

Ankit Mishra

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Summary

Detail-oriented Quality Control Analyst with 2.5+ years of experience in AML, KYC, Transaction Monitoring, and Sanctions Screening. Proven expertise in conducting Enhanced Due Diligence (EDD), high-risk client assessments, and periodic/transaction reviews to ensure compliance with FATF, BSA, and local regulations. Adept at identifying financial crime risks, improving compliance processes, and ensuring accuracy in reporting. Skilled in working with crossfunctional teams to implement risk mitigation strategies and deliver high-quality compliance outcomes.

Work Experience

Business Analyst

07/06/2024 – 03/10/2025

Genpact India Private Limited

- Conducted in-depth Enhanced Due Diligence (EDD) for high-risk clients, including high-net-worth individuals (HNWIs), politically exposed persons (PEPs), and entities in high-risk jurisdictions.
- Analyzed complex financial transactions and corporate structures to identify potential risks and mitigate exposure to financial crimes.
- Performed comprehensive risk assessments and evaluated the client's background, financial history, and source of wealth to ensure compliance with regulatory guidelines.
- Conducted detailed research on PEPs, their family members, associates, and related entities, to assess potential risks in accordance with local and international regulatory requirements.
- Investigated suspicious or unusual client activities and flagged high-risk transactions for further review and potential escalation.
- Collaborated with cross-functional teams, including compliance, legal, and senior management, to develop risk mitigation strategies for high-risk clients.
- Utilized advanced due diligence tools and third-party databases to conduct thorough background checks and enhance client profiles.
- Ensured all EDD investigations were in compliance with relevant AntiMoney Laundering (AML) regulations, including FATF guidelines, the Bank Secrecy Act (BSA), and local regulatory requirements.

- Prepared and submitted detailed Enhanced Due Diligence reports and documentation to regulatory authorities and internal stakeholders.
- Maintained a record of findings and flagged potentially suspicious activities in line with AML and KYC (Know Your Customer) compliance frameworks.
- Applied a risk-based approach to assess and categorize clients, prioritizing high-risk clients for more intensive due diligence procedures.
- Conducted in-depth screenings of clients, business owners, and associates against global sanctions lists (OFAC, UN, EU), Politically Exposed Persons (PEP) lists, and adverse media databases.
- Collaborated with the compliance, legal, and risk management teams to ensure consistent implementation of EDD policies across the organization.
- Maintained meticulous documentation of the EDD process, including detailed notes on investigations, client communications, and risk analysis.
- Provided training and guidance to junior staff and colleagues on the principles and best practices of conducting Enhanced Due Diligence investigations.
- Educated teams on the importance of high-quality EDD and how to spot and report red flags associated with high-risk clients.
- Proficient in utilizing advanced EDD tools and software (e.g. control Center & World-Check) to conduct comprehensive searches and manage due diligence workflows.
- Assisted in internal audits and compliance reviews to evaluate the effectiveness of EDD processes and identify areas for improvement.

Executive

09/11/2022 – 05/02/2024

iENERGIZER IT SERVICES PVT. LTD.

- Performed CDD and EDD reviews for onboarding and periodic reviews of corporate and individual clients.
- Verified customer identity documents and conducted background checks using multiple KYC/AML databases.
- Ensured compliance with internal and regulatory KYC policies. Supported process improvements and assisted in the development of updated compliance procedures.
- Provided guidance to new analysts to enhance productivity and accuracy.

Core Competencies

- AML/KYC Compliance & EDD Review
- Transaction Monitoring & Sanctions Screening
- Client Onboarding & Off-boarding
- Regulatory Reporting & Audit Support
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- AML/KYC Tools: World-Check,Control Centre, MaestroQA
- CRM/Workflow Tools: Salesforce, Zendesk, DeepL
- Productivity Tools: MS Excel, Word, PowerPoint, Slack

Education

10th	2014
Vidyagyan school (HCL Foundation)	
• 95%	
12th	2016
Vidyagyan school (HCL Foundation) 2016	
• 87%	
B.com(P)	2020
Sri Aurobindo college -Delhi University	
• 69%	

Achievements

- Recognized as a Top Performer for consistent excellence in performance and quality.
- Active member of the National Service Scheme (NSS), securing multiple 2nd place positions in various events, and demonstrating strong leadership and teamwork skills.
- Awarded a college scholarship by the HT Parekh Foundation in association with HDFC Bank for achieving over 85% in Class 12.
- Received a Special Award from the India Pollution Control Association for outstanding contribution in a national-level competition.
- Secured 3rd place in a poster-making competition showcasing creativity and presentation skills.
- Represented at district-level football competitions twice, demonstrating sportsmanship and team spirit.

Interest

- Reading Novels | Music | Photography