record |
| OSFI Anti Terrorism, Canada                                                  | No record |
| Ottawa Police Service, Canada                                                | No record |
| Permanent Anti-Corruption Unit, Canada                                       | No record |
| Toronto Police Service, Canada                                               | No record |
| York Regional Police, Canada                                                 | No record |
| <b>Most Wanted Lists: Europe and Central Asia</b>                            |           |
| The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany                 | No record |
| German Federal Criminal Police Office, Germany                               | No record |
| Baden-Württemberg Police, Germany                                            | No record |
| Bayern Police, Germany                                                       | No record |

## Annexure 4 (f)

|                                                                                   |           |
|-----------------------------------------------------------------------------------|-----------|
| Brandenburg Police, Germany                                                       | No record |
| Bremen Police, Germany                                                            | No record |
| Hamburg Police, Germany                                                           | No record |
| Mecklenburg-Vorpommern Police, Germany                                            | No record |
| Niedersachsen Police, Germany                                                     | No record |
| Saarland Police, Germany                                                          | No record |
| Sachsen Police, Germany                                                           | No record |
| The Netherlands Police Department, The Netherlands                                | No record |
| National Terrorism List, The Netherlands                                          | No record |
| Netherlands Police                                                                | No record |
| Public Prosecution Service, The Netherlands                                       | No record |
| State Police, Italy                                                               | No record |
| Proscribed Organizations, United Kingdom                                          | No record |
| The Metropolitan Police Service, United Kingdom                                   | No record |
| Serious Fraud Office, United Kingdom                                              | No record |
| National Crime Squad, United Kingdom                                              | No record |
| Crimestoppers Trust, United Kingdom                                               | No record |
| Crown Prosecution Service, United Kingdom                                         | No record |
| London Police, United Kingdom                                                     | No record |
| Scottish Crime and Drug Enforcement Agency, United Kingdom                        | No record |
| Serious Organized Crime Agency, United Kingdom                                    | No record |
| UK Border Agency, United Kingdom                                                  | No record |
| Ministry of the Interior, Russia                                                  | No record |
| Chief Military Prosecutor, Russia                                                 | No record |
| Federal Penitentiary Service, Russia                                              | No record |
| Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia | No record |
| <b>Most Wanted Lists: Africa</b>                                                  |           |
| South African Police Service, South Africa                                        | No record |
| National Prosecution Authority, South Africa                                      | No record |
| <b>Most Wanted Lists: Asia Pacific</b>                                            |           |
| Australian National Security, Australia                                           | No record |
| Australian Crime Commission, Australia                                            | No record |
| Australian Customs and Border Protection Service, Australia                       | No record |
| Australian Federal Police, Australia                                              | No record |
| New South Wales Police, Australia                                                 | No record |
| Victoria Crime Stoppers, Australia                                                | No record |
| Hangzhou Police Wanted List, China                                                | No record |
| Hebei Province Public Security Bureau, China                                      | No record |
| Central Commission for Discipline Inspection-Top 100 Fugitives, China             | No record |
| Dubai Police, UAE                                                                 | No record |
| Hong Kong Police Force, Hong Kong                                                 | No record |
| Criminal Investigation Police, Indonesia                                          | No record |
| National Police, Indonesia                                                        | No record |

## Annexure 4 (g)

|                                                                  |           |
|------------------------------------------------------------------|-----------|
| Hokkaido Prefecture Police, Japan                                | No record |
| Public Security Intelligence Agency, Japan                       | No record |
| Fukuoka Prefecture Police, Japan                                 | No record |
| Japanese National Police Agency, Japan                           | No record |
| Ministry of Defense, Japan                                       | No record |
| Yamagata Prefecture Police, Japan                                | No record |
| Korean National Police Agency, Korea                             | No record |
| Royal Malaysian Police Force, Malaysia                           | No record |
| New Zealand Police, New Zealand                                  | No record |
| Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan | No record |
| Punjab Police, Pakistan                                          | No record |
| National Bureau Of Investigation, Philippines                    | No record |
| Philippine Drug Enforcement Agency, Philippines                  | No record |
| Philippine National Police, Philippines                          | No record |
| Central Narcotics Bureau, Singapore                              | No record |
| Police Force Case Studies, Singapore                             | No record |
| Corrupt Practices Investigation Bureau, Singapore                | No record |
| Anti-Human Trafficking Division, Thailand                        | No record |
| The Department of Special Investigation, Thailand                | No record |
| Royal Thai Police, Thailand                                      | No record |
| Criminal Investigation Bureau, Taiwan                            | No record |
| Bureau of Investigation, Taiwan                                  | No record |
| Ministry of National Defense of Taiwan, Taiwan                   | No record |
| Bureau of Investigation, Ministry of Justice, Taiwan             | No record |
| Ministry of National Defense of Taiwan                           | No record |

