

CONFIDENTIAL BACKGROUND VERIFICATION REPORT

| | | | |
|------------------------------|-----------------|--------------------------------|--|
| Name of the Candidate | Gopiseti Pankaj | Client Name | AML Rightsource India Pvt Ltd - AMRSN 2025 |
| Application ID | GQ-AMRSN-446 | Report Status | NA |
| Date of Birth | NA | Application Received | 29-03-2025 |
| Candidate Employee ID | 112526 | Insuff Cleared/Reopened | NA |
| Report Type | NA | Final Report Date | NA |
| Verification Purpose | EMPLOYMENT | Overall Report Status | NA |

| REPORT COMPONENT | INFORMATION SOURCE | COMPONENT STATUS | |
|---------------------|-----------------------------------|------------------|---------------------|
| | | COMPLETED DATE | VERIFICATION STATUS |
| LATEST EMPLOYMENT 1 | NIL | NIL | GREEN |
| GRADUATION | Registrar | 11-04-2025 | GREEN |
| POLICE VERIFICATION | Mr. Venkata Uma Mahesh, Constable | 15-04-2025 | GREEN |
| POST GRADUATION | Controller of Examinations | 09-04-2025 | GREEN |
| CREDIT/CIBIL CHECK | NIL | NIL | INSUFF |
| NATIONAL IDENTITY 1 | NIL | 13-05-2025 | GREEN |
| COURT VERIFICATION | Palav Agarwal-Advocate | 15-04-2025 | GREEN |
| GAP CHECK | Resume & Supported Documents | 09-05-2025 | GREEN |
| CRIMINAL DATABASE | Refinitiv / World Check One | 15-04-2025 | GREEN |

End of summary report

| | | | | | |
|----------------|--|--|---|---|--|
| Legend: |  -Major discrepancy |  -Minor discrepancy |  -Unable to verify |  -Pending from source |  -All clear |
|----------------|--|--|---|---|--|

| LATEST EMPLOYMENT 1 | | |
|------------------------|---------------------|------------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Name Of The Candidate: | Gopisetti Pankaj | Gopisetti Pankaj |
| Emp Code: | 112526 | 112526 |
| Last Drawn Salary: | Not Provided | Not Provided |
| Reason For Leaving: | Not Applicable | Not Applicable |
| Rehire Eligibility: | Not Applicable | Not Applicable |

No annexure images available.

| GRADUATION | | |
|----------------------------------|--|----------------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Name Of The Institution: | Andhra University | Andhra University |
| Name Of The Candidate: | Gopisetti Pankaj | Gopisetti Pankaj |
| Enroll No: | 119131404033 | 119131404033 |
| Degree: | Bachelor of Commerce | Bachelor of Commerce |
| Major: | Commerce | Commerce |
| Month And Year Of Passing: | 2023 | 2023 |
| Certification Issued Date: | 2023-10 | 2023-10 |
| SI No: | 00253905 | 00253905 |
| Remarks: | The following applicant details were verified by Registrar of Andhra University found genuine, hence closing the check as GREEN and the same is furnished as annexure. | |
| Information Source Name: | Registrar | |
| Report Verified Date: | 11-04-2025 | |
| Verification Status(Color Code): | GREEN | |

Annexure 2 (a)

U. No. 00253905
ప్రతి పుస్తకం

Registrar No. 119131404033
రెజిస్ట్రార్ నెం.

ANDHRA UNIVERSITY
ఆంధ్ర విశ్వకళాపరిషత్

NAC
A-Grade
ISO 9001:2015
Certified

FACULTY OF COMMERCE
ఫ్యాకల్టీ ఆఫ్ కామర్స్

This is to certify that
ఇది ధృవీకరించబడింది

GOPISETTI PANKAJ

Mr. / Ms. **GOPISETTI SANYASI RAJU**
శ్రీ/శ్రీమతి/ శ్రీమంత్

Son / Daughter of **GOPISETTI SANYASI RAJU**
కుమారుడు/కుమార్తె

has been duly admitted to the Degree of **Bachelor of Commerce (CBCS)**
బ్యాచులర్ ఆఫ్ కామర్స్ (సంకీర్ణ) పట్ల ప్రధానానికి అర్హత పొందినందున **Computer Application**
in this University he/she having been declared to have passed the examination prescribed therefor as follows:
ఈ విశ్వవిద్యాలయం నుండి శ్రీమంత్ పొందిన విద్యార్థి అతడు / ఆమె పట్లకు నిర్దేశించిన పరీక్షలో ఉత్తీర్ణత పొందినట్లు ప్రకటించబడింది:

