

## CONFIDENTIAL BACKGROUND VERIFICATION REPORT

<b>Name of the Candidate</b>	Shivam Gupta	<b>Client Name</b>	AML RIGHTSOURCE INDIA PVT LTD NOIDA VJ MAX
<b>Application ID</b>	GQ-AMRSNVJ-7	<b>Report Status</b>	NA
<b>Date of Birth</b>	20 Jul 1994	<b>Application Received</b>	18-11-2025
<b>Candidate Employee ID</b>	116180	<b>Insuff Cleared/Reopened</b>	NA
<b>Report Type</b>	NA	<b>Final Report Date</b>	NA
<b>Verification Purpose</b>	NA	<b>Overall Report Status</b>	NA

REPORT COMPONENT	INFORMATION SOURCE	COMPONENT STATUS	
		COMPLETED DATE	VERIFICATION STATUS
LATEST EMPLOYMENT 1	Sagar Mishra - Senior Associate	05-12-2025	GREEN
EX EMPLOYMENT 2	Sanoj Pandey	03-12-2025	GREEN
GRADUATION	Dy.Controller(Exam)	22-11-2025	GREEN
CREDIT/CIBIL CHECK	Transunion CIBIL	19-11-2025	GREEN
COURT VERIFICATION	Palav Agarwal - Advocate	19-11-2025	GREEN
NATIONAL IDENTITY 1	UIDAI - Aadhaar	24-11-2025	GREEN
POLICE VERIFICATION	Palav Agarwal - Advocate	19-11-2025	GREEN
CRIMINAL DATABASE	Refinitiv / World Check One	19-11-2025	GREEN
UAN/ITR/FORM 26AS	PF PORTAL	19-11-2025	GREEN

### End of summary report

<b>Legend:</b>	 -Major discrepancy	 -Minor discrepancy	 -Unable to verify	 -Pending from source	 -All clear
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LATEST EMPLOYMENT 1		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Provana India Private Limited	Provana India Private Limited
Name Of The Candidate:	Shivam Gupta	Shivam Gupta
Emp Code:	108313	108313
From:	30-07-2024	30-07-2024
To:	04-09-2025	04-09-2025
Designation:	Process Expert – BPM Legal Back Office	Process Expert – BPM Legal Back Office
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Personal
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	Screen-shot of the Email confirmation received from Sagar Mishra - Senior Associate of Provana India Private Limited, found the employment as genuine. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Sagar Mishra - Senior Associate	
Report Verified Date:	05-12-2025	
Verification Status(Color Code):	GREEN	

## Annexure 1 (a)



Fri 05-12-2025 03:16 PM

HR Shared Services <HR.helpdesk@provana.com>

RE: Ex-Employment verification for Shivam Gupta (1)-GQ-AMRSNVJ-7-PROVANA INDIA PRIVATE LIMITED

To Akshatha

Cc jay@goldquestglobal.in; 'ex employment'; HR Shared Services

Hi Akshatha,

PFB the requested details,

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	PROVANA INDIA PRIVATE LIMITED	Yes
Candidate Name	Shivam Gupta	Yes
From (Date of Joining)	30 July 2024	Yes
To (Date of Exit/Relieving)	04 September 2025	Yes
Designation (At the time of leaving the company):	Process Expert – BPM Legal Back Office	Yes
Employee Code/ID/No	108313	Yes
Salary/CTC:	Please Provide	Not disclosed.
Was this position: Permanent/Temporary/Contractual	Please Provide	NA
Supervisor's Name	Please Provide	Faraz Aslam
Reason for Leaving	Please Provide	Personal
Rehire Eligibility (If "NO" kindly confirm the reason)	(Please fill in the relevant information)	Yes
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	Yes
Kindly confirm attached Relieving letter /document is authenticate?	Yes	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	NA	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	NA	
DATA – BREACH of any kind	NA	
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:	NA	
Verified By ( Name, Contact Number & Designation)	PFB	

EX EMPLOYMENT 2		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Company:	Cogent E Services Ltd	Cogent E Services Ltd
Name Of The Candidate:	Shivam Gupta	Shivam Gupta
Emp Code:	CE0623952788	CE0623952788
From:	10-06-2023	10-06-2023
To:	11-07-2024	11-07-2024
Designation:	CSA	CSA
Last Drawn Salary:	Not Provided	Not Disclosed
Reason For Leaving:	Not Provided	Not Disclosed
Rehire Eligibility:	Not Applicable	Not Disclosed
Remarks:	Screen-shot of the Email confirmation received from Sanoj Pandey of Cogent E Services Ltd, found the employment as genuine. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Sanoj Pandey	
Report Verified Date:	03-12-2025	
Verification Status(Color Code):	GREEN	

## Annexure 2 (a)



Wed 03-12-2025 04:06 PM

Sanoj Kumar Pandey <sanojkumar.pandey@cogenteservices.com>

RE: Ex-Employment verification for Shivam Gupta (2)-GQ-AMRSNVJ-7- Cogent E Services Ltd

To Akshatha

Cc jay@goldquestglobal.in; 'ex employment'

Hi,

Attached document is ok please consider the same.

