

CONFIDENTIAL BACKGROUND VERIFICATION REPORT

Name of the Candidate	Sushant Dhir	Client Name	AML Rightsource India Pvt Ltd - AMRSN 2025
Application ID	GQ-AMRSN-421	Report Status	Closed
Date of Birth	01 Jan 1997	Application Received	29-03-2025
Candidate Employee ID	112489	Insuff Cleared/Reopened	NA
Report Type	FINAL	Final Report Date	21-06-2025
Verification Purpose	EMPLOYMENT	Overall Report Status	GREEN

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
POST GRADUATION	Registrar	11-04-2025	GREEN
LATEST EMPLOYMENT 1	Team HR	07-04-2025	GREEN
GRADUATION	Assistant Registrar (Certificates)	11-04-2025	GREEN
CRIMINAL DATABASE	Refinitiv / World Check One	17-04-2025	GREEN
POLICE VERIFICATION	Jasdeep Singh (Constable)	17-04-2025	GREEN
COURT VERIFICATION	Palav Agarwal - Advocate	17-04-2025	GREEN
GAP CHECK	Resume & Supported Documents	20-06-2025	GREEN
CREDIT/CIBIL CHECK	Transunion CIBIL	17-04-2025	GREEN
NATIONAL IDENTITY 1	PAN Database	01-04-2025	GREEN

End of summary report

Legend:	 -Major discrepancy	 -Minor discrepancy	 -Unable to verify	 -Pending from source	 -All clear
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POST GRADUATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Guru Nanak Dev University	Guru Nanak Dev University
Name Of The Candidate:	Sushant Dhir	Sushant Dhir
Enroll No:	27461838931	27461838931
Degree:	Master of Business Administration	Master of Business Administration
Major:	Business Administration	Business Administration
Month And Year Of Passing:	May-2020	May-2020
Certification Issued Date:	2020-12	2020-12
Remarks:	The following applicant details were verified by Registrar of Guru Nanak Dev University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Registrar	
Report Verified Date:	11-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 1 (a)

ਗੁਰੂ ਨਾਨਕ ਦੇਵ ਯੂਨੀਵਰਸਿਟੀ



Guru Nanak Dev University

TRANSCRIPT



Roll No. : 27461838931

Regd. No. : 2018.UJ /A.707

ਮਾਸਟਰ ਆਫ਼ ਬਿਜਨਸ ਐਡਮਿਨਿਸਟ੍ਰੇਸ਼ਨ, ਸਮੇਸਟਰ-ਚੌਥਾ, ਮਈ-2020

Master of Business Administration 4th-Semester May-2020

ਨਾਮ : ਸੁਸ਼ਾਂਤ ਧੀਰ

Name : SUSHANT DHIR

ਪਿਤਾ ਦਾ ਨਾਮ : ਧੀਰਜ ਧੀਰ

Father's Name : DHIRAJ DHIR

ਮਾਤਾ ਦਾ ਨਾਮ : ਅੰਜੂ

Mother's Name : ANJU

G. N. D. UNIVERSITY COLLEGE, JALANDHAR (REGULAR)

S.No.	Course Code	Course Title	Credits Registered	Grade	Grade Points
1.	MGL551	STRATEGIC MANAGEMENT	4	B+	28
2.	MGL552	INTERNATIONAL BUSINESS	4	B+	28
3.	MGL581	INTERNATIONAL FINANCIAL MANAGEMENT	4	A+	36
4.	MGL582	FINANCIAL MARKETS AND FINANCIAL SERVICES	4	A	32
5.	MGL583	CORPORATE TAX LAW AND PLANNING	4	A	32
6.	MGL599	CASE STUDIES IN FINANCE	2	A	16
7.	CSL001	COMPUTER FUNDAMENTALS	4	A+	36
			26.00		208.00

Credits Earned in the Semester :26.00

Semester Grade Point Average (SGPA) :8.00

Cumulative Grade Point Average (CGPA) :6.90

 Registrar
 Guru Nanak Dev University
 G.T. Road, Amritsar

RESULT: PASSED.

AMRITSAR

December 02, 2020

Note: Detail Marks Card void if Hologram tampered.

Professor Incharge (Examinations)

LATEST EMPLOYMENT 1		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	HDFC Bank Ltd	HDFC Bank Ltd
Name Of The Candidate:	Sushant Dhir	Sushant Dhir
Emp Code:	S64716	S64716
From:	08-05-2023	08-05-2023
To:	11-03-2024	11-03-2024
Designation:	Trainee	Trainee
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Not Disclosed
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	Screen-shot of the Email confirmation received from Team HR of HDFC Bank Ltd, found the employment as genuine. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Team HR	
Report Verified Date:	07-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 2 (a)



Mon 07-04-2025 02:58 PM

i-Cube <no-reply@leena.ai>

Employee Verification Status - [S64716] [SUSHANT DHIR]

To: verifyemployment@goldquestglobal.in

 Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Dear Sir/Madam,

Please find the details below,

Employee Code	Employee Name	Joining Date	Last Working Day	Designation	F&F Status
S64716	SUSHANT DHIR	08-05-2023	11-03-2024	Trainee	PROCESSED

Thanks & Regards

Team HR HDFC Bank Ltd.