| Month of Year of Passing ఉత్తీర్ణత పొందిన నెం. సంవత్సరం | Subjects అభ్యసించిన అంశాలు | Class Awarded పొందిన శ్రేణి | Medium మాధ్యమం |
|--|---|--------------------------------|-------------------|
| MARCH-2021 | PART - I LANGUAGES - ENGLISH, SANSKRIT | FIRST | ENGLISH |
| APRIL-2022 | PART - II COMMERCE SUBJECTS WITH CLUSTER ELECTIVE : COMPUTER APPLICATIONS | FIRST | |
| AUGUST-2021 | PART - III FOUNDATION COURSES | QUALIFIED | |

Given under the Seal of the University
విశ్వవిద్యాలయం అధికార ముద్రతో జారీ చేయబడినది.

Visakhapatnam, A.P., India
విశాఖపట్నం, ఆంధ్రప్రదేశ్, భారతదేశం

Date : 30-10-2023
తేది

Controller of Examinations
పరీక్షల నిర్వహణాధికారి

| POLICE VERIFICATION | | |
|----------------------------------|---|---|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Reference Number: | GQ-AMRSN-446 | GQ-AMRSN-446 |
| Candidate Name: | Gopisetti Pankaj | Gopisetti Pankaj |
| Date Of Birth: | 03-08-2002 | 03-08-2002 |
| Father Name: | Gopisetti Sanyasi Raju | Gopisetti Sanyasi Raju |
| Address1: | Beside old sbi buliding main road yelamanchili,Beside Visakha dairy,Andhra Pradesh - 531055 | Beside old sbi buliding main road yelamanchili,Beside Visakha dairy,Andhra Pradesh - 531055 |
| Remarks: | The following applicant details are verbally verified by Mr. Venkata Uma Mahesh, (Constable), and the notary report duly stamped and signed by Mr Palav Agarwal (Advocate) with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure. | |
| Information Source Name: | Mr. Venkata Uma Mahesh, Constable | |
| Report Verified Date: | 15-04-2025 | |
| Verification Status(Color Code): | GREEN | |

Annexure 3 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

POLICE VERIFICATION REPORT

| | |
|-----------------------------------|---|
| APPLICATION ID | GQ-AMRSN-446 |
| NAME OF THE APPLICANT | Gopisetti Pankaj |
| FATHER NAME | Gopisetti Sanyasi Raju |
| DATE OF BIRTH | 03-08-2002 |
| ADDRESS OF THE APPLICANT | Beside old sbi buliding main road yelamanchili, Beside Visakha dairy, Andhra Pradesh - 531055 |
| NAME OF THE POLICE STATION | Jampapalem Rural Police Station |
| NAME OF THE STATION HOUSE OFFICER | NA |
| POLICE STATION CONTACT NUMBER | 09705-505050 |
| VERIFICATION STATUS | Verified Clear |
| VERIFIED DATE | 15-April-2025 |
| VERIFIER NAME AND DESIGNATION | Mr. Venkata Uma Mahesh, Constable |

EXECUTIVE SUMMARY

| | |
|-------------------------------------|-----------------------------------|
| CIVIL COURT VERIFICATION | Verified Clear - No Records Found |
| SESSIONS COURT VERIFICATION | Verified Clear - No Records Found |
| MAGISTRATE COURT VERIFICATION | Verified Clear - No Records Found |
| HIGH COURT VERIFICATION | Verified Clear - No Records Found |
| SUPREME COURT OF INDIA VERIFICATION | Verified Clear - No Records Found |

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYER'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

| POST GRADUATION | | |
|----------------------------------|--|-----------------------------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Name Of The Institution: | SRM University | SRM University |
| Name Of The Candidate: | Gopisetti Pankaj | Gopisetti Pankaj |
| Enroll No: | AP22322130009 | AP22322130009 |
| Degree: | Master of Business Administration | Master of Business Administration |
| Major: | Business Administration | Business Administration |
| Month And Year Of Passing: | 2024 | 2024 |
| SI No: | Not Provided | Not Provided |
| Remarks: | The following applicant details were verified by Controller of Examinations of SRM University found genuine, hence closing the check as GREEN and the same is furnished as annexure. | |
| Information Source Name: | Controller of Examinations | |
| Report Verified Date: | 09-04-2025 | |
| Verification Status(Color Code): | GREEN | |

Annexure 4 (a)

Dear Sir/Madam,

With reference to your email, we verified the educational details of Mr. Gopiseti Pankaj. He is a bonafide student of our university and the attached document is genuine.