Regards  
Sanoj Pandey

## Annexure 2 (b)



Wed 03-12-2025 04:06 PM

Sanoj Kumar Pandey <sanojkumar.pandey@cogenteservices.com>

RE: Ex-Employment verification for Shivam Gupta (2)-GQ-AMRSNVJ-7- Cogent E Services Ltd

To Akshatha

Cc jay@goldquestglobal.in; 'ex employment'

	Candidate's Particulars	HR/Verifier Inputs (Please substantiate here)
Company Name	Cogent E Services Ltd	
Candidate Name	Shivam Gupta	
From (Date of Joining)	10 June 2023	
To (Date of Exit/Relieving)	11 July 2024	
Designation (At the time of leaving the company):	CSA	
Employee Code/ID/No	CE0623952788	
Salary/CTC:	Please Provide	
Was this position: Permanent/Temporary/Contractual	Please Provide	
Supervisor's Name	Please Provide	
Reason for Leaving	Please Provide	
Rehire Eligibility (if "NO" kindly confirm the reason)	(Please fill in the relevant information)	
Exit formalities completed: (Yes/No)	(Please fill in the relevant information)	
Kindly confirm attached Relieving letter /document is authenticate?	Please Provide	
Any Integrity / Disciplinary Issue during the employment tenure? (If "Yes" Please explain briefly)	Please explain/comment briefly	
Any Misappropriation of Fund ? (If "Yes" Please explain briefly)	Please explain/comment briefly	
DATA – BREACH of any kind		
Any Important Point Apart from the Above if relevant in making right hiring decision, Please lets us know:		
Verified By ( Name, Contact Number & Designation)	Please Provide	

## Annexure 2 (c)



### RELIEVING & EXPERIENCE LETTER

Date: 15<sup>th</sup> Jul 2024

**Mr. Shivam Gupta**  
**Employee Code:** CE0623952788

Further to your resignation from the company, we wish to inform you that you are relieved from your services effective close of working hours on 11<sup>th</sup> Jul 2024. While we regret your decision to leave the company, we wish you a successful career ahead.

We hereby confirm the following details of your employment with Cogent E Services Ltd..

**Designation:** CSA

**Date of Joining:** 10<sup>th</sup> Jun 2023

**Last working day:** 11<sup>th</sup> Jul 2024

**Annual CTC:** INR 189,600 (One Lakh Eighty Nine Thousands Six Hundred Rupees Only)

There are no financial dues pending towards you from the company.

We wish you all the best in your future endeavors.

Yours truly,  
**For Cogent E Services Ltd.**

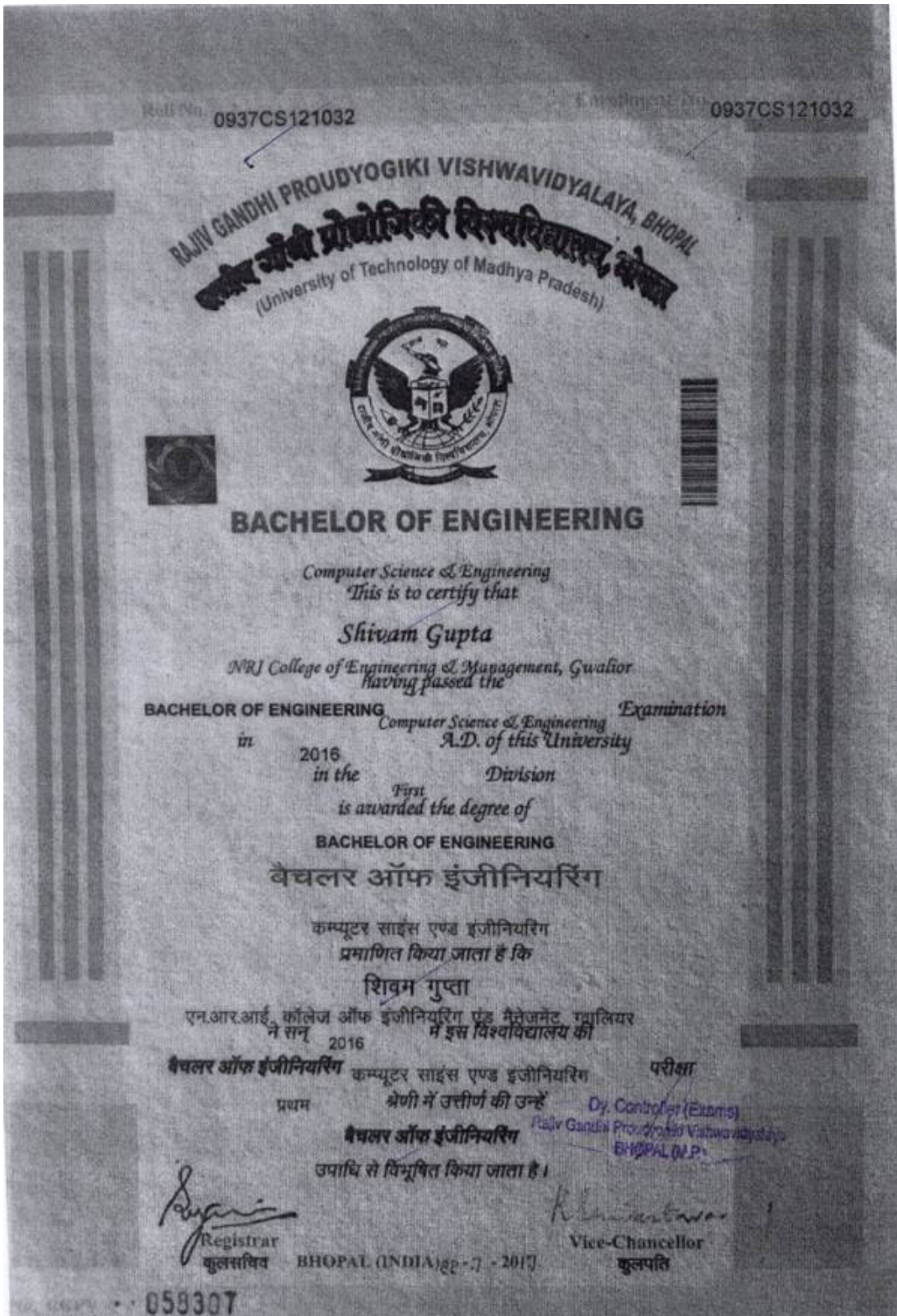
(S.K Garg)