Note: *This is an auto generated notification hence do not reply to this email.*

GRADUATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Panjab University	Panjab University
Name Of The Candidate:	Sushant Dhir	Sushant Dhir
Enroll No:	14053890	14053890
Degree:	Bachelor of Commerce	Bachelor of Commerce
Major:	Commerce	Commerce
Month And Year Of Passing:	May-2017	May-2017
Certification Issued Date:	2017-09	2017-09
SI No:	160548	160548
Remarks:	The following applicant details were verified by Assistant Registrar (Certificates) of Panjab University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Assistant Registrar (Certificates)	
Report Verified Date:	11-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 3 (a)

Sr. No. 2K17/ 160548

Roll No. 14053890
Regd. No. 10914000399



Panjab University RESULT CUM-DETAILED MARKS CERTIFICATE

Bachelor of Commerce 6th Semester
Examination, May, 2017

Name : SUSHANT DHIR
Father's Name : Shri DHIRAJ DHIR
Mother's Name : Smt. ANJU

Details of Marks

Sr. Subject Name[Code] No.	Th.	Th.	Th.	Th.	Pr.	Pr.	Pr.	In.	In.	Sub.	Min.	Min.	Min.	Min.	Max.	Max.	Max.	Max.
	A	B	C	Tot	A	B	Tot	A	B	Tot	Pass	Pass	Pass	Pass	Mar.	Mar.	Mar.	Mar.
											Th.	Pr.	In.	Sub.	Th.	Pr.	In.	Sub.
1. Direct's as Laws [BCM601]	43	x	x	43	x	x	x	18	x	61	28	x	x	35	80	x	20	100
2. Financial Management [BCM602]	33	x	x	33	x	x	x	18	x	51	28	x	x	35	80	x	20	100
3. Issues in financial reporting [BCM603]	38	x	x	38	x	x	x	18	x	56	28	x	x	35	80	x	20	100
4. Social and Business Ethics [BCM604]	52	x	x	52	x	x	x	18	x	70	28	x	x	35	80	x	20	100
5. Operational Research [BCM605]	58	x	x	58	x	x	x	20	x	78	28	x	x	35	80	x	20	100
6. Sectoral Aspects of Indian Economy [BCM606]	43	x	x	43	x	x	x	20	x	63	28	x	x	35	80	x	20	100
Total Marks (if passed)										379								600
Marks obtained in Semester I to V										1885								3100
Grand Total										2264								3700

Abbreviations Used: Th.=Theory, Pr.=Practical, In.=Internal Assess., Sub.=Subject, Tot.=Total, Min.=Minimum, Max.=Maximum, Mar.=Marks, ****=Not Applicable

RESULT:

1. Pass: Total Marks obtained in words (Two Thousand Two Hundred and Sixty-Four only)

Assistant Registrar (Certificates)
Panjab University,
Chandigarh

CHANDIGARH

September 15, 2017

Controller of Examinations

CRIMINAL DATABASE		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Sushant Dhir	Sushant Dhir
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	17-04-2025	
Verification Status(Color Code):	GREEN	

LSEG World-Check One

CASE REPORT

Confidential

Name	Sushant Dhir		
Case Rating	Not Rated		
World-Check Total Matches	NO MATCHES FOUND		
Case ID	5jb6sdhoeh9v1jlq36sjd8c42		
Current Group	World Check		
Gender	Male	Date of Birth	01 Jan 1997
Last Screened	17 Apr 2025 13:13	Case Created	17 Apr 2025 13:13
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

KEY FINDINGS

Total Matches	NO MATCHES FOUND
Unresolved Matches	0

Name Sushant Dhir
Date Printed 17-04-2025, 13:20
Printed By Hemender Kumar
Group World Check



Annexure 4 (b)

AUDIT

Date	Actioned By	Action	Notes	Source
17 Apr 2025 13:13	Hemender Kumar	Case Screened for Wor Id-Check (Initial/Re- Screen Only)		Desktop Batch
17 Apr 2025 13:13	Hemender Kumar	New Case added		Desktop Batch

NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application.

Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name
Date Printed
Printed By
Group

Sushant Chir
17-04-2025, 13:20
Hemender Kumar
World Check



Annexure 4 (c)

Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
US & Canada – Most Wanted Lists	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record

Annexure 4 (d)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
Most Wanted Lists: Europe and Central Asia	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

Annexure 4 (e)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
Most Wanted Lists: Africa	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
Most Wanted Lists: Asia Pacific	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

Annexure 4 (f)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
US and Canadian Regulatory Bodies	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

Annexure 4 (g)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

Annexure 4 (h)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
European Regulatory Bodies	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
Asia Pacific Regulatory Bodies	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

Annexure 4 (i)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

Annexure 4 (j)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

POLICE VERIFICATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-421	GQ-AMRSN-421
Candidate Name:	Sushant Dhir	Sushant Dhir
Date Of Birth:	01-01-1997	01-01-1997
Father Name:	Dhiraj Dhir	Dhiraj Dhir
Address1:	Dhir Complex Above Canara Bank, Near Nirankari Bhawan, Dasuya, Hoshiarpur, Punjab, Chopra Complex/ Army Ground, Punjab -144205	Dhir Complex Above Canara Bank, Near Nirankari Bhawan, Dasuya, Hoshiarpur, Punjab, Chopra Complex/ Army Ground, Punjab -144205
Remarks:	The following applicant details are verbally verified by Jasdeep Singh (Constable), and the notary report duly stamped and signed by Palav Agarwal - Advocate with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Jasdeep Singh (Constable)	
Report Verified Date:	17-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 5 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-421
NAME OF THE APPLICANT	Sushant Dhir
FATHER NAME	Dhiraj Dhir
DATE OF BIRTH	01-January-1997
ADDRESS OF THE APPLICANT	DHIR COMPLEX ABOVE CANARA BANK, NEAR NIRANKARI BHAWAN, DASUYA, HOSHIARPUR, PUNJAB, CHOPRA COMPLEX/ ARMY GROUND, PUNJAB -144205
NAME OF THE POLICE STATION	Dasuya Police Station
NAME OF THE STATION HOUSE OFFICER	NA
POLICE STATION CONTACT NUMBER	07527-040068
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	17-April-2025
VERIFIER NAME AND DESIGNATION	Mr. Jasdeep Singh, Constable

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

COURT VERIFICATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSN-421	GQ-AMRSN-421
Candidate Name:	Sushant Dhir	Sushant Dhir
Date Of Birth:	01-01-1997	01-01-1997
Father Name:	Dhiraj Dhir	Dhiraj Dhir
Address1:	Dhir Complex Above Canara Bank, Near Nirankari Bhawan, Dasuya, Hoshiarpur, Punjab, Chopra Complex/ Army Ground, Punjab -144205	Dhir Complex Above Canara Bank, Near Nirankari Bhawan, Dasuya, Hoshiarpur, Punjab, Chopra Complex/ Army Ground, Punjab -144205
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	17-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 6 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMRSN-421
NAME OF THE APPLICANT	Sushant Dhir
FATHER NAME	Dhiraj Dhir
DATE OF BIRTH	01-January-1997
ADDRESS OF THE APPLICANT	DHIR COMPLEX ABOVE CANARA BANK, NEAR NIRANKARI BHAWAN, DASUYA, HOSHIARPUR, PUNJAB, CHOPRA COMPLEX/ ARMY GROUND, PUNJAB -144205
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	17-April-2025

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

GAP CHECK		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Sushant Dhir	Sushant Dhir
Application Id:	GQ-AMRSN-421	GQ-AMRSN-421
Employee Id:	112489	112489
Remarks:	The following details were verified through Resume & the Supported documents provided by the applicant. GAP identified in employment to employment. however the applicant provided the supporting documents for the GAP identified, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Resume & Supported Documents	
Report Verified Date:	20-06-2025	
Verification Status(Color Code):	GREEN	

Annexure 7 (a)

GAP VALIDATION

PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Sushant Dhir	Sushant Dhir
DOB	01-Jan-97	01-Jan-97

Post Graduation

Name of the Board / School	Guru Nanak Dev University	Guru Nanak Dev University
Course	MBA	MBA
Specialization / Major	Business Administration	Business Administration
Year of Passing	2020	2020

Gap Remarks: A Gap of 3 years identified between PG (2020) & HDFC (05-2023). During this period, Candidate was preparing for Government Competitive Examinations and has provided the relevant proofs, hence considering the Gap period as Green.