## Annexure E

| Global Regulatory Bodies                                                           |           |
|------------------------------------------------------------------------------------|-----------|
| Bureau of Industry and Security                                                    | No record |
| World Bank Debarred Parties                                                        | No record |
| Defense Trade Controls (DTC) Debarred Parties                                      | No record |
| <b>US and Canadian Regulatory Bodies</b>                                           |           |
| New York Stock Exchange (NYSE), USA                                                | No record |
| Commodities and Futures Trading Commission (CFTC), USA                             | No record |
| Excluded Parties List System [includes General Services Administration (GSA)], USA | No record |
| Federal Deposit and Insurance Corporation (FDIC), USA                              | No record |
| Federal Reserve Board (FRB), USA                                                   | No record |
| Financial Crimes Enforcement Network, USA                                          | No record |
| HHS-Office of Inspector General (OIG), USA                                         | No record |
| Department of Health & Human Services, USA                                         | No record |
| National Credit Union Association (NCUA), USA                                      | No record |
| Consumer Financial Protection Bureau, USA                                          | No record |
| Office Comptroller of Currency (OCC), USA                                          | No record |
| Oregon Department of Consumer & Business Services, USA                             | No record |

## Annexure 4 (h)

|                                                                |           |
|----------------------------------------------------------------|-----------|
| US Securities and Exchange Commission, USA                     | No record |
| New York State Insurance Department, USA                       | No record |
| New York Department of Financial Services, USA                 | No record |
| New York State Office of General Services, USA                 | No record |
| California Department of General Services, USA                 | No record |
| Minnesota State Board of Investment, USA                       | No record |
| Financial Industry Regulatory Authority, USA                   | No record |
| Alabama Securities Commission, USA                             | No record |
| Alaska Division of Banking, Securities and Corporations, USA   | No record |
| Arizona Corporation Commission Securities Division, USA        | No record |
| Arkansas Securities Department, USA                            | No record |
| BIS Department of Commerce, USA                                | No record |
| California Department of Insurance, USA                        | No record |
| Colorado Division of Securities, USA                           | No record |
| Department of State Directorate of Defense Trade Controls, USA | No record |
| Department of the Air Force General Counsel, USA               | No record |
| Federal Aviation Administration, USA                           | No record |
| Financial Fraud Enforcement Task Force/ StopFraud.gov, USA     | No record |
| Florida Department of Financial Services, USA                  | No record |
| Illinois Securities Department, USA                            | No record |
| Kansas Securities Commission, USA                              | No record |
| Maine Securities Division, USA                                 | No record |
| Massachusetts Securities Division, USA                         | No record |
| Michigan Department of Insurance and Financial Services , USA  | No record |
| Missouri Secretary of State Securities Division, USA           | No record |
| Nebraska Department of Banking and Finance, USA                | No record |
| Nevada Secretary of State Securities Division, USA             | No record |
| New Jersey Bureau of Securities, USA                           | No record |
| New Jersey Department of Banking & Insurance, USA              | No record |
| Ohio Department of Commerce Securities Division, USA           | No record |
| Oklahoma Securities Commission, USA                            | No record |
| Pennsylvania Banking and Securities Commission, USA            | No record |
| Pennsylvania Department General Services, USA                  | No record |
| Tennessee Securities Division, USA                             | No record |
| Texas State Securities Board, USA                              | No record |
| U.S Courts, USA                                                | No record |
| Department of Justice, USA                                     | No record |
| Department of Labor Office of Inspector General, USA           | No record |
| Federal Trade Commission, USA                                  | No record |
| Virginia State Corporation Commission, USA                     | No record |
| Washington State Office of the Insurance Commissioner, USA     | No record |
| Bureau of Industry and Security (BIS)–export violations, USA   | No record |
| Wisconsin Commissioner of Insurance, USA                       | No record |
| US Food & Drug Administration                                  | No record |