(Authorized Signatory)

GRADUATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Institution:	Rajiv Gandhi Proudtyogiki Vishwavidyalaya	Rajiv Gandhi Proudtyogiki Vishwavidyalaya
Name Of The Candidate:	Shivam Gupta	Shivam Gupta
Enroll No:	0937CS121032	0937CS121032
Degree:	Bachelor of Engineering	Bachelor of Engineering
Major:	Computer Science and Engineering	Computer Science and Engineering
Month And Year Of Passing:	2016	2016
Certification Issued Date:	2017-07	2017-07
SI No:	058307	058307
Remarks:	The following applicant details were verified by Dy.Controller(Exam) of Rajiv Gandhi Proudtyogiki Vishwavidyalaya found genuine, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Dy.Controller(Exam)	
Report Verified Date:	22-11-2025	
Verification Status(Color Code):	GREEN	



Annexure 3 (a)



CREDIT/CIBIL CHECK		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Shivam Gupta	Shivam Gupta
Pan Number:	DTPG3553F	DTPG3553F
CIBIL Transunion Score:	Not Applicable	-1
Scoring Factors:	Not Applicable	Insufficient History To Score.
Personal Loan Score:	Not Applicable	Not Disclosed
Status:	Not Applicable	Green
Verified By:	Not Applicable	Transunion CIBIL
Remarks:	The following applicant details were verified through department of transunion CIBIL (CIBIL Database), online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Transunion CIBIL	
Report Verified Date:	19-11-2025	
Verification Status(Color Code):	GREEN	

## Annexure 4 (a)

REPORT DATE & TIME : 19/11/2025 (12:50:38)

CONTROL NUMBER : 9933862837



### CONSUMER CIR

MEMBER ID : BS00115009\_SHYD20737

REFERENCE NUMBER : 11

#### CONSUMER INFORMATION

CONSUMER NAME	: Shivam Gupta	PAN	: DTPG3553F
DOB	: 20/07/1994	DRIVING LICENCE NO	: -
TELEPHONE NO.	: -	VOTER ID	: -
EMAIL ID	: -	PASSPORT NO.	: -
GENDER	: Male	AADHAAR NUMBER (UID)	: -
ADDRESS	: DELHI, DELHI, DELHI - 110001		

#### CIBIL TRANSUNION SCORE(S)

CREDITVISION®  
Score

-1

##### SCORING FACTORS

Insufficient History To Score.

#### CONSUMER ACCOUNT SUMMARY

No Consumer Summary available.

#### ENQUIRY SUMMARY

No Enquiry Summary available.

#### CREDITVISION® ALGORITHM(S)

No CreditVision Algorithm(s) available.

### CONSUMER DETAILS

#### CONSUMER INFORMATION

CONSUMER NAME : SHIVAM GUPTA	DOB : 20/07/1994	GENDER : Male	CREDITVISION® SCORE : -1
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#### IDENTIFICATION(S)

IDENTIFICATION TYPE	IDENTIFICATION NUMBER	ISSUE DATE	EXPIRATION DATE
(*) PAN CARD	DTPG3553F	-	-

(\*) - IDENTIFICATION REPORTED FROM ENQUIRY

#### TELEPHONE(S)

No Telephone Records available.

#### EMAIL CONTACT(S)

No Email Record available.

#### CONSUMER'S REPORTED ADDRESS(ES)

ADDRESS	CATEGORY	RESIDENCE CODE	DATE REPORTED
(*) DELHI, DELHI, DELHI - 110001	Permanent	-	19/11/2025

(\*) - ADDRESSES REPORTED FROM ENQUIRY

## Annexure 4 (b)

### EMPLOYMENT INFORMATION


 No Employment Information available.

### CONSUMER ACCOUNT DETAILS

 No Consumer Account Details available.

### CONSUMER ENQUIRY DETAILS

#### ENQUIRIES

 No Enquiry Details available.

### GLOSSARY

CIR DATA GLOSSARY		
REPORT SECTION	KEY TERM / CODE	DESCRIPTION
Report name	-	Consumer CIR
Consumer Details	e	Enriched through Enquiry
Identification(s)	ID Types	Income Tax ID Number (PAN) Passport Number Voter ID Driver's License Number Ration Card Number Universal ID Number (UID)
Telephone(s) :	Telephone Types	Latest 4 Telephone details reported. Mobile phone Home Phone Office phone Not Classified
Email Contact(s) :	-	Latest 4 emails reported.
Employment Information(s) :	Occupation Codes	Latest Employment detail reported. Salaried Self Employed Professionals Self Employed Others
Address(es) :	Address Category	Latest 4 address reported. Permanent Address Residence Address Office Address Not categorized
Consumer Account Details:	Account Information	Active: Account not closed Inactive: Closed account Date Opened: Date of first disbursement Date Closed: Date of account closure Date reported & Certified: Most recent date reported by reporting member Last Payment Date: Most recent date a payment was made on the account.
Consumer Account Details:	Day Past Due/Asset Classification	Start date: Beginning of the payment history End Date: End of the payment history 000: Payment is made on the due date 001-900: Payment is missed by number of days from the due date STD: Payments being made within 90 days SMA: Special account created for reporting Standard Accounts moving toward Sub-Standard SUB: Payments being made after 90 days DBT : The account has remained Sub-Standard for 12 months LSS : The account where loss has been identified and remains uncollectable XXX : Data not reported by Institution
Consumer Account Details:	Information under dispute	Consumer has raised grievance request regarding issue in correctness of the data reported by Financial Institution
Enquiry Details :	Not Disclosed	Enquiry made with other Members