Thanks & Regards,

Office of The Controller of Examinations(COE),
SRM University-AP-522240.

On Tue, Apr 8, 2025 at 5:43 PM Muthu K - GoldQuest Gobal <verify@goldquestglobal.in> wrote:

Dear Team,

Greeting from the GoldQuest Global Hr Services Private Limited!!

I would be most grateful if you could take a few minutes and send the response and we provide employers with comprehensive risk mitigation services that include Education verification of the candidate.

Kindly revert to us on the education validation of **Gopiseti Pankaj** mentioned below.

| PFB Details | |
|-------------------------------------|-----------------------------------|
| Candidate full name | Gopiseti Pankaj |
| Roll No | AP22322130009 |
| Title and subject of qualification- | Master of Business Administration |
| Passing year | 2024 |
| CGPA/ Division | First Class |

| CREDIT/CIBIL CHECK | | |
|-------------------------|---------------------|------------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Name Of The Candidate: | Gopisetti Pankaj | Gopisetti Pankaj |
| CIBIL Transunion Score: | Not Provided | Not Provided |
| Scoring Factors: | Not Provided | Not Provided |
| Personal Loan Score: | Not Provided | Not Provided |
| Status: | Not Applicable | Not Applicable |
| Verified By: | Not Applicable | Not Applicable |

No annexure images available.

| NATIONAL IDENTITY 1 | | |
|----------------------------------|---------------------|----------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Report Verified Date: | 13-05-2025 | |
| Verification Status(Color Code): | GREEN | |

Annexure 6 (a)



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Tax Professionals & Others ▾

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PAN / TAN
DSCPG1091L

Name
PXXXXJ GXXXXXXI

| COURT VERIFICATION | | |
|----------------------------------|--|---|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Reference Number: | GQ-AMRSN-446 | GQ-AMRSN-446 |
| Candidate Name: | Gopiseti Pankaj | Gopiseti Pankaj |
| Date Of Birth: | 03-08-2002 | 03-08-2002 |
| Father Name: | Gopiseti Sanyasi Raju | Gopiseti Sanyasi Raju |
| Address1: | Beside old sbi buliding main road yelamanchili,Beside Visakha dairy,Andhra Pradesh - 531055 | Beside old sbi buliding main road yelamanchili,Beside Visakha dairy,Andhra Pradesh - 531055 |
| Remarks: | Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure. | |
| Information Source Name: | Palav Agarwal-Advocate | |
| Report Verified Date: | 15-04-2025 | |
| Verification Status(Color Code): | GREEN | |

Annexure 7 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

COURT VERIFICATION REPORT

| | |
|--------------------------|---|
| APPLICATION ID | GQ-AMRSN-446 |
| NAME OF THE APPLICANT | Gopisetti Pankaj |
| FATHER NAME | Gopisetti Sanyasi Raju |
| DATE OF BIRTH | 03-August-2002 |
| ADDRESS OF THE APPLICANT | Beside old sbi buliding main road yelamanchili,Beside Visakha dairy,Andhra Pradesh - 531055 |
| VERIFICATION STATUS | Verified Clear |
| VERIFIED DATE | 15-April-2025 |

| EXECUTIVE SUMMARY | |
|-------------------------------------|-----------------------------------|
| CIVIL COURT VERIFICATION | Verified Clear - No Records Found |
| SESSIONS COURT VERIFICATION | Verified Clear - No Records Found |
| MAGISTRATE COURT VERIFICATION | Verified Clear - No Records Found |
| HIGH COURT VERIFICATION | Verified Clear - No Records Found |
| SUPREME COURT OF INDIA VERIFICATION | Verified Clear - No Records Found |

