

CONFIDENTIAL BACKGROUND VERIFICATION REPORT

Name of the Candidate	Harshal Sanjay Katore	Client Name	AML RIGHTSOURCE INDIA PVT LTD NOIDA VJ MAX
Application ID	GQ-AMRSNVJ-1614	Report Status	Closed
Date of Birth	20 May 2000	Application Received	23-04-2026
Candidate Employee ID	118224	Insuff Cleared/Reopened	NA
Report Type	STOPCHECK	Final Report Date	12-05-2026
Verification Purpose	EMPLOYMENT	Overall Report Status	ORANGE

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
LATEST EMPLOYMENT 1	RAGHAV GOYAL , DIRECTOR	28-04-2026	GREEN
GRADUATION	Asst Registrar	23-04-2026	GREEN
COURT VERIFICATION	Palav Agarwal - Advocate	27-04-2026	GREEN
NATIONAL IDENTITY 1	UIDAI - Aadhaar	04-05-2026	GREEN
POLICE VERIFICATION	Palav Agarwal - Advocate	27-04-2026	GREEN
GAP CHECK	Resume & Supported Documents	23-04-2026	ORANGE
CRIMINAL DATABASE	Refinitiv / World Check One	27-04-2026	GREEN

End of summary report

Legend:	■ -Major discrepancy	■ -Minor discrepancy	■ -Unable to verify	■ -Pending from source	■ -All clear
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LATEST EMPLOYMENT 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Bigpodium (OPC) Private Limited	Bigpodium (OPC) Private Limited
Name Of The Candidate:	Harshal Sanjay Katore	Harshal Sanjay Katore
Emp Code:	01	01
From:	02-09-2024	02-09-2024
To:	24-12-2025	24-12-2025
Designation:	Research Analyst	Research Analyst
Last Drawn Salary:	Not Provided	Not Provided
Reason For Leaving:	Not Provided	Not Provided
Rehire Eligibility:	Not Provided	Not Provided
Remarks:	Screen-shot of the Email confirmation received from RAGHAV GOYAL , DIRECTOR of Bigpodium (OPC) Private Limited , found the employment as genuine. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	RAGHAV GOYAL , DIRECTOR	
Report Verified Date:	28-04-2026	
Verification Status(Color Code):	GREEN	

Annexure 1 (a)

Re: Requesting for Ex-Employment Verification for candidate Harshal Katore - GQ-AMRSNVJ-1614

 Raghav Goyall <raghavv@bigpodium.com>
To: Parimala
Cc: hello@bigpodium.com; jay@goldquestglobal.in; aart; ex employment
[Follow up.](#)

[Reply](#) [Reply All](#) [Forward](#) [...](#)
Tue 4/28/2026 10:51 PM

Dear Parimala

Thanks for your mail and patience. Please see updated form below. I hereby confirm Harshal was employed as Research Analyst with BigPodium (BIGPODIUM (OPC) Private Limited) from 2nd Sep 2024 to 24th Dec 2025. It is our company policy to provide factual information only. Kindly see below for pursual and let me know in case of any questions/clarifications needed.

Thanks
Raghav

Raghav Goyall
Business Consultant with Specialization in Data and AI
M : +91-7757 096 103 | [Book time on calendly](#)
[Connect on LinkedIn](#) | [bigpodium.com](#)

BigPodium | Grow your business with power of data, content and AI

Annexure 1 (b)

Re: Requesting for Ex-Employment Verification for candidate Harshal Katore - GQ-AMRSNVJ-1614

 Raghav Goyal <raghaw@bigpodium.com>
 To: Parimala
 Cc: hello@bigpodium.com; jay@goldquestglobal.in; aarti; ex employment

 Reply
  Reply All
  Forward
  ...

Tue 4/28/2026 10:51 PM

 Follow up.