COURT VERIFICATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSNVJ-7	GQ-AMRSNVJ-7
Candidate Name:	Shivam Gupta	Shivam Gupta
Date Of Birth:	20-07-1994	20-07-1994
Father Name:	Hemant Gupta	Hemant Gupta
Address1:	House No. 1371, Ward No.57, Tejendra Nath Ki Gali, Lohiya Bazar, Doulatram Sewakram Oil Mill, Near by Rafiq Tailor, Gwalior, Madhya Pradesh-474001.	House No. 1371, Ward No.57, Tejendra Nath Ki Gali, Lohiya Bazar, Doulatram Sewakram Oil Mill, Near by Rafiq Tailor, Gwalior, Madhya Pradesh-474001.
Remarks:	Online Court Record Verification report duly stamped and signed by the Advocate with comment on criminal record not found. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	19-11-2025	
Verification Status(Color Code):	GREEN	



## Annexure 5 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### COURT VERIFICATION REPORT

APPLICATION ID	GQ-AMRSNVJ-7
NAME OF THE APPLICANT	Shivam Gupta
FATHER NAME	Hemant Gupta
DATE OF BIRTH	20-July-1994
ADDRESS OF THE APPLICANT	House No. 1371, Ward No.57, Tejendra Nath Ki Gali, Lohiya Bazar, Doulatram Sewakram Oil Mill, Near by Rafiq Tailor, Gwalior, Madhya Pradesh-474001.
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	19-November-2025

### EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### LAWYERS'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14



NATIONAL IDENTITY 1		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Type Of Id Card:	Aadhaar Card	Aadhaar Card
Identity Number:	501980141298	501980141298
Verification Result:	Not Applicable	Green
Verified By:	Not Applicable	UIDAI - Aadhaar
Remarks:	The following applicant details were verified through unique identification authority of India, online database verification found the match with the name of the applicant, hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	UIDAI - Aadhaar	
Report Verified Date:	24-11-2025	
Verification Status(Color Code):	GREEN	

Annexure 6 (a)


		<b>भारत सरकार</b> <b>Government of India</b>		
<b>Aadhaar no. issued: 05/02/2012</b>		<b>शिवम गुप्ता</b> <b>Shivam Gupta</b> <b>जन्म तिथि/DOB: 20/07/1994</b> <b>पुरुष/ MALE</b>		
		<div><p>आधार पहचान का प्रमाण है, नागरिकता या जन्मतिथि का नहीं । इसका उपयोग सत्यापन (ऑनलाइन प्रमाणीकरण, या क्यूआर कोड/ ऑफलाइन एक्सएमएल की स्कैनिंग) के साथ किया जाना चाहिए । <b>Aadhaar is proof of identity, not of citizenship</b> or date of birth. It should be used with verification (online authentication, or scanning of QR code / offline XML).</p></div>		
<b>XXXX XXXX 1298</b>				
<b>मेरा आधार, मेरी पहचान</b>				




## Annexure 6 (b)



Unique Identification Authority of India

 myAadhaar English ▾

[Dashboard](#) > [Check Aadhaar Validity](#)



501980141298 Exists

Aadhaar Verification Completed

Age Band	30-40 years
Gender	MALE
State	Madhya Pradesh
Mobile	*****861

POLICE VERIFICATION		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Reference Number:	GQ-AMRSNVJ-7	GQ-AMRSNVJ-7
Candidate Name:	Shivam Gupta	Shivam Gupta
Date Of Birth:	20-07-1994	20-07-1994
Father Name:	Hemant Gupta	Hemant Gupta
Address1:	House No. 1371, Ward No.57, Tejendra Nath Ki Gali, Lohiya Bazar, Doulatram Sewakram Oil Mill, Near by Rafiq Tailor, Gwalior, Madhya Pradesh-474001.	House No. 1371, Ward No.57, Tejendra Nath Ki Gali, Lohiya Bazar, Doulatram Sewakram Oil Mill, Near by Rafiq Tailor, Gwalior, Madhya Pradesh-474001.
Remarks:	The following applicant details are verbally verified and the notary report duly stamped and signed by Palav Agarwal - Advocate with comment on criminal record not found, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Palav Agarwal - Advocate	
Report Verified Date:	19-11-2025	
Verification Status(Color Code):	GREEN	

## Annexure 7 (a)

PALAV AGARWAL  
ADVOCATE AND LEGAL CONSULTANT  
BAR COUNCIL DELHI ID D/1432/14

TRUE COPY

### POLICE VERIFICATION REPORT

APPLICATION ID	GQ-AMRSNVJ-7
NAME OF THE APPLICANT	Shivam Gupta
FATHER NAME	Hemant Gupta
DATE OF BIRTH	20-July-1994
ADDRESS OF THE APPLICANT	House No. 1371, Ward No.57, Tejendra Nath Ki Gali, Lohiya Bazar, Doulatram Sewakram Oil Mill, Near by Rafiq Tailor, Gwalior, Madhya Pradesh-474001.
NAME OF THE POLICE STATION AS PER ADDRESS	Kampoo Police Station
VERIFICATION STATUS	Verified Clear
VERIFIED DATE	21-November-2025

### EXECUTIVE SUMMARY

CIVIL COURT VERIFICATION	Verified Clear - No Records Found
SESSIONS COURT VERIFICATION	Verified Clear - No Records Found
MAGISTRATE COURT VERIFICATION	Verified Clear - No Records Found
HIGH COURT VERIFICATION	Verified Clear - No Records Found
SUPREME COURT OF INDIA VERIFICATION	Verified Clear - No Records Found

### CONCLUSION:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### LAWYER'S COMMENT:

As on the date of this search and as per the online litigation database there is no Civil or Criminal case instituted against the Reference Number raised before us. The information is subject to the search conducted within the Jurisdictional limits of the Address of the Candidate provided. Hence, this information is subjective in nature.