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

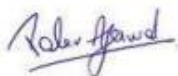
LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

| GAP CHECK | | |
|----------------------------------|--|------------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Applicant Name: | Gopisetti Pankaj | Gopisetti Pankaj |
| Application Id: | GQ-AMRSN-446 | GQ-AMRSN-446 |
| Employee Id: | 112526 | 112526 |
| Remarks: | The following details were verified through resume & supported documents provided by the applicant, no GAP identified for the period of 6months from any employment to employment in the overall work experience. Hence, closing the check as GREEN and the same is furnished as annexure. | |
| Information Source Name: | Resume & Supported Documents | |
| Report Verified Date: | 09-05-2025 | |
| Verification Status(Color Code): | GREEN | |

Annexure 8 (a)

| GAP VALIDATION | | |
|--------------------------------|---------------------------------|---------------------------------|
| PARTICULARS | RESUME AND BGV FORM | SUPPORTING DOCUMENTS |
| Name | Gopisetti Pankaj | Gopisetti Pankaj |
| DOB | 03-08-2002 | 03-08-2002 |
| Post Graduation | | |
| Name of University / Institute | SRM University | SRM University |
| Course | MBA | MBA |
| Specialization / Major | Finance | Finance |
| Year of Passing | 2024 | 2024 |
| Gap Remarks: | | |
| Graduation | | |
| Name of University / Institute | Andhra University | Andhra University |
| Course | B. Com | B. Com |
| Specialization / Major | Computer Application | Computer Application |
| Year of Passing | 2022 | 2022 |
| Gap Remarks: | | |
| 12TH STANDARD | | |
| Name of the Board / School | Board Of Intermediate Education | Board Of Intermediate Education |
| Course | 12th | 12th |
| Specialization / Major | Nil | Nil |
| Year of Passing | 2019 | 2019 |
| 10TH STANDARD | | |
| Name of the Board / School | Board Of Intermediate Education | Board Of Intermediate Education |
| Course | 10th | 10th |
| Specialization / Major | Nil | Nil |
| Year of Passing | 2017 | 2017 |

| CRIMINAL DATABASE | | |
|----------------------------------|---|------------------|
| PARTICULARS | APPLICATION DETAILS | REPORT DETAILS |
| Name Of The Candidate: | Gopisetti Pankaj | Gopisetti Pankaj |
| Remarks: | The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure. | |
| Information Source Name: | Refinitiv / World Check One | |
| Report Verified Date: | 15-04-2025 | |
| Verification Status(Color Code): | GREEN | |

LSEG World-Check One CASE REPORT

Confidential

| | | | |
|---------------------------|---------------------------|--------------------|-------------------|
| Name | Gopisetti Pankaj | | |
| Case Rating | Not Rated | | |
| World-Check Total Matches | NO MATCHES FOUND | | |
| Case ID | 5jb8eixerghc1jlii6bwksjgi | | |
| Current Group | World Check | | |
| Gender | Male | Date of Birth | 03 Aug 2002 |
| Last Screened | 15 Apr 2025 10:12 | Case Created | 15 Apr 2025 10:12 |
| Entity Type | Individual | Ongoing Screening | No |
| Archived | No | Name Transposition | Yes |

KEY FINDINGS

| | |
|--------------------|------------------|
| Total Matches | NO MATCHES FOUND |
| Unresolved Matches | 0 |

Name: Gopisetti Pankaj
Date Printed: 15-04-2025, 10:18
Printed By: Hemender Kumar
Group: World Check

Annexure 9 (b)

AUDIT

| Date | Actioned By | Action | Notes | Source |
|-------------------|----------------|--|-------|------------------|
| 15 Apr 2025 10:12 | Hemender Kumar | Case Screened for Wor Id-Check (Initial/Re- Screen Only) | | Desktop Batch |
| 15 Apr 2025 10:12 | Hemender Kumar | New Case added | | Desktop Batch |

NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name
Date Printed
Printed By
Group

Gopiseti Panikaj
15-04-2025, 10:18
Hemender Kumar
World Check



Annexure 9 (c)

Annexure A

| Criminal Records Database Checks - India | |
|--|-----------|
| Central Bureau of Investigation Most Wanted List | No record |
| Supreme Court of India | No record |
| Ministry of Defense | No record |
| National Investigation Agency | No record |
| National Crime Records Bureau | No record |
| Delhi Police | No record |
| India Courts | No record |
| Ministry of Home Affairs of India | No record |

Annexure B

| Civil Litigation Database Checks – India | |
|---|-----------|
| University Grants Commission | No record |
| Indian Politically Exposed Persons (PEP) Database | No record |
| Income Tax Department | No record |
| Press Information Bureau | No record |