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	Bigpodium (OPC) Private Limited	YES
Candidate Name	Harshal Katore	YES
Employee Code/ID/No	01	YES
From (Date of Joining)	02 Sep 2024	YES
To (Date of Exit/Relieving)	24 December 2025	YES
Designation (At the time of leaving the company):	Research Analyst	YES
Salary/CTC:	Please Provide	2.4L PA
Was this position: Permanent/Temporary/Contractual	Please Provide	Permanent
Supervisor's Name	Please Provide	Raghav Goyal
Reason for Leaving	Please Provide	RESIGNATION
Rehire Eligibility	(Please fill in the relevant information)	YES on requirement
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	YES
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	CONFIRMED
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	NOT ANY	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	NOT ANY	
DATA - BREACH of any kind	NOT ANY	
Any Important Point Apart from the Above if relevant in making right hiring decision, please lets us know:	NOT ANY	
Verified By (Name, Contact Number & Designation)	RAGHAV GOYAL , DIRECTOR 7757096103	

GRADUATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Rashtrasant Tukadoji Maharaj Nagpur University	Rashtrasant Tukadoji Maharaj Nagpur University
Name Of The Candidate:	Harshal Sanjay Katore	Harshal Sanjay Katore
Enroll No:	290420	290420
Degree:	Bachelor Of Vocation	Bachelor Of Vocation
Major:	Vocation	Vocation
Month And Year Of Passing:	2022	2022
SI No:	Not Provided	Not Provided
Remarks:	The following applicant details were verified by Asst Registrar Rashtrasant Tukadoji Maharaj Nagpur University found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Asst Registrar	
Report Verified Date:	23-04-2026	
Verification Status(Color Code):	GREEN	

Annexure 2 (a)



Rashtrasant Tukadoji Maharaj Nagpur University
 Established by Government of Central Provinces (Now Madhya Pradesh) by Act No. 113 of 1917 and by Act No. 1 of 1956 and by a law
 University governed by Maharashtra Public Service Commission Act, 1977 (Mah. Act No. 24 of 1977)

STATEMENT OF MARKS

Sl. No. 0927997

FACULTY OF INTER-MEDICAL STUDIES
 SIXTH SEMESTER OF BACHELOR OF DENTAL SURGERY (B.D.S.)
 (DENTAL MANAGEMENT), SEMESTER: 2022
 (CREDIT BASED SYSTEM)

Student Name : ANVIL DRAVY ENTIRE
 Mother's Name: ANVIL
 Exam Category: REGULAR
 College : 0453 J K PATEL AGRI-COMMERCE & SCIENCE COLLEGE, PUNJAB

Roll No. : 294420
 Exam. No. : 20202045310040
 Center No. : 000
 Date : 09/07/2022
 PVL : 54
 Medium: ENGLISH

SEM SUBJECT	MARKS & CREDITS SCORED						MARKS & CREDITS AWARDED					
	THEORY	PRAC	SKILL	TOTAL	CREDITS	GRADE	THEORY	PRAC	SKILL	TOTAL	CREDITS	GRADE
1 APPLIED COMPUTER SKILLS-I	70	30	100	40	47	B	70	30	100	40	47	B
2 APPLIED COMPUTER SKILLS-II	70	30	100	40	37	C	70	30	100	40	37	C
3 APPLIED COMPUTER SKILLS-III	70	30	100	40	35	C	70	30	100	40	35	C
4 SYLLABUS WORK	200	100	300	120	177	B	200	100	300	120	177	B
5 SIMILAR EXAM	100	50	150	60	75	C	100	50	150	60	75	C

TOTAL CREDITS	UP	DOWN	NET UP	TOTAL MARKS	OUT OF	RESULT	CGPA	DIVISION
30	200	9.33	10.00	571	750	PASS	9.48	FIRST WITH DISTINCTION

EXEMPTION :

EXEMPTION	I	II	III	IV	V	SEM. D-01-02-03-04-05
UP / CREDIT	0.00/ 00	10.00/ 00	0.00/ 00	10.00/ 00	10.00/ 00	10.00/ 00
CP	252	300	276	300	300	300

REFER CREDIT GRANT SYSTEM TABLE :

Grade: D Point: 10 Range: 100- 75 (SATISFACTORY) | Grade: B Point: 7 Range: 74- 45 (VERY GOOD) |
 Grade: D Point: 8 Range: 44- 35 (GOOD) | Grade: C Point: 7 Range: 34- 50 (SATISFACTORY) |
 Grade: D Point: 6 Range: 40- 45 (SATISFACTORY) | Grade: E Point: 5 Range: 44- 40 (GOOD) |
 Grade: F Point: 4 Range: 39- 0 (PASS) |
 Note: Pass by grace marks vide Ordinance No. 1 of 2007.