### DISCLAIMER:

Proper due diligence has been conducted in retrieval of the information as per the public records available. The information is gained on the instruction of CLIENT and the undersigned is not liable for any act of error, omission, deletion or non publication of records of the concerned court. This information may not be treated as a Certificate.

Yours Truly



(PALAV AGARWAL)  
BAR COUNCIL DELHI ID D/1432/14

CRIMINAL DATABASE		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Name Of The Candidate:	Shivam Gupta	Shivam Gupta
Remarks:	The following applicant details were verified through World Check One (Refinitiv), possible matches were found however candidate's Date Of Birth and address did not match, Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	Refinitiv / World Check One	
Report Verified Date:	19-11-2025	
Verification Status(Color Code):	GREEN	

# LSEG World-Check One

## CASE REPORT

Confidential

Name	Shivam Gupta		
Case Rating	Not Rated		
World-Check Total Matches	6		
Case ID	GQ-AMRSNVJ-7		
Current Group	World-Check		
Gender	Male	Date of Birth	20 Jul 1994
Last Screened	19 Nov 2025 07:21	Case Created	19 Nov 2025 07:21
Entity Type	Individual	Ongoing Screening	No
Archived	No	Name Transposition	Yes

### KEY FINDINGS

Total Matches	6				
Resolved Matches	6	Positive: 0	Possible: 0	False: 6	Unspecified: 0
Unresolved Matches	0				

Name: Shivam Gupta  
 Date Printed: 19-11-2025, 07:28  
 Printed By: database check  
 Group: World-Check



## Annexure 8 (b)

### WORLD-CHECK MATCHES

Resolution Status	Matching Entity	WC UID	Type	Category	Gender	Date(s) of Birth	Citizenship	Location	Last Resolved	Last Reviewed	Risk	Resolution Reason	Resolution Comment	Match Strength
FALSE	Shivam GU PTA	6247251	OB, SIC	INDIVIDUAL	MALE		IND	IND	19-Nov-2025		Unknown	No Match		Exact - 100%
FALSE	Shivam GU PTA	7391403	OB, SIC	INDIVIDUAL	MALE		IND	IND	19-Nov-2025		Unknown	No Match		Exact - 100%
FALSE	Shivam GU PTA	7824395	LE, SIC	INDIVIDUAL	MALE		IND	IND	19-Nov-2025		Unknown	No Match		Exact - 100%
FALSE	Shivam GU PTA	7928627	OB, SIC	INDIVIDUAL	MALE		IND	IND	19-Nov-2025		Unknown	No Match		Exact - 100%
FALSE	Shivam GU PTA	5480924	SIC, RE	INDIVIDUAL	MALE	07-Oct-1993	IND	IND	19-Nov-2025		Unknown	No Match		Exact - 100%
FALSE	Shivam GU PTA	6271869	OB, SIC	INDIVIDUAL	MALE		IND	IND	19-Nov-2025		Unknown	No Match		Exact - 100%

Name  
Date Printed  
Printed By  
Group

Shivam Gupta  
19-11-2025, 07:28  
database check  
World-Check



## Annexure 8 (c)

### AUDIT

Date	Actioned By	Action	Notes	Source
19 Nov 2025 07:24	database check	6 World-Check matches resolved as False		Desktop
19 Nov 2025 07:21	database check	Case Screened for World-Check (Initial/Re-Screen Only)		Desktop Batch
19 Nov 2025 07:21	database check	New Case added		Desktop Batch

### NOTES

All timestamps in this export are in UTC and may be in variance with the locale time shown on the application

#### Legal Notice

The contents of this record are private and confidential and should not be disclosed to third parties unless: (i) the terms of your agreement with LSEG allow you to do so; (ii) the record subject requests any data that you may hold on them, and such data includes their World-Check record; or (iii) you are under some other legal obligation to do so. You must consider and abide by your own obligations in relation to the data privacy rights of individuals and must notify them of your intention to search against World-Check and provide them with information contained in the World-Check [privacy statement](#). You shall not rely upon the content of this report without making independent checks to verify the information contained therein. Information correlated is necessarily brief and should be read by you in the context of the fuller details available in the external sources to which links are provided. The accuracy of the information found in the underlying sources must be verified with the record subject before any action is taken and you should inform us if any links to the sources are broken. If this record contains negative allegations, it should be assumed that such allegations are denied by the subject. You should not draw any negative inferences about individuals or entities merely because they are identified in the database, nor because they are shown as "Reported being linked to" others identified in the database. The nature of linking varies considerably. Many persons are included solely because they hold or have held prominent political positions or are connected to such individuals.