Annexure C

| Credit and Reputational Risk Database Checks – India | |
|---|-----------|
| Reserve Bank of India | No record |
| Securities and Exchange Board of India | No record |
| Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors | No record |
| Bombay Stock Exchange | No record |
| Insurance Regulatory and Development Authority | No record |
| National Stock Exchange of India Ltd. | No record |
| Competition Commission of India | No record |

Annexure D

| Serious and Organized Crimes Database Checks – Global | |
|--|-----------|
| Interpol Most Wanted | No record |
| US & Canada – Most Wanted Lists | |
| Air Force Office of Special Investigation - Air Force Fugitives, USA | No record |
| Bureau of Alcohol, Tobacco and Firearms, USA | No record |
| Delaware State Police, USA | No record |
| Drug Enforcement Administration, USA | No record |
| Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information] | No record |
| Kansas Bureau of Investigation, USA | No record |
| Mississippi Department of Public Safety, USA | No record |
| Oregon Department of Corrections, USA | No record |
| Illinois Department of Corrections, USA | No record |
| Rhode Island State Police, USA | No record |

Annexure 9 (d)

| | |
|--|-----------|
| Tennessee Bureau of Investigation, USA | No record |
| Most Wanted Fugitives: Texas Department of Public Safety, USA | No record |
| Immigration and Customs Enforcement, USA | No record |
| Secret Service, USA | No record |
| United States Department of Justice (DOJ), USA | No record |
| United States Marshals Service, USA | No record |
| Naval Criminal Investigative Service, USA | No record |
| Postal Inspection Service, USA | No record |
| Virginia State Police, USA | No record |
| West Virginia State Police, USA | No record |
| New York Police Department, USA | No record |
| Department of Defense, USA | No record |
| Department of State-Enforcement, USA | No record |
| Dept of State Foreign Terrorist Organizations, USA | No record |
| Dept of State Terrorist Exclusion List, USA | No record |
| Georgia Bureau of Investigation, USA | No record |
| New York City Department of Investigation, USA | No record |
| Central Intelligence Agency, USA | No record |
| Department of Defense Military Commission Proceedings at Guantanamo Bay, USA | No record |
| Department of Homeland Security, USA | No record |
| Department of State, Narcotics Rewards Program, USA | No record |
| US Coast Guard, USA | No record |
| Virgin Islands Police Department, USA | No record |
| Royal Canadian Mounted Police, Canada | No record |
| Ontario Provincial Service, Canada | No record |
| The Peel Regional Police, Canada | No record |
| Alberta Law Enforcement Response Teams, Canada | No record |
| Border Services Agency, Canada | No record |
| Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada | No record |
| Edmonton Police Service, Canada | No record |
| London Canada Police Service, Canada | No record |
| Niagara Regional Police Service, Canada | No record |
| OSFI Enforcements, Canada | No record |
| OSFI Anti Terrorism, Canada | No record |
| Ottawa Police Service, Canada | No record |
| Permanent Anti-Corruption Unit, Canada | No record |
| Toronto Police Service, Canada | No record |
| York Regional Police, Canada | No record |
| Most Wanted Lists: Europe and Central Asia | |
| The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany | No record |
| German Federal Criminal Police Office, Germany | No record |
| Baden-Württemberg Police, Germany | No record |
| Bayern Police, Germany | No record |

Annexure 9 (e)

