

Prashant Vyas

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Profile

- "Sharp, process-focused compliance professional with hands-on experience in statutory compliance, criminal background checks, and high-volume data review. Proven track record in enhancing workflows aligned with KYC & Transaction Monitoring (TM)"

Experience

- National Australia Bank (NAB)** 16 September 2024 - Current
Senior Associate
 - 1 - Reviewed and analyzed government agency notices to identify specific data requirements and provide accurate responses in line with statutory compliance guidelines.
 - 2 - Ensured data accuracy and completeness by coordinating with internal teams to meet deadlines and uphold Know Your Customer (KYC) and risk management standards.
 - 3 - Worked in alignment with AML regulatory standards such as the Bank Secrecy Act (BSA), the USA PATRIOT Act, and OFAC guidelines - particularly in areas like KYC, transaction monitoring, and compliance documentation."
 - 4 - Maintained comprehensive and organized records of all notices, communications, and submitted data to support transparent and effective transaction monitoring and compliance audits.
 - 5 - Led process improvement projects by designing and implementing efficient templates and workflows for statutory compliance teams, streamlining documentation, and reporting processes.
- Cisive Global** 3 May 2022 - 30 August 2024
Applicant Investigator: Financial Crime
 - 1 - Conducted KYC due diligence and criminal background screenings to identify fraud, money laundering, and other financial crime risks for U.S. clients.
 - 2 - Performed criminal checks according to U.S. client protocols, reviewing records to identify relevant criminal history.
 - 3 - Enhanced transaction monitoring by cross-referencing criminal records with suspicious activity alerts, reducing client exposure to high-risk entities.
 - 4 - Supported regulatory audits by maintaining structured case files on criminal findings, ensuring adherence to financial crime prevention standards.

Skills

- Compliance Management
- KYC
- Risk Assessment
- Data analysis & Report Writing
- Cross Functional Collaboration
- Detail Oriented

Education

- SGTBIMIT - IP University**
BBA
- Lions Public School - CBSE Board**
12th

Languages

- English: Proficient
- Hindi: Native

Hobbies

- Avid Reader of Novels