Name: Shivam Gupta  
Date Printed: 19-11-2025, 07:28  
Printed By: database check  
Group: World-Check





## Annexure 8 (d)

### Annexure A

Criminal Records Database Checks - India	
Central Bureau of Investigation Most Wanted List	No record
Supreme Court of India	No record
Ministry of Defense	No record
National Investigation Agency	No record
National Crime Records Bureau	No record
Delhi Police	No record
India Courts	No record
Ministry of Home Affairs of India	No record

### Annexure B

Civil Litigation Database Checks – India	
University Grants Commission	No record
Indian Politically Exposed Persons (PEP) Database	No record
Income Tax Department	No record
Press Information Bureau	No record

### Annexure C

Credit and Reputational Risk Database Checks – India	
Reserve Bank of India	No record
Securities and Exchange Board of India	No record
Ministry of Corporate Affairs of India - Vanishing companies & disqualified directors	No record
Bombay Stock Exchange	No record
Insurance Regulatory and Development Authority	No record
National Stock Exchange of India Ltd.	No record
Competition Commission of India	No record

### Annexure D

Serious and Organized Crimes Database Checks – Global	
Interpol Most Wanted	No record
<b>US &amp; Canada – Most Wanted Lists</b>	
Air Force Office of Special Investigation - Air Force Fugitives, USA	No record
Bureau of Alcohol, Tobacco and Firearms, USA	No record
Delaware State Police, USA	No record
Drug Enforcement Administration, USA	No record
Federal Bureau of Investigation, USA [includes hijack suspects, most wanted & FBI seeking information]	No record
Kansas Bureau of Investigation, USA	No record
Mississippi Department of Public Safety, USA	No record
Oregon Department of Corrections, USA	No record
Illinois Department of Corrections, USA	No record
Rhode Island State Police, USA	No record



## Annexure 8 (e)

Tennessee Bureau of Investigation, USA	No record
Most Wanted Fugitives: Texas Department of Public Safety, USA	No record
Immigration and Customs Enforcement, USA	No record
Secret Service, USA	No record
United States Department of Justice (DOJ), USA	No record
United States Marshals Service, USA	No record
Naval Criminal Investigative Service, USA	No record
Postal Inspection Service, USA	No record
Virginia State Police, USA	No record
West Virginia State Police, USA	No record
New York Police Department, USA	No record
Department of Defense, USA	No record
Department of State-Enforcement, USA	No record
Dept of State Foreign Terrorist Organizations, USA	No record
Dept of State Terrorist Exclusion List, USA	No record
Georgia Bureau of Investigation, USA	No record
New York City Department of Investigation, USA	No record
Central Intelligence Agency, USA	No record
Department of Defense Military Commission Proceedings at Guantanamo Bay, USA	No record
Department of Homeland Security, USA	No record
Department of State, Narcotics Rewards Program, USA	No record
US Coast Guard, USA	No record
Virgin Islands Police Department, USA	No record
Royal Canadian Mounted Police, Canada	No record
Ontario Provincial Service, Canada	No record
The Peel Regional Police, Canada	No record
Alberta Law Enforcement Response Teams, Canada	No record
Border Services Agency, Canada	No record
Combined Forces Special Enforcement Unit-British Columbia (CFSEU-BC), Canada	No record
Edmonton Police Service, Canada	No record
London Canada Police Service, Canada	No record
Niagara Regional Police Service, Canada	No record
OSFI Enforcements, Canada	No record
OSFI Anti Terrorism, Canada	No record
Ottawa Police Service, Canada	No record
Permanent Anti-Corruption Unit, Canada	No record
Toronto Police Service, Canada	No record
York Regional Police, Canada	No record
<b>Most Wanted Lists: Europe and Central Asia</b>	
The Police of Saxony-Anhalt (Sachsen-Anhalt) County, Germany	No record
German Federal Criminal Police Office, Germany	No record
Baden-Württemberg Police, Germany	No record
Bayern Police, Germany	No record

## Annexure 8 (f)

Brandenburg Police, Germany	No record
Bremen Police, Germany	No record
Hamburg Police, Germany	No record
Mecklenburg-Vorpommern Police, Germany	No record
Niedersachsen Police, Germany	No record
Saarland Police, Germany	No record
Sachsen Police, Germany	No record
The Netherlands Police Department, The Netherlands	No record
National Terrorism List, The Netherlands	No record
Netherlands Police	No record
Public Prosecution Service, The Netherlands	No record
State Police, Italy	No record
Proscribed Organizations, United Kingdom	No record
The Metropolitan Police Service, United Kingdom	No record
Serious Fraud Office, United Kingdom	No record
National Crime Squad, United Kingdom	No record
Crimestoppers Trust, United Kingdom	No record
Crown Prosecution Service, United Kingdom	No record
London Police, United Kingdom	No record
Scottish Crime and Drug Enforcement Agency, United Kingdom	No record
Serious Organized Crime Agency, United Kingdom	No record
UK Border Agency, United Kingdom	No record
Ministry of the Interior, Russia	No record
Chief Military Prosecutor, Russia	No record
Federal Penitentiary Service, Russia	No record
Federal Security Service of the Russian Federation (FSB) - Terrorist List, Russia	No record
<b>Most Wanted Lists: Africa</b>	
South African Police Service, South Africa	No record
National Prosecution Authority, South Africa	No record
<b>Most Wanted Lists: Asia Pacific</b>	
Australian National Security, Australia	No record
Australian Crime Commission, Australia	No record
Australian Customs and Border Protection Service, Australia	No record
Australian Federal Police, Australia	No record
New South Wales Police, Australia	No record
Victoria Crime Stoppers, Australia	No record
Hangzhou Police Wanted List, China	No record
Hebei Province Public Security Bureau, China	No record
Central Commission for Discipline Inspection-Top 100 Fugitives, China	No record
Dubai Police, UAE	No record
Hong Kong Police Force, Hong Kong	No record
Criminal Investigation Police, Indonesia	No record
National Police, Indonesia	No record