## Annexure 4 (i)

|                                                                          |           |
|--------------------------------------------------------------------------|-----------|
| Alberta Securities Commission, Canada                                    | No record |
| British Columbia Securities Commission (BCSC), Canada                    | No record |
| Investment Dealers Association of Canada (IDA), Canada                   | No record |
| Office of Superintendents of Financial Institutions (OSFI), Canada       | No record |
| Ontario Securities Commission (OSC), Canada                              | No record |
| Canada Revenue Agency, Canada                                            | No record |
| Cayman Islands Monetary Authority, Cayman Islands                        | No record |
| Central Bank of Bahamas, Bahamas                                         | No record |
| Federal Court of Canada, Canada                                          | No record |
| Financial Services Commission of Ontario, Canada                         | No record |
| Canadian Securities Administrators, Canada                               | No record |
| New Brunswick Securities Commission, Canada                              | No record |
| Nova Scotia Securities Commission, Canada                                | No record |
| Tax Court of Canada, Canada                                              | No record |
| <b>European Regulatory Bodies</b>                                        |           |
| Financial Services Authority (FSA), United Kingdom                       | No record |
| Lloyds of London (Lloyds), United Kingdom                                | No record |
| HM Revenue and Customs, United Kingdom                                   | No record |
| Financial Services Authority - Final Notice, United Kingdom              | No record |
| Judiciary of Scotland, United Kingdom                                    | No record |
| Financial Conduct Authority, United Kingdom                              | No record |
| Her Majesty's Courts Service, United Kingdom                             | No record |
| Home Office, United Kingdom                                              | No record |
| Prudential Regulation Authority - Prohibited Individuals, United Kingdom | No record |
| Companies House - Disqualified directors, United Kingdom                 | No record |
| Serious Fraud Office, UK                                                 | No record |
| Department of Trade and Industry, United Kingdom                         | No record |
| Malta Financial Services Authority, Malta                                | No record |
| Netherlands Courts, Netherlands                                          | No record |
| Netherlands Financial Intelligence Unit, Netherlands                     | No record |
| Supreme Court of the Netherlands, Netherlands                            | No record |
| The Netherlands Authority For the Financial Markets, Netherlands         | No record |
| Office of the Director of Corporate Enforcement (ODCE), Ireland          | No record |
| Revenue Commissioners - Irish Tax & Customs, Ireland                     | No record |
| The Irish Financial Services Regulatory Authority, Ireland               | No record |
| The Financial Supervision Commission, Isle of Man                        | No record |
| Institute for Supervision of Insurance, Italy                            | No record |
| Italian Securities Commission (Consob), Italy                            | No record |
| Jersey Financial Securities Commission, Jersey                           | No record |
| Courts, Jersey                                                           | No record |
| Gibraltar Financial Services Commission, Gibraltar                       | No record |
| <b>Asia Pacific Regulatory Bodies</b>                                    |           |
| Australian Stock Exchange, Australia                                     | No record |
| Office of the Registrar of Indigenous Corporations, Australia            | No record |