Assistant Registrar
 Rashtrasant Tukadoji Maharaj
 Nagpur University, Nagpur



(Signature)

Head of the Department and Director
 Institute of Dental Studies, Nagpur University

COURT VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSNVJ-1614	GQ-AMRSNVJ-1614
Candidate Name:	Harshal Sanjay Katore	Harshal Sanjay Katore
Date Of Birth:	20-05-2000	20-05-2000
Father Name:	Sanjay Katore	Sanjay Katore
Address1:	Behind Mahila Samaj School, Lala Lajpatrai Ward, Bhandara, Near Rana Bhawan, Maharashtra - 441904	Behind Mahila Samaj School, Lala Lajpatrai Ward, Bhandara, Near Rana Bhawan, Maharashtra - 441904
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	27-04-2026	
Verification Status(Color Code):	GREEN	

Annexure 3 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMRSNVJ-1614
NAME OF THE APPLICANT	Harshal Sanjay Katore
FATHER NAME	Sanjay Katore
DATE OF BIRTH	20-May-2000
ADDRESS OF THE APPLICANT	Behind Mahila Samaj School, Lala Lajpatrai Ward, Bhandara, Near Rana Bhawan, Maharashtra - 441904
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	27-April-2026

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

NATIONAL IDENTITY 1

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Aadhaar Card	Aadhaar Card
Identity Number:	5490 8931 0635	5490 8931 0635
Verification Result:	Not Applicable	Green
Verified By:	Not Applicable	UIDAI - Aadhaar
Remarks:	The following applicant details were verified through unique identification authority of India, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	UIDAI - Aadhaar	
Report Verified Date:	04-05-2026	
Verification Status(Color Code):	GREEN	

Annexure 4 (a)



Unique Identification Authority of India



myAadhaar

English

Dashboard > Check Aadhaar Validity



549089310635 Exists

Aadhaar Verification Completed

Age Band	20-30 years
Gender	MALE
State	Maharashtra
Mobile	*****8752



भारत सरकार

Government of India



आधार

Issue Date: 09/06/2012



Harshal Sanjay Katore

DOB: 20/05/2000

Male

5490 8931 0635

मेरा आधार, मेरी पहचान

POLICE VERIFICATION

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSNVJ-1614	GQ-AMRSNVJ-1614
Candidate Name:	Harshal Sanjay Katore	Harshal Sanjay Katore
Date Of Birth:	20-05-2000	20-05-2000
Father Name:	Sanjay Katore	Sanjay Katore
Address1:	Behind Mahila Samaj School, Lala Lajpatrai Ward, Bhandara, Near Rana Bhawan, Maharashtra - 441904	Behind Mahila Samaj School, Lala Lajpatrai Ward, Bhandara, Near Rana Bhawan, Maharashtra - 441904
Remarks:	The following applicant s details have been verbally verified, and the notary report has been duly stamped and signed by Palav Agarwal (Advocate), with comment on criminal record not found, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	27-04-2026	
Verification Status(Color Code):	GREEN	

Annexure 5 (a)

PALAV AGARWAL
ADVOCATE AND LEGAL CONSULTANT
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMRSNVJ-1614
NAME OF THE APPLICANT	Harshal Sanjay Katore
FATHER NAME	Sanjay Katore
DATE OF BIRTH	20-May-2000
ADDRESS OF THE APPLICANT	Behind Mahila Samaj School, Lala Lajpatrai Ward, Bhandara, Near Rana Bhawan, Maharashtra - 441904
NAME OF THE POLICE STATION AS PER ADDRESS	Bhandara City Police Station
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	27-April-2026

EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)
BAR COUNCIL DELHI ID D/1432/14

GAP CHECK

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Harshal Sanjay Katore	Harshal Sanjay Katore
Application Id:	GQ-AMRSNVJ-1614	GQ-AMRSNVJ-1614
Employee Id:	118224	118224
Remarks:	Gap Remarks: A Gap of 4 months identified between BigPodium(12-2025) & AML(04-2026). UAN number is not provided.As we are unable to get the gap validated, hence closing the case as Orange.	
Information Source Name:	Resume & Supported Documents	
Report Verified Date:	23-04-2026	
Verification Status(Color Code):	ORANGE	

Annexure 6 (a)

