

FINANCIAL CRIME ANALYST

Mohit Kumar Mishra

PROFESSIONAL SUMMARY

As a highly skilled financial crime analyst with over 7 years of experience, I understand financial regulations and compliance procedures strongly. My expertise lies in conducting thorough investigations and analyses to identify potential financial crimes and mitigate risks for the organization. My biggest achievement in this role has been successfully detecting and preventing fraudulent activities, resulting in significant cost savings for the company. I am known for my attention to detail, critical thinking, and problem-solving abilities, which have enabled me to excel in this field, with exceptional communication and interpersonal skills, I have collaborated effectively with cross-functional teams to ensure compliance with regulatory requirements. My combination of experience, achievements, and skills makes me a valuable asset as a Financial Crime Analyst.

EXPERIENCE

Insurance Operations Associate, Accenture,
India, Noida
Oct 2022 – Now

As a Regulatory Compliance Associate at Accenture in India, I worked closely with clients to ensure their compliance with local and international regulations. Through my attention to detail and strong analytical skills, I was able to identify potential risks and develop effective solutions to mitigate them. Additionally, I collaborated with cross-functional teams to implement compliant processes and procedures.

Conducting Periodic reviews and KYC stage while reviewing various stages of high/medium/low-risk industries and different jurisdiction countries.

Transaction monitoring for different jurisdictions such as UAE, UK, and US including Customer Screening, Entity Screening.

. Holistic review internal and external, Merchant Profile Review,

. Search negative news if available through external.

. My role involved conducting thorough investigations and producing detailed reports to identify potential risks and take appropriate actions.

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Dehat, Sultanpur (U.P.)-228001,
India

SKILLS

AML regulations

Financial transaction monitoring

Investigation suspicious activity

Regulatory compliance

Adverse media/public domain

Preparation of SAR Suspicious activity
report)

Sanction/PEP screening

Time management

Problem-solving

Critical thinking

HOBBIES

Traveling

Reading

Movies

LANGUAGES

Hindi and English

- . Analyses large datasets for identifying financial crimes conducts thorough investigations and produces detailed reports.
- . Detected potential risks and took appropriate actions utilizing various tools and techniques for data analysis.
- . Trained team members on new processes and technologies and proactively identified areas for process improvement.
- . Examine the source of funds and source of wealth of the customer and validate the income and expenses.
- . Examine utilization of the funds to make sure funds were utilized legitimately.
- . Transaction monitoring to assess potential suspicious, illegal, and fraudulent activity such as money laundering, drug trafficking, terrorist financing, and gambling.
- . Preparation of SAR (Suspicious activity report) by performing complex analysis, investigating cases and external profiling, sanctions screening, and adverse media screening.

Business Ops Administrator, Wipro, India,
Gurugram
 Dec 2019 – April 2022

While at Wipro as a financial crime analyst, I was responsible for conducting thorough investigations and analyses to identify potential financial crimes and mitigate risks for the company. This role required strong attention to detail, critical thinking skills, and knowledge of anti-money laundering regulations.

Analyzed data and patterns to identify potential financial crimes.

- . Conducting Periodic reviews and KYC stage while reviewing various stages of high/medium/low-risk industries and different jurisdiction countries.

- . Conducted investigations and reported findings to management

Transaction monitoring for different jurisdictions such as UK and US including Customer Screening, Entity Screening.

Utilized knowledge of anti-money laundering regulations to ensure compliance.

- . KYC Document Remediation for UK/US Bank.
- . Documents validation.
- . Working with KYC/AML compliance standards.

PERSONAL INFO

Date of birth
09th June 1993

Nationality
Indian

- . Reviewed all required AML/KYC Regulatory requirements for all clients and analyzed any transactions that appeared unusual based on the customer's profile.
- . Performed KYC due diligence EDD/CDD/compliance on customer account/client onboarding.
- . Conduct qualification reviews of high and moderate-risk customers and determine the appropriate risk rating.
- . Worked on Integration project of customer and vendor screening database which has specific categories related to PEP, Sanctions, Enforcement, and Adverse Media Search.
- . Conduct an open-source search to find relevant information to complete the investigation and review.
- . Investigate clients using internal and publicly available data.
- . Performed and lexis Nexis screening.
- . Performed enhanced due diligence on high-risk business banking clients.

Process Associate, Prudent, India, Delhi

April 2019-Oct 2019

- . Working as a retention team officer.
- . Documentation validation.
- . Lead Generation.
- . Working on the L1 desk and handling escalation queries regarding complaints.

Process Associate, Genpact, India, Delhi

Nov 2017 -April 2019

- . Working as a retention team officer.
- . Documentation validation.
- . Lead Generation.
- . Working on the L1 desk and handling escalation queries regarding complaints.

EDUCATION

B.com, Ram Manohar Lohia Awadh University, Ayodhya

2010 - 2013