## Annexure 8 (g)

Hokkaido Prefecture Police, Japan	No record
Public Security Intelligence Agency, Japan	No record
Fukuoka Prefecture Police, Japan	No record
Japanese National Police Agency, Japan	No record
Ministry of Defense, Japan	No record
Yamagata Prefecture Police, Japan	No record
Korean National Police Agency, Korea	No record
Royal Malaysian Police Force, Malaysia	No record
New Zealand Police, New Zealand	No record
Federal Investigation Agency (FIA) - Govt. of Pakistan, Pakistan	No record
Punjab Police, Pakistan	No record
National Bureau Of Investigation, Philippines	No record
Philippine Drug Enforcement Agency, Philippines	No record
Philippine National Police, Philippines	No record
Central Narcotics Bureau, Singapore	No record
Police Force Case Studies, Singapore	No record
Corrupt Practices Investigation Bureau, Singapore	No record
Anti-Human Trafficking Division, Thailand	No record
The Department of Special Investigation, Thailand	No record
Royal Thai Police, Thailand	No record
Criminal Investigation Bureau, Taiwan	No record
Bureau of Investigation, Taiwan	No record
Ministry of National Defense of Taiwan, Taiwan	No record
Bureau of Investigation, Ministry of Justice, Taiwan	No record
Ministry of National Defense of Taiwan	No record

## Annexure E

Global Regulatory Bodies	
Bureau of Industry and Security	No record
World Bank Debarred Parties	No record
Defense Trade Controls (DTC) Debarred Parties	No record
<b>US and Canadian Regulatory Bodies</b>	
New York Stock Exchange (NYSE), USA	No record
Commodities and Futures Trading Commission (CFTC), USA	No record
Excluded Parties List System [includes General Services Administration (GSA)], USA	No record
Federal Deposit and Insurance Corporation (FDIC), USA	No record
Federal Reserve Board (FRB), USA	No record
Financial Crimes Enforcement Network, USA	No record
HHS-Office of Inspector General (OIG), USA	No record
Department of Health & Human Services, USA	No record
National Credit Union Association (NCUA), USA	No record
Consumer Financial Protection Bureau, USA	No record
Office Comptroller of Currency (OCC), USA	No record
Oregon Department of Consumer & Business Services, USA	No record



## Annexure 8 (h)

US Securities and Exchange Commission, USA	No record
New York State Insurance Department, USA	No record
New York Department of Financial Services, USA	No record
New York State Office of General Services, USA	No record
California Department of General Services, USA	No record
Minnesota State Board of Investment, USA	No record
Financial Industry Regulatory Authority, USA	No record
Alabama Securities Commission, USA	No record
Alaska Division of Banking, Securities and Corporations, USA	No record
Arizona Corporation Commission Securities Division, USA	No record
Arkansas Securities Department, USA	No record
BIS Department of Commerce, USA	No record
California Department of Insurance, USA	No record
Colorado Division of Securities, USA	No record
Department of State Directorate of Defense Trade Controls, USA	No record
Department of the Air Force General Counsel, USA	No record
Federal Aviation Administration, USA	No record
Financial Fraud Enforcement Task Force/ StopFraud.gov, USA	No record
Florida Department of Financial Services, USA	No record
Illinois Securities Department, USA	No record
Kansas Securities Commission, USA	No record
Maine Securities Division, USA	No record
Massachusetts Securities Division, USA	No record
Michigan Department of Insurance and Financial Services , USA	No record
Missouri Secretary of State Securities Division, USA	No record
Nebraska Department of Banking and Finance, USA	No record
Nevada Secretary of State Securities Division, USA	No record
New Jersey Bureau of Securities, USA	No record
New Jersey Department of Banking & Insurance, USA	No record
Ohio Department of Commerce Securities Division, USA	No record
Oklahoma Securities Commission, USA	No record
Pennsylvania Banking and Securities Commission, USA	No record
Pennsylvania Department General Services, USA	No record
Tennessee Securities Division, USA	No record
Texas State Securities Board, USA	No record
U.S Courts, USA	No record
Department of Justice, USA	No record
Department of Labor Office of Inspector General, USA	No record
Federal Trade Commission, USA	No record
Virginia State Corporation Commission, USA	No record
Washington State Office of the Insurance Commissioner, USA	No record
Bureau of Industry and Security (BIS)–export violations, USA	No record
Wisconsin Commissioner of Insurance, USA	No record
US Food & Drug Administration	No record



## Annexure 8 (i)

Alberta Securities Commission, Canada	No record
British Columbia Securities Commission (BCSC), Canada	No record
Investment Dealers Association of Canada (IDA), Canada	No record
Office of Superintendents of Financial Institutions (OSFI), Canada	No record
Ontario Securities Commission (OSC), Canada	No record
Canada Revenue Agency, Canada	No record
Cayman Islands Monetary Authority, Cayman Islands	No record
Central Bank of Bahamas, Bahamas	No record
Federal Court of Canada, Canada	No record
Financial Services Commission of Ontario, Canada	No record
Canadian Securities Administrators, Canada	No record
New Brunswick Securities Commission, Canada	No record
Nova Scotia Securities Commission, Canada	No record
Tax Court of Canada, Canada	No record
<b>European Regulatory Bodies</b>	
Financial Services Authority (FSA), United Kingdom	No record
Lloyds of London (Lloyds), United Kingdom	No record
HM Revenue and Customs, United Kingdom	No record
Financial Services Authority - Final Notice, United Kingdom	No record
Judiciary of Scotland, United Kingdom	No record
Financial Conduct Authority, United Kingdom	No record
Her Majesty's Courts Service, United Kingdom	No record
Home Office, United Kingdom	No record
Prudential Regulation Authority - Prohibited Individuals, United Kingdom	No record
Companies House - Disqualified directors, United Kingdom	No record
Serious Fraud Office, UK	No record
Department of Trade and Industry, United Kingdom	No record
Malta Financial Services Authority, Malta	No record
Netherlands Courts, Netherlands	No record
Netherlands Financial Intelligence Unit, Netherlands	No record
Supreme Court of the Netherlands, Netherlands	No record
The Netherlands Authority For the Financial Markets, Netherlands	No record
Office of the Director of Corporate Enforcement (ODCE), Ireland	No record
Revenue Commissioners - Irish Tax & Customs, Ireland	No record
The Irish Financial Services Regulatory Authority, Ireland	No record
The Financial Supervision Commission, Isle of Man	No record
Institute for Supervision of Insurance, Italy	No record
Italian Securities Commission (Consob), Italy	No record
Jersey Financial Securities Commission, Jersey	No record
Courts, Jersey	No record
Gibraltar Financial Services Commission, Gibraltar	No record
<b>Asia Pacific Regulatory Bodies</b>	
Australian Stock Exchange, Australia	No record
Office of the Registrar of Indigenous Corporations, Australia	No record



