

# Dhruv Khosla

## AML/KYC Analyst | Financial Crime Compliance | Transaction Monitoring

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### Profile

Certified Global KYC Specialist (96%) with a legal background in regulatory litigation, financial documentation review, and statutory compliance. Experienced in analysing financial records, conducting due diligence, and identifying regulatory risk indicators. Seeking entry-level roles in AML/KYC, Transaction Monitoring, Financial Crime Compliance, or Risk Analysis within banking and financial services.

### Certification

GO-AKS Global KYC Specialist – 96%

Coverage:

CDD | EDD | PEP Screening | AML Risk Frameworks | SAR/STR | Transaction Monitoring | Financial Crime Indicators

### Professional Experience

Legal Associate – Tax, Insolvency & Regulatory Compliance

- Reviewed bank statements, financial records, and transactional documentation during tax and insolvency matters to identify compliance gaps.
- Conducted regulatory analysis and due diligence in matters involving taxation, insolvency, and financial compliance.
- Analysed financial documentation and transactional patterns to identify inconsistencies and risk indicators.
- Drafted responses to statutory notices and regulatory communications.
- Reviewed financial records and supporting documentation to identify anomalies and potential compliance risks.
- Assisted in evaluating financial transactions and documentation against regulatory frameworks and statutory requirements.

### Core Skills

AML / KYC / Financial Crime Compliance

AML Fundamentals | KYC | Transaction Monitoring | CDD | EDD | PEP Screening | AML Red Flags | Sanctions Screening

Compliance & Risk

Regulatory Compliance | Financial Transaction Analysis | Risk Assessment | Due Diligence

Analytical

Financial Record Review | Documentation Analysis | Case Documentation

### Education

B.A. LL.B. (Hons.) – Panjab University