Graduation

Name of the Board / School	Panjab University	Panjab University
Course	B. Com (Hons)	B. Com (Hons)
Specialization / Major	Commerce	Commerce
Year of Passing	2017	2017

Gap Remarks: NA

12th Standard

Name of the Board / School	Punjab School Education Board	Punjab School Education Board
Course	12th	12th
Specialization / Major	NA	NA
Year of Passing	2014	2014

Gap Remarks: NA

Annexure 7 (b)

10TH STANDARD		
Name of the Board / School	Punjab School Education Board	Punjab School Education Board
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2012	2012

Employment-1		
Name of the Employer	HDFC BANK LTD	HDFC BANK LTD
Full Name of the Employee	Sushant Dhir	Sushant Dhir
Date of joining - From	08-05-2023	08-05-2023
Date of Relieving/Exit - To	11-03-2024	11-03-2024
Designation / Role	Trainee	Trainee
Gap Remarks: A gap of 1 year identified between HDFC Bank (03-2024) & AML (2025). During this gap, Candidate was ill and was advised Rest and also candidate was preparing for Government Examinations and has provided the relevant proofs, hence considering the Gap period as Green.		

CREDIT/CIBIL CHECK		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Sushant Dhir	Sushant Dhir
Pan Number:	GJOPD5969J	GJOPD5969J
CIBIL Transunion Score:	Not Applicable	-1
Scoring Factors:	Not Applicable	INSUFFICIENT HISTORY TO SCORE
Personal Loan Score:	Not Applicable	Not Disclosed
Status:	Not Applicable	Green
Verified By:	Not Applicable	Transunion CIBIL
Remarks:	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Transunion CIBIL	
Report Verified Date:	17-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 8 (a)



CONSUMER CIR

CONSUMER: SUSHANT DHIR
MEMBER ID: BND5034012
MEMBER REFERENCE NUMBER:

DATE: 17-04-2025
TIME: 19:58:45
CONTROL NUMBER: 8,98,17,01,570

**FILE NOT FOUND -- YOUR ENQUIRY ON SUSHANT DHIR RETURNED NO CREDIT FILE.
ALL OF THE DETAILS REFLECTED IN THIS NO HIT CREDIT REPORT ARE THE DETAILS YOU ENTERED.**

CONSUMER INFORMATION:

NAME: SUSHANT DHIR
DATE OF BIRTH: 01-01-1997 GENDER: MALE

CIBIL TRANSUNION SCORE(S):

SCORE NAME	SCORE	SCORING FACTORS
CREDITVISION® SCORE	-1	1: INSUFFICIENT HISTORY TO SCORE.

POSSIBLE RANGE FOR CREDITVISION® SCORE

Consumer with at least one trade on the bureau in last 36 months : 300 (High risk) to 900 (low risk)
Consumer not in CIBIL database or history older than 36 months : -1

* At least one tradeline with information updated in last 36 months is required.

IDENTIFICATION(S):

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
INCOME TAX ID NUMBER (PAN) ^(e)	GJOPD5969J		

TELEPHONE(S):

EMAIL CONTACT(S):

ADDRESS(ES):

ADDRESS^(e): DELHI DELHI 110032
CATEGORY: PERMANENT ADDRESS RESIDENCE CODE: DATE REPORTED: 17-04-2025

EMPLOYMENT INFORMATION:

END OF REPORT ON SUSHANT DHIR

Annexure 8 (b)



CONSUMER CIR

CONSUMER: SUSHANT DHIR
MEMBER ID: BN05034012
MEMBER REFERENCE NUMBER:

DATE: 17-04-2025
TIME: 19:58:45
CONTROL NUMBER: 8,98,17,01,570

All information contained in this credit report has been collated by TransUnion CIBIL Limited (TU CIBIL) based on information provided/ submitted by its various members("Members"), as part of periodic data submission and Members are required to ensure accuracy, completeness and veracity of the information submitted. The credit report is generated using the proprietary search and match logic of TU CIBIL. TU CIBIL uses its best efforts to ensure accuracy, completeness and veracity of the information contained in the Report, and shall only be liable and / or responsible if any discrepancies are directly attributable to TU CIBIL. The use of this report is governed by the terms and conditions of the Operating Rules for TU CIBIL and its Members.

NATIONAL IDENTITY 1		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Pan Card	Pan Card
Identity Number:	GJOPD5969J	GJOPD5969J
Verification Result:	Not Applicable	Green
Verified By:	Not Applicable	PAN Database
Remarks:	The PAN records were verified through tax information network, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PAN Database	
Report Verified Date:	01-04-2025	
Verification Status(Color Code):	GREEN	

Annexure 9 (a)

आयकर विभाग
INCOME TAX DEPARTMENT

भारत सरकार
GOVT. OF INDIA

स्थायी लेखा संख्या कार्ड
Permanent Account Number Card

GJOPD5969J

नाम / Name
SUSHANT DHIR

पिता का नाम / Father's Name
DHIRAJ DHIR

जन्म की तारीख
Date of Birth
01/01/1997

11062020

PAN Application Digitally Signed, Card Not Valid unless Physically Signed.

Annexure 9 (b)

e-Pay Tax



You have successfully verified through mobile OTP. Click Continue to make a new payment.

PAN / TAN
GJOPD5969J

Name
SXXXXXT DXXR

Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

End of detail report