## Annexure 8 (j)

Australian Securities and Investment Commission (ASIC), Australia	No record
Department of Foreign Affairs and Trade, Australia	No record
Bangladesh Securities and Commission, Bangladesh	No record
China Customs, China	No record
Banking Regulatory Commission, China	No record
China Food and Drug Administration, China	No record
Customs General Administration, China	No record
Insurance Regulatory Commission, China	No record
Ministry of Finance, China	No record
Securities Association of China, China	No record
Supreme People's Court, China	No record
CSRC (China Securities Regulatory Commission), China	No record
Financial Services and the Treasury Bureau of Hong Kong, Hong Kong	No record
Hong Kong Securities & Futures Commission (HKSF), Hong Kong	No record
Hong Kong Customs and Excise Department, Hong Kong	No record
Hong Kong Monetary Authority – Warnings, Hong Kong	No record
Independent Commission against Corruption, Hong Kong	No record
Judiciary, Hong Kong	No record
Securities and Futures Exchanges, Hong Kong	No record
Indonesian Financial Services Authority	No record
Supreme Court, Indonesia	No record
Ministry of Economy, Trade and Industry, Japan	No record
Ministry of Foreign Affairs, Japan	No record
Ministry of Finance, Japan	No record
Japanese Financial Services Agency, Japan	No record
Tokyo Stock Exchange (TSE), Japan	No record
Japan Securities and Exchange Surveillance Commission, Japan	No record
Financial Supervisory Service, Korea Republic	No record
Financial Services Commission of Korea, Korea	No record
Malaysia Securities Commission (MSC), Malaysia	No record
The Companies Commission of Malaysia, Malaysia	No record
Bursa Malaysia	No record
Courts of Malaysia (Judgments list), Malaysia	No record
Anti-Corruption Commission, Malaysia	No record
Labuan Financial Services Authority, Malaysia	No record
Inland Revenue of New Zealand, New Zealand	No record
New Zealand Financial Markets Authority, New Zealand	No record
New Zealand Securities Commission (NZSC), New Zealand	No record
New Zealand Serious Fraud Office, New Zealand	No record
New Zealand Securities Commission, New Zealand	No record
Reserve Bank, New Zealand	No record
Securities Exchange Commission of Pakistan (SECP), Pakistan	No record
Philippines Securities and Exchange Commission, Philippines	No record
Singapore Stock Exchange, Singapore	No record

## Annexure 8 (k)

Supreme Court, Singapore	No record
Ministry of Law, Singapore	No record
Customs, Singapore	No record
Inland Revenue Authority, Singapore	No record
Ministry of Manpower, Singapore	No record
Thailand Securities and Exchange Commission, Thailand	No record
Supreme Court of Thailand, Thailand	No record
Anti-Money Laundering Office, Thailand	No record
Banking Bureau of Financial Supervisory Commission, Taiwan	No record
Financial Supervisory Commission, Taiwan	No record
Taiwan Supreme Prosecutors Office, Taiwan	No record

## Annexure F

Compliance Database	
Office of Foreign Assets Control (OFAC): Specially Designated Nationals & Blocked Persons and names that have been deleted from the OFAC list	No record
Monetary Authority of Singapore	No record
Hong Kong Monetary Authority	No record
Australian Department of Foreign Affairs and Trade (DFAT)	No record
The Australian Transaction Reports and Analysis Centre, Australia	No record
OSFI Consolidated List, Canada	No record
United Nations International Criminal Tribunal for the Former Yugoslavia	No record
International Criminal Tribunal for Rwanda	No record
Global Money Laundering Database	No record
Politically Exposed Persons Database	No record

## Annexure G

Sanction & PEP - Global	
US Department of State - Iran and Syria Nonproliferation	No record
US Department of State - Iran, North Korea, and Syria Nonproliferation	No record
Minister of Foreign Affairs -Special Economic Measures -Syria, Canada	No record
US Iran and Syria Nonproliferation Act	No record

## Annexure H

Web and Media Searches – Global	
Internet Searches	No record
Media Searches	No record

UAN/ITR/Form 26AS		
PARTICULARS	APPLICATION DETAILS	REPORT DETAILS
Applicant Name:	Shivam Gupta	Shivam Gupta
Application Id:	GQ-AMRSNVJ-7	GQ-AMRSNVJ-7
UAN No:	101240666540	101240666540
Remarks:	The following applicant details were verified through PF Portal & found the name of the Candidate, Father Name, Name of the Organisation, DOJ & Date of Exit. Hence closing the check as GREEN and the same is furnished as annexure.	
Information Source Name:	PF PORTAL	
UAN Generated Date:	19-11-2025	
Verification Status(