

ANUBHA SAXENA

Senior Analyst – AML, Fraud Detection & Transaction Surveillance | Investment Banking Expert

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SUMMARY

Analytical Transaction Surveillance Specialist with 8+ years of investment banking experience in transaction monitoring, fraud detection, and quality assurance. Skilled in AML compliance, sanctions screening (OFAC/EU), and financial investigations, with expertise in Actimize. Proven ability to identify risks, improve processes, and ensure regulatory compliance while delivering high-quality results in fast-paced environments.

CORE COMPETENCIES

Stakeholder & Client Relationship Management

Transaction Monitoring & Sanctions Screening

Process Automation & Efficiency Enhancement

Fraud Detection & Financial Crime Prevention

Anti-Money Laundering (AML) Compliance

Leadership, Training & Team Development

Quality Assurance & Process Excellence

Risk Analysis & Regulatory Compliance

Data Analysis & Pattern Recognition

EXPERIENCE

03/2017 - 01/2026

Jaipur, Rajasthan

Senior Analyst

Deutsche Bank

- Transaction Monitoring & Sanctions Compliance:** Managed end-to-end monitoring of SWIFT (MT 1xx, 2xx, 5xx, 7xx) and non-SWIFT transactions, ensuring strict adherence to global sanctions (OFAC, EU) and mitigating regulatory and reputational risks.
- Risk Analysis & Escalation Management:** Performed second-level controls on high-risk transactions, handled escalations, and proactively identified suspicious activities to strengthen financial crime prevention frameworks.
- Fraud Detection & Investigation:** Leveraged Actimize (NICE) and data-driven analysis to detect fraud patterns, investigate suspicious transactions, and implement strategies to minimize financial and operational losses.
- Regulatory Compliance & Stakeholder Collaboration:** Collaborated with global and local AFC teams, ensured alignment with AML/KYC policies, and stayed updated with evolving international regulatory requirements.
- Process Improvement & Automation:** Analyzed workflows to identify gaps, enhance efficiency, and drive automation initiatives, resulting in improved turnaround time and operational accuracy.
- Quality Assurance & Audit Management:** Led QA activities including sampling, discrepancy identification, and remediation planning; acted as a key liaison for audits and regulatory reviews ensuring compliance excellence.



SKILLS

Core Skills: Analytical, Detail-oriented, Monitoring, Surveillance, Compliance, Fraud-detection, Investigation, Risk-analysis, AML, KYC, Screening, Auditing, Reporting, Automation, Optimization, Governance, Regulation, Escalation, Accuracy, Documentation

Technical / Domain Skills: Actimize, SWIFT, Sanctions, Embargoes, OFAC, Transactions, Payments, Banking, Finance

Soft Skills: Communication, Collaboration, Leadership, Learning, Multitasking, Prioritization, Problem-solving, Adaptability

AWARDS

Investment Banking Operations Certification

Successfully completed a 6-month intensive program in Investment Banking Operations, demonstrating strong domain expertise and operational excellence.

Excellence Performance Award

Recognized for consistently delivering high-quality performance, exceeding targets, and contributing to process efficiency and team success.

R.N.R (Reward & Recognition) – 2 Times

Awarded twice for outstanding contributions, commitment to excellence, and impactful performance in transaction monitoring and compliance operations.

ACCOMPLISHMENTS

Fast-tracked career progression, earning promotion from Trainee Analyst to Analyst within just 6 months in recognition of exceptional performance and learning agility. **Accelerated to Senior Analyst (2020)**, demonstrating consistent excellence in transaction monitoring, risk analysis, and compliance delivery.

EDUCATION

Jaipur, Rajasthan

B.Com (Pass Course) - Certificate and Diploma Course in Banking & Finance with Foreign Trade Management
IIS UNIVERSITY

Pune, India

MBA in Banking and Finance
SYMBIOSIS

LANGUAGE

English

Hindi