| | |
|---|-----------|
| Brandenburg Police, Germany | No record |
| Bremen Police, Germany | No record |
| Hamburg Police, Germany | No record |
| Mecklenburg-Vorpommern Police, Germany | No record |
| Niedersachsen Police, Germany | No record |
| Saarland Police, Germany | No record |
| Sachsen Police, Germany | No record |
| The Netherlands Police Department, The Netherlands | No record |
| National Terrorism List, The Netherlands | No record |
| Netherlands Police | No record |
| Public Prosecution Service, The Netherlands | No record |
| State Police, Italy | No record |
| Proscribed Organizations, United Kingdom | No record |
| The Metropolitan Police Service, United Kingdom | No record |
| Serious Fraud Office, United Kingdom | No record |
| National Crime Squad, United Kingdom | No record |
| Crimestoppers Trust, United Kingdom | No record |
| Crown Prosecution Service, United Kingdom | No record |
| London Police, United Kingdom | No record |
| Scottish Crime and Drug Enforcement Agency, United Kingdom | No record |
| Serious Organized Crime Agency, United Kingdom | No record |
| UK Border Agency, United Kingdom | No record |
| Ministry of the Interior, Russia | No record |
| Chief Military Prosecutor, Russia | No record |
| Federal Penitentiary Service, Russia | No record |
| Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia | No record |
| Most Wanted Lists: Africa | |
| South African Police Service, South Africa | No record |
| National Prosecution Authority, South Africa | No record |
| Most Wanted Lists: Asia Pacific | |
| Australian National Security, Australia | No record |
| Australian Crime Commission, Australia | No record |
| Australian Customs and Border Protection Service, Australia | No record |
| Australian Federal Police, Australia | No record |
| New South Wales Police, Australia | No record |
| Victoria Crime Stoppers, Australia | No record |
| Hangzhou Police Wanted List, China | No record |
| Hebei Province Public Security Bureau, China | No record |
| Central Commission for Discipline Inspection-Top 100 Fugitives, China | No record |
| Dubai Police, UAE | No record |
| Hong Kong Police Force, Hong Kong | No record |
| Criminal Investigation Police, Indonesia | No record |
| National Police, Indonesia | No record |

Annexure 9 (f)

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|--|-----------|
| Hokkaido Prefecture Police, Japan | No record |
| Public Security Intelligence Agency, Japan | No record |
| Fukuoka Prefecture Police, Japan | No record |
| Japanese National Police Agency, Japan | No record |
| Ministry of Defense, Japan | No record |
| Yamagata Prefecture Police, Japan | No record |
| Korean National Police Agency, Korea | No record |
| Royal Malaysian Police Force, Malaysia | No record |
| New Zealand Police, New Zealand | No record |
| Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan | No record |
| Punjab Police, Pakistan | No record |
| National Bureau Of Investigation, Philippines | No record |
| Philippine Drug Enforcement Agency, Philippines | No record |
| Philippine National Police, Philippines | No record |
| Central Narcotics Bureau, Singapore | No record |
| Police Force Case Studies, Singapore | No record |
| Corrupt Practices Investigation Bureau, Singapore | No record |
| Anti-Human Trafficking Division, Thailand | No record |
| The Department of Special Investigation, Thailand | No record |
| Royal Thai Police, Thailand | No record |
| Criminal Investigation Bureau, Taiwan | No record |
| Bureau of Investigation, Taiwan | No record |
| Ministry of National Defense of Taiwan, Taiwan | No record |
| Bureau of Investigation, Ministry of Justice, Taiwan | No record |
| Ministry of National Defense of Taiwan | No record |

Annexure E

| Global Regulatory Bodies | |
|--|-----------|
| Bureau of Industry and Security | No record |
| World Bank Debarred Parties | No record |
| Defense Trade Controls (DTC) Debarred Parties | No record |
| US and Canadian Regulatory Bodies | |
| New York Stock Exchange (NYSE), USA | No record |
| Commodities and Futures Trading Commission (CFTC), USA | No record |
| Excluded Parties List System [includes General Services Administration (GSA)], USA | No record |
| Federal Deposit and Insurance Corporation (FDIC), USA | No record |
| Federal Reserve Board (FRB), USA | No record |
| Financial Crimes Enforcement Network, USA | No record |
| HHS-Office of Inspector General (OIG), USA | No record |
| Department of Health & Human Services, USA | No record |
| National Credit Union Association (NCUA), USA | No record |
| Consumer Financial Protection Bureau, USA | No record |
| Office Comptroller of Currency (OCC), USA | No record |
| Oregon Department of Consumer & Business Services, USA | No record |

Annexure 9 (g)

