

# Atish Sambhaji

## Doiphode

Senior Process Associate



### PROFILE SUMMARY

Subject Matter Expert for Global KYC (End to End Process)- AML/KYC/Transaction Monitoring/PEP Screening/Name Screening/Sanctin Screening/Terrorist Financing/SAR Filing/Fraud Investigations

### PERSONAL INFORMATION

Email  
atish5295@gmail.com

Mobile  
(+91) 8237181736

Total work experience  
4 Years 0 Month

### KEY SKILLS

SAR

Cip

Edd

Global Kyc

RDC

World Check

Transaction Analysis

Customer Due Diligence

Sanction

Due Diligence

Ofac

Cdd

Client Onboarding

Investigation

Aml

Transaction Monitoring

Anti Money Laundering

Compliance

Sanction Screening

### EDUCATION

2018	B.Tech/B.E. <b>N. B. N. Sinhgad College of Engineering, Solapur</b>
2014	XIIth <b>English</b>
2012	Xth <b>Marathi</b>

### WORK EXPERIENCE

Dec 2024 - Present	<p><b>Senior Process Associate</b> <b>Guidehouse</b></p> <p>Subject Matter Expert for KYC-EDD (US-UK).</p> <p>Conducted enhanced due diligence (EDD) reviews for high-risk clients including PEPs, offshore entities, and complex corporate structures in accordance with global AML/KYC policies.</p> <p>Performed end-to-end KYC onboarding and periodic reviews for retail, corporate, and institutional clients, ensuring full compliance with internal standards and regulatory guidelines (e.g., FATF, FinCEN, etc.).</p> <p>Reviewed and analyzed source of wealth (SOW) and source of funds (SOF) documentation to assess potential financial crime risks.</p> <p>Conducted in-depth adverse media screening, PEP checks, and sanctions screening using tools such as World-Check, LexisNexis, and RDC.</p> <p>Managed KYC refresh cycles for high-risk clients, ensuring timely review completion and escalation of red flags to compliance teams.</p> <p>Identified and escalated unusual patterns, shell companies, and negative news for further AML investigation.</p> <p>Collaborated with front office, compliance, and legal teams to resolve documentation gaps and risk classification issues.</p> <p>Maintained a high level of accuracy and quality in</p>
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## KYC

### PEP Screening

Politically Exposed Person (PEP)

Subject Matter Expertise

Team Handling

## OTHER PERSONAL DETAILS

City Chennai

Country INDIA

## LANGUAGES

- Marathi
- English
- Hindi

Mar 2024 - Dec 2024

documentation, adhering to SLAs and audit standards.

Prepared and maintained KYC profiles, risk assessments, and case narratives for internal and regulatory review.

Supported internal audits and regulatory inquiries by providing well-documented case files and rationale for decisions taken.

Trained and mentored junior analysts on KYC policies, documentation standards, and risk assessment methodology.

Participated in process improvement initiatives and automation projects to streamline KYC onboarding and review cycles.

Process Developer

**Genpact**

- Subject Matter Expert for Global KYC (Australia)
- Conduct financial crimes risk management investigations, all levels, as well as fill out and file SAR forms.
- Interpret evidence from internal and external tools and systems to determine the legitimacy of customer behavior
- Reviewed bank's products such as personal checking and savings account, business checking accounts, checks, international wire and more, that alerted within customer's transactions
- Conducted complex case investigations, alerts clearing, and Suspicious Activity
- Report filing Completed alerts narratives in FCRM case to compile evidence regarding potential illicit activity.
- Utilized workplace tools such as LexisNexis, World-Check, to assist in conducting AML Screening and reviews in accordance with the bank's policies and procedures
- Evaluated client's AML KYC risk, conducted independent research, verified appropriate risk profile, and made independent risk assessment recommendations.
- Prepared due diligence commentary that demonstrated a complete analysis and assessment of the client's transaction activities, reports of such data for the fraud department across multiple products.
- Prioritized contributors in terms of amounts and services and kept meticulous
- Improved collection process via solicitation and analysis of feedback as well as detailed reporting.
- Review data from systems and following client procedures to investigate, decide, and document transaction monitoring alerts.
- Assist in the development and administering training initiatives.

Dec 2023 - Mar 2024

AML Senior Executive

**Pine Labs**

Wrong profile assignment after hiring. (Chargeback, Arbitration, Pre-arbitration, card disputes, etc.)

Sep 2021 - Nov 2023

Senior Process Associate

**Accenture**

Subject Matter Expert for Sanction Screening and Terrorist Financing.

Financial crimes compliance, Client list screening based on AML regulations to avoid money laundering, terrorist financing, drug trafficking, etc. Experience supporting AML / KYC, Customer Due Diligence, Economic Sanctions/OFAC or other compliance related initiatives in a large, complex, highly regulated financial institution.

Conduct review on alerts generated for transaction monitoring or screening alerts in Sanctions and Politically Exposed Persons.

Conduct open-source search to find relevant information to complete the investigation and review.

Perform due diligence of documents provided for customer according to policy and regulations.

Performing ongoing customer due diligence including maintenance and updating of KYC and AML records for existing clients.

Identify and escalate any suspicious or unusual matters to AML & Line of business compliance for further investigation.

## Projects

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791 Days

### Payment Compliance

Financial crimes compliance, Client list screening based on AML regulations to avoid money laundering, terrorist financing, drug trafficking, etc. Experience supporting AML / KYC, Customer Due Diligence, Economic Sanctions/OFAC or other compliance related initiatives in a large, complex, highly regulated financial institution. ? Conduct review on alerts generated for transaction monitoring or screening alerts in Sanctions and Politically Exposed Persons. ? Conduct open-source search to find relevant information to complete the investigation and review ? Perform due diligence of documents provided for customer according to policy and regulations ? Performing ongoing customer due diligence including maintenance and updating of KYC and AML records for existing clients. ? Identify and escalate any suspicious or unusual matters to AML & Line of business compliance for further investigation.

791 Days

### Combating Terrorist Financing

Financial crimes compliance, Client list screening based on AML regulations to avoid money laundering, terrorist financing, drug trafficking, etc. Experience supporting AML / KYC, Customer Due Diligence, Economic Sanctions/OFAC or other compliance related initiatives in a large, complex, highly regulated financial institution. ? Conduct review on alerts generated for transaction monitoring or screening alerts in Sanctions and Politically Exposed Persons. ? Conduct open-source search to find relevant information to complete the investigation and review ? Perform due diligence of documents provided for customer according to policy and regulations ? Performing ongoing customer due diligence including maintenance and updating of KYC and AML records for existing clients. ? Identify and escalate any suspicious or unusual matters to AML & Line of business compliance for further investigation.

## COURSES & CERTIFICATIONS

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- Advanced Excel Formulas
- Corporate KYC - End to End Process
- Foundation in AML and KYC

## AWARDS

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### Recognition

Received Guidehouse Gem Award for excellence in Quality and delivery for the EDD project.