## Annexure 4 (j)

|                                                                    |           |
|--------------------------------------------------------------------|-----------|
| Australian Securities and Investment Commission (ASIC), Australia  | No record |
| Department of Foreign Affairs and Trade, Australia                 | No record |
| Bangladesh Securities and Commission, Bangladesh                   | No record |
| China Customs, China                                               | No record |
| Banking Regulatory Commission, China                               | No record |
| China Food and Drug Administration, China                          | No record |
| Customs General Administration, China                              | No record |
| Insurance Regulatory Commission, China                             | No record |
| Ministry of Finance, China                                         | No record |
| Securities Association of China, China                             | No record |
| Supreme People's Court, China                                      | No record |
| CSRC (China Securities Regulatory Commission), China               | No record |
| Financial Services and the Treasury Bureau of Hong Kong, Hong Kong | No record |
| Hong Kong Securities & Futures Commission (HKSF), Hong Kong        | No record |
| Hong Kong Customs and Excise Department, Hong Kong                 | No record |
| Hong Kong Monetary Authority – Warnings, Hong Kong                 | No record |
| Independent Commission against Corruption, Hong Kong               | No record |
| Judiciary, Hong Kong                                               | No record |
| Securities and Futures Exchanges, Hong Kong                        | No record |
| Indonesian Financial Services Authority                            | No record |
| Supreme Court, Indonesia                                           | No record |
| Ministry of Economy, Trade and Industry, Japan                     | No record |
| Ministry of Foreign Affairs, Japan                                 | No record |
| Ministry of Finance, Japan                                         | No record |
| Japanese Financial Services Agency, Japan                          | No record |
| Tokyo Stock Exchange (TSE), Japan                                  | No record |
| Japan Securities and Exchange Surveillance Commission, Japan       | No record |
| Financial Supervisory Service, Korea Republic                      | No record |
| Financial Services Commission of Korea, Korea                      | No record |
| Malaysia Securities Commission (MSC), Malaysia                     | No record |
| The Companies Commission of Malaysia, Malaysia                     | No record |
| Bursa Malaysia                                                     | No record |
| Courts of Malaysia (Judgments list), Malaysia                      | No record |
| Anti-Corruption Commission, Malaysia                               | No record |
| Labuan Financial Services Authority, Malaysia                      | No record |
| Inland Revenue of New Zealand, New Zealand                         | No record |
| New Zealand Financial Markets Authority, New Zealand               | No record |
| New Zealand Securities Commission (NZSC), New Zealand              | No record |
| New Zealand Serious Fraud Office, New Zealand                      | No record |
| New Zealand Securities Commission, New Zealand                     | No record |
| Reserve Bank, New Zealand                                          | No record |
| Securities Exchange Commission of Pakistan (SECP), Pakistan        | No record |
| Philippines Securities and Exchange Commission, Philippines        | No record |
| Singapore Stock Exchange, Singapore                                | No record |

#### Annexure 4 (k)

|                                                            |           |
|------------------------------------------------------------|-----------|
| Supreme Court, Singapore                                   | No record |
| Ministry of Law, Singapore                                 | No record |
| Customs, Singapore                                         | No record |
| Inland Revenue Authority, Singapore                        | No record |
| Ministry of Manpower, Singapore                            | No record |
| Thailand Securities and Exchange Commission, Thailand      | No record |
| Supreme Court of Thailand, Thailand                        | No record |
| Anti-Money Laundering Office, Thailand                     | No record |
| Banking Bureau of Financial Supervisory Commission, Taiwan | No record |
| Financial Supervisory Commission, Taiwan                   | No record |
| Taiwan Supreme Prosecutors Office, Taiwan                  | No record |

#### Annexure F

| Compliance Database                                                                                                                           |           |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list | No record |
| Monetary Authority of Singapore                                                                                                               | No record |
| Hong Kong Monetary Authority                                                                                                                  | No record |
| Australian Department of Foreign Affairs and Trade (DFAT)                                                                                     | No record |
| The Australian Transaction Reports and Analysis Centre, Australia                                                                             | No record |
| OSFI Consolidated List, Canada                                                                                                                | No record |
| United Nations International Criminal Tribunal for the Former Yugoslavia                                                                      | No record |
| International Criminal Tribunal for Rwanda                                                                                                    | No record |
| Global Money Laundering Database                                                                                                              | No record |
| Politically Exposed Persons Database                                                                                                          | No record |

#### Annexure G

| Sanction & PEP - Global                                                |           |
|------------------------------------------------------------------------|-----------|
| US Department of State - Iran and Syria Nonproliferation               | No record |
| US Department of State - Iran, North Korea, and Syria Nonproliferation | No record |
| Minister of Foreign Affairs -Special Economic Measures -Syria, Canada  | No record |
| US Iran and Syria Nonproliferation Act                                 | No record |

#### Annexure H

| Web and Media Searches – Global |           |
|---------------------------------|-----------|
| Internet Searches               | No record |
| Media Searches                  | No record |

### Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

**End of detail report**