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|--|-----------|
| US Securities and Exchange Commission, USA | No record |
| New York State Insurance Department, USA | No record |
| New York Department of Financial Services, USA | No record |
| New York State Office of General Services, USA | No record |
| California Department of General Services, USA | No record |
| Minnesota State Board of Investment, USA | No record |
| Financial Industry Regulatory Authority, USA | No record |
| Alabama Securities Commission, USA | No record |
| Alaska Division of Banking, Securities and Corporations, USA | No record |
| Arizona Corporation Commission Securities Division, USA | No record |
| Arkansas Securities Department, USA | No record |
| BIS Department of Commerce, USA | No record |
| California Department of Insurance, USA | No record |
| Colorado Division of Securities, USA | No record |
| Department of State Directorate of Defense Trade Controls, USA | No record |
| Department of the Air Force General Counsel, USA | No record |
| Federal Aviation Administration, USA | No record |
| Financial Fraud Enforcement Task Force/ StopFraud.gov, USA | No record |
| Florida Department of Financial Services, USA | No record |
| Illinois Securities Department, USA | No record |
| Kansas Securities Commission, USA | No record |
| Maine Securities Division, USA | No record |
| Massachusetts Securities Division, USA | No record |
| Michigan Department of Insurance and Financial Services , USA | No record |
| Missouri Secretary of State Securities Division, USA | No record |
| Nebraska Department of Banking and Finance, USA | No record |
| Nevada Secretary of State Securities Division, USA | No record |
| New Jersey Bureau of Securities, USA | No record |
| New Jersey Department of Banking & Insurance, USA | No record |
| Ohio Department of Commerce Securities Division, USA | No record |
| Oklahoma Securities Commission, USA | No record |
| Pennsylvania Banking and Securities Commission, USA | No record |
| Pennsylvania Department General Services, USA | No record |
| Tennessee Securities Division, USA | No record |
| Texas State Securities Board, USA | No record |
| U.S Courts, USA | No record |
| Department of Justice, USA | No record |
| Department of Labor Office of Inspector General, USA | No record |
| Federal Trade Commission, USA | No record |
| Virginia State Corporation Commission, USA | No record |
| Washington State Office of the Insurance Commissioner, USA | No record |
| Bureau of Industry and Security (BIS)–export violations, USA | No record |
| Wisconsin Commissioner of Insurance, USA | No record |
| US Food & Drug Administration | No record |

Annexure 9 (h)

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|--|-----------|
| Alberta Securities Commission, Canada | No record |
| British Columbia Securities Commission (BCSC), Canada | No record |
| Investment Dealers Association of Canada (IDA), Canada | No record |
| Office of Superintendents of Financial Institutions (OSFI), Canada | No record |
| Ontario Securities Commission (OSC), Canada | No record |
| Canada Revenue Agency, Canada | No record |
| Cayman Islands Monetary Authority, Cayman Islands | No record |
| Central Bank of Bahamas, Bahamas | No record |
| Federal Court of Canada, Canada | No record |
| Financial Services Commission of Ontario, Canada | No record |
| Canadian Securities Administrators, Canada | No record |
| New Brunswick Securities Commission, Canada | No record |
| Nova Scotia Securities Commission, Canada | No record |
| Tax Court of Canada, Canada | No record |
| European Regulatory Bodies | |
| Financial Services Authority (FSA), United Kingdom | No record |
| Lloyds of London (Lloyds), United Kingdom | No record |
| HM Revenue and Customs, United Kingdom | No record |
| Financial Services Authority - Final Notice, United Kingdom | No record |
| Judiciary of Scotland, United Kingdom | No record |
| Financial Conduct Authority, United Kingdom | No record |
| Her Majesty's Courts Service, United Kingdom | No record |
| Home Office, United Kingdom | No record |
| Prudential Regulation Authority - Prohibited Individuals, United Kingdom | No record |
| Companies House - Disqualified directors, United Kingdom | No record |
| Serious Fraud Office, UK | No record |
| Department of Trade and Industry, United Kingdom | No record |
| Malta Financial Services Authority, Malta | No record |
| Netherlands Courts, Netherlands | No record |
| Netherlands Financial Intelligence Unit, Netherlands | No record |
| Supreme Court of the Netherlands, Netherlands | No record |
| The Netherlands Authority For the Financial Markets, Netherlands | No record |
| Office of the Director of Corporate Enforcement (ODCE), Ireland | No record |
| Revenue Commissioners - Irish Tax & Customs, Ireland | No record |
| The Irish Financial Services Regulatory Authority, Ireland | No record |
| The Financial Supervision Commission, Isle of Man | No record |
| Institute for Supervision of Insurance, Italy | No record |
| Italian Securities Commission (Consob), Italy | No record |
| Jersey Financial Securities Commission, Jersey | No record |
| Courts, Jersey | No record |
| Gibraltar Financial Services Commission, Gibraltar | No record |
| Asia Pacific Regulatory Bodies | |
| Australian Stock Exchange, Australia | No record |
| Office of the Registrar of Indigenous Corporations, Australia | No record |

