

# SHRAVAN KUMAR

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## EXPERIENCE SUMMARY:

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- Experienced Professional with track-record of significant years in Risk and Compliance, Anti Money Laundering and Screening.
- Hands-on experience on Due Diligence, Screening and Transaction Monitoring.
- Highly motivated professional with a broader experience working with diverse network of people and having hands on experience on various projects that requires analytical acumen.

## CORE COMPETENCIES:

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<i>Know Your Customer Norms</i>	<i>Anti-Money Laundering Standards</i>
<i>Due Diligence (CDD &amp; EDD)</i>	<i>Suspicious Activity Report</i>
<i>Customer Identification Program</i>	<i>Transaction Monitoring</i>
<i>Periodic Reviews</i>	<i>Sanction Screening</i>
<i>Fraud Investigation</i>	<i>Risk Management</i>

## ROLES AND RESPONSIBILITIES:

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### NAYAGARA TECHNOLOGIES LTD (Oct 2022 to Till Date)

#### Senior Analyst

- In-depth knowledge of AML regulations and frameworks (e.g., FATF, FinCEN, OFAC, EU & HMT)
- Understanding of Suspicious Activity Reporting (SAR) procedures, filing, and regulatory reporting.
- Experience conducting Know Your Customer (KYC) and Enhanced Due Diligence (EDD) for high-risk clients.
- Monitoring and analysing customer transactions to detect suspicious behaviour and mitigate risks related to money laundering, fraud, and terrorist financing.
- Performed ongoing monitoring of transactions for compliance with global AML laws and bank's internal risk thresholds.
- Expertise in identifying high-risk transactions (e.g., large fund transfers, international payments, rapid movement of funds).
- Conducted daily reviews of high-value transactions to identify suspicious activity patterns in accordance with AML regulations.
- Conducting risk assessments and categorizing transactions based on their risk levels (high, medium, low).
- Reviewing and analysing customer transactions to ensure compliance with internal policies and regulatory requirements.
- Optimizing transaction monitoring workflows to ensure timely and accurate detection of suspicious activities.
- Investigating customer activity to identify patterns and trends that may indicate potential money laundering or fraud.
- Handling the escalation process for suspicious transactions or activities.

- Prepared detailed SARs and led investigations into potential cases of money laundering and fraud, ensuring timely compliance with regulatory deadlines.
- Providing detailed reports on unusual patterns and suspicious activities for senior management and regulatory bodies.
- Conducting thorough investigations and documenting findings related to potential non-compliant transactions.
- Keeping up-to-date with global regulations and best practices in the financial industry related to AML, transaction monitoring, and fraud prevention.

#### **JADE GLOBAL SOFTWARE PVT LTD** *(August 2021 to July 2022)*

##### *Analyst*

- Performed quality reviews by researching domestic and international entities using open source and vendor owned tools to confirm evidence of existence, business description, business contact and ownership.
- Executed Know Your Customer (KYC) due diligence for corporate clients across high-risk geographies
- Led onboarding reviews by validating identity documents, business registries, and beneficial ownership structures
- Streamlined client onboarding by integrating regulatory requirements with risk-based procedures
- Performed scheduled reviews of existing client profiles to ensure continued compliance with internal risk policies and regulatory standards
- Updated KYC data and risk scores based on evolving customer activity and jurisdictional guidance
- Monitored customer databases against global sanctions lists including OFAC, HMT, and EU databases
- Investigated sanction hits using secondary identifiers and client-provided documentation to confirm match accuracy
- Applied escalation protocols for true matches and coordinated with compliance officers for regulatory reporting
- Conducted CDD and EDD for high-risk entities, including PEPs and offshore businesses with complex structures

#### **STATE STREET CORPORATE SERVICE MUMBAI PVT LTD** *(December 2018 - May 2020)*

##### *Associate*

- Conducting name searches of entities and individuals against Sanctions lists, Caution lists.
- Identification of PEP's as per Policy and escalating to Financial Crime Team for required approvals and risk classification
- If any adverse information found on entity or principals escalating the same to Financial Crime Team for Enhance due diligence.
- Ensuring AML regulations and policies and procedures are fully implemented which are in line with Global Standards by performing approval and quality checks.
- Performing Screening for High, HNWI, PEP and SCC and Sanction Exposure Clients/Entity Name Screening with help of Regulatory Data Corporation (RDC) / World Check. And understanding the business, identification of key signatories, ownership drill down, and nexuses.
- Checking the potential Hits, Negative News of the Clients with help of Screening.

- Screening the profile on the basics of Clients/Entity and related parties' information like Name, Date of Birth/Incorporation, Location, Employment details, Citizenship/Nationality, Country of Birth etc. on RDC/World Check.

**EDUCATIONAL QUALIFICATION:**

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**MALLAREDDY INSTITUTE OF MANAGEMENT**

*Master of Business Administration*

**R.K. DEGREE COLLEGE**

*Bachelors of Commerce*

**GNANADEEP JUNIOR COLLEGE**

*Intermediate*

**ADARSHA VIDHYALAYAM HIGH SCHOOL**

*SSC*

**DECLARATION:**

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I hereby declare that all the information furnished above is true and best to my knowledge.

***Shravan***