GAP VALIDATION		
PARTICULARS	RESUME AND BGV FORM	SUPPORTING DOCUMENTS
Name	Harshal Sanjay Katore	Harshal Sanjay Katore
DOB	5/20/2000	5/20/2000
Post Graduation		
Name of the Board / School	Savitribai Phule Pune University	Savitribai Phule Pune University
Course	MBA	MBA
Specialization / Major	Business Analytics	Business Analytics
Year of Passing	2025	2025
Gap Remarks: NA		
Graduation		
Name of the Board / School	Rashtrasant Tukadoji Maharaj Nagpur Univer	Rashtrasant Tukadoji Maharaj Nagpur University
Course	B.Voc	B.Voc
Specialization / Major	Retail Management	Retail Management
Year of Passing	2022	2022
Gap Remarks: NA		

Annexure 6 (b)

12th std		
Name of the Board / School	Maharashtra State Board of Secondary and Higher Secondary Education	Maharashtra State Board of Secondary and Higher Secondary Education
Course	12th	12th
Specialization / Major	Nil	Nil
Year of Passing	2018	2018
Gap Remarks: A Gap of 1.3 years identified between 12th std(04-2018) & UG(07-2019).As we are unable to get the gap validated, hence closing the case as Orange.		

10th Std		
Name of the Board / School	Maharashtra State Board of Secondary and Higher Secondary Education	Maharashtra State Board of Secondary and Higher Secondary Education
Course	10th	10th
Specialization / Major	Nil	Nil
Year of Passing	2015	2015
Gap Remarks: A Gap identified between 10th std(2015) & 12th std(2018).Since the gap (2015-2018) identified is beyond the preview of Gap check (7 Years) hence will close it as Green.		

Annexure 6 (c)

EMPLOYMENT - 1		
Name of the Employer	BigPodium	BigPodium
Full Name of the Employee	Harshal Sanjay Katore	Harshal Sanjay Katore
Date of joining - From	9/2/2024	9/2/2024
Date of Relieving/Exit - To	12/24/2025	12/24/2025
Designation / Role	Research Analyst	Research Analyst
Gap Remarks: A Gap of 4 months identified between BigPodium(12-2025) & AML(04-2026). UAN number is not provided.As we are unable to get the gap validated, hence closing the case as Orange.		

CRIMINAL DATABASE

PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Harshal Sanjay Katore	Harshal Sanjay Katore
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	27-04-2026	
Verification Status(Color Code):	GREEN	

LSEG World-Check One CASE REPORT

Confidential

Name	Harshal Sanjay Katore		
Case Rating	Not Rated		
World-Check Total Matches	NO MATCHES FOUND		
Case ID	GC-AMRSNVJ-1614		
Current Group	World-Check		
Gender	Male	Date of Birth	20 May 2000
Last Screened	27 Apr 2026 09:27	Case Created	27 Apr 2026 09:27
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

KEY FINDINGS

Total Matches	NO MATCHES FOUND
Unresolved Matches	0

Name: Harshal Sanjay Katore
 Date Printed: 27-04-2026, 09:28
 Printed By:
 Group: World-Check



Annexure 7 (b)

AUDIT

Date	Actioned By	Action	Notes	Source
27 Apr 2026 09:27	database check	Case Screened for World-Check (Initial/Re-Screen Only)		Desktop Batch
27 Apr 2026 09:27	database check	New Case added		Desktop Batch

NOTES

All timestamps in this report are in UTC and may be in variance with the locale time shown on the application.

Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so, (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check records, or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and read notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, or because they are shown as 'Reported being linked to' others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name: Harshil Sanjay Katoor
Date Printed: 27-04-2026 09:29
Printed By: database check
Group: World-Check



Annexure 7 (c)

Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
US & Canada – Most Wanted Lists	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record

Annexure 7 (d)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
Most Wanted Lists: Europe and Central Asia	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

Annexure 7 (e)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
Most Wanted Lists: Africa	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
Most Wanted Lists: Asia Pacific	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record

Annexure 7 (f)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
US and Canadian Regulatory Bodies	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record

Annexure 7 (g)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record

Annexure 7 (h)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
European Regulatory Bodies	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
Asia Pacific Regulatory Bodies	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record

Annexure 7 (i)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

Annexure 7 (j)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

End of detail report