Annexure 9 (i)

| | |
|--|-----------|
| Australian Securities and Investment Commission (ASIC), Australia | No record |
| Department of Foreign Affairs and Trade, Australia | No record |
| Bangladesh Securities and Commission, Bangladesh | No record |
| China Customs, China | No record |
| Banking Regulatory Commission, China | No record |
| China Food and Drug Administration, China | No record |
| Customs General Administration, China | No record |
| Insurance Regulatory Commission, China | No record |
| Ministry of Finance, China | No record |
| Securities Association of China, China | No record |
| Supreme People's Court, China | No record |
| CSRC (China Securities Regulatory Commission), China | No record |
| Financial Services and the Treasury Bureau of Hong Kong, Hong Kong | No record |
| Hong Kong Securities & Futures Commission (HKSF), Hong Kong | No record |
| Hong Kong Customs and Excise Department, Hong Kong | No record |
| Hong Kong Monetary Authority – Warnings, Hong Kong | No record |
| Independent Commission against Corruption, Hong Kong | No record |
| Judiciary, Hong Kong | No record |
| Securities and Futures Exchanges, Hong Kong | No record |
| Indonesian Financial Services Authority | No record |
| Supreme Court, Indonesia | No record |
| Ministry of Economy, Trade and Industry, Japan | No record |
| Ministry of Foreign Affairs, Japan | No record |
| Ministry of Finance, Japan | No record |
| Japanese Financial Services Agency, Japan | No record |
| Tokyo Stock Exchange (TSE), Japan | No record |
| Japan Securities and Exchange Surveillance Commission, Japan | No record |
| Financial Supervisory Service, Korea Republic | No record |
| Financial Services Commission of Korea, Korea | No record |
| Malaysia Securities Commission (MSC), Malaysia | No record |
| The Companies Commission of Malaysia, Malaysia | No record |
| Bursa Malaysia | No record |
| Courts of Malaysia (Judgments list), Malaysia | No record |
| Anti-Corruption Commission, Malaysia | No record |
| Labuan Financial Services Authority, Malaysia | No record |
| Inland Revenue of New Zealand, New Zealand | No record |
| New Zealand Financial Markets Authority, New Zealand | No record |
| New Zealand Securities Commission (NZSC), New Zealand | No record |
| New Zealand Serious Fraud Office, New Zealand | No record |
| New Zealand Securities Commission, New Zealand | No record |
| Reserve Bank, New Zealand | No record |
| Securities Exchange Commission of Pakistan (SECP), Pakistan | No record |
| Philippines Securities and Exchange Commission, Philippines | No record |
| Singapore Stock Exchange, Singapore | No record |

Annexure 9 (j)

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|--|-----------|
| Supreme Court, Singapore | No record |
| Ministry of Law, Singapore | No record |
| Customs, Singapore | No record |
| Inland Revenue Authority, Singapore | No record |
| Ministry of Manpower, Singapore | No record |
| Thailand Securities and Exchange Commission, Thailand | No record |
| Supreme Court of Thailand, Thailand | No record |
| Anti-Money Laundering Office, Thailand | No record |
| Banking Bureau of Financial Supervisory Commission, Taiwan | No record |
| Financial Supervisory Commission, Taiwan | No record |
| Taiwan Supreme Prosecutors Office, Taiwan | No record |

Annexure F

| Compliance Database | |
|---|-----------|
| Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list | No record |
| Monetary Authority of Singapore | No record |
| Hong Kong Monetary Authority | No record |
| Australian Department of Foreign Affairs and Trade (DFAT) | No record |
| The Australian Transaction Reports and Analysis Centre, Australia | No record |
| OSFI Consolidated List, Canada | No record |
| United Nations International Criminal Tribunal for the Former Yugoslavia | No record |
| International Criminal Tribunal for Rwanda | No record |
| Global Money Laundering Database | No record |
| Politically Exposed Persons Database | No record |

Annexure G

| Sanction & PEP - Global | |
|--|-----------|
| US Department of State - Iran and Syria Nonproliferation | No record |
| US Department of State - Iran, North Korea, and Syria Nonproliferation | No record |
| Minister of Foreign Affairs -Special Economic Measures -Syria, Canada | No record |
| US Iran and Syria Nonproliferation Act | No record |

Annexure H

| Web and Media Searches – Global | |
|---------------------------------|-----------|
| Internet Searches | No record |
| Media Searches | No record |

Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

End of detail report

