

Somesh Yadav

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Professional Summary

Compliance and financial-crime prevention professional with 5+ years of experience in AML, KYC, transaction monitoring, and trade-finance operations in the banking sector. Proven expertise in investigating complex transactions, identifying suspicious patterns, conducting due diligence, and preparing regulatory reports aligned with OFAC, FATF, FEMA, and RBI guidelines. Recognized for accuracy in compliance reviews, fraud detection, and risk mitigation, with experience collaborating with cross-functional teams.

Core Competencies

- 1 AML Investigations & Transaction Monitoring
- 2 Customer Due Diligence (CDD/EDD)
- 3 Suspicious Transaction / Activity Reporting (STR/SAR)
- 4 Sanctions & PEP Screening (OFAC, FATF, RBI)
- 5 Trade Finance Operations & SWIFT Messaging (MT103, MT202, MT199, MT299)
- 6 Regulatory Compliance & Risk Management
- 7 Fraud Detection & Process Improvement
- 8 Advanced MS Excel & MIS Reporting

Professional Experience

Senior Consultant – StatusNeo Technology Consulting Pvt. Ltd. | Jul 2025 – Aug 2025

- 1 Implemented KYC onboarding for corporate clients to meet AML regulations and internal standards.
- 2 Performed CDD and EDD, assessing customer profiles and associated risks.
- 3 Screened clients on LexisNexis and World-Check for sanctions, PEPs, and adverse media.
- 4 Documented high-risk cases and red flags to support AML investigations.

Career Gap | Mar 2025 – Jun 2025

- 1 Took time off due to a personal family emergency.

Analyst – Trade Finance & Compliance – Sumitomo Mitsui Banking Corporation, Delhi | Apr 2023 – Feb 2025

- 1 Conducted AML/KYC assessments for applicants, beneficiaries, and third parties.
- 2 Investigated suspicious transactions and escalated potential money-laundering activities.
- 3 Monitored high-risk customers and Politically Exposed Persons (PEPs).
- 4 Prepared regulatory reports for RBI, ensuring adherence to OFAC, FATF, FEMA, and RBI guidelines.
- 5 Managed SWIFT messages and reconciled transactions.
- 6 Achievements: Reached 200–300% productivity with minimal errors; recognized for accuracy and improved client satisfaction.

Deputy Manager – Trade Finance Operations – ICICI Bank, Delhi | Dec 2020 – Mar 2023

- 1 Performed AML/KYC checks on foreign remittances.
- 2 Monitored transactions for sanction compliance.
- 3 Drafted and verified SWIFT messages (MT103, MT202, MT199, MT299).
- 4 Reported fraudulent/suspicious activities to compliance.
- 5 Conducted AML/KYC training for staff.

- 6 Achievements: Promoted to Deputy Manager Band II in 15 months; improved compliance awareness and efficiency.

Finance Executive / Advisory Roles – Buy Plus Realtors & Finadvantage Consulting, Gurugram | 2019 – 2020

- 1 Assisted in audits, reconciliations, MIS reporting, and compliance checks.
- 2 Prepared and analyzed financial reports to ensure accuracy and transparency.
- 3 Supported internal audits and GAAP compliance.
- 4 Achievements: Enhanced financial reporting accuracy and timeliness.

Education

MBA (Finance), Jagan Institute of Management Studies, New Delhi – 2019
B.Com, University of Delhi – 2016

Certifications

- 1 Certified Anti-Money Laundering Specialist (CAMLs), Udemy – 2025
- 2 Certificate Course in Foreign Exchange (CCFE), IIBF – 2024
- 3 International Trade Finance, Udemy – 2023
- 4 Advanced Excel, Udemy – 2023
- 5 Financial Modelling, Skill Nation – 2022

Technical Skills

Finacle | Trade-Factory | AMLOCK | Fircosoft | TEMENOS T24 | SWIFT Messaging | FX Trade-Online | MS Office (Excel, Word, PowerPoint)

Soft Skills

Analytical Thinking | Research & Investigation | Attention to Detail | Communication | Problem-Solving | Critical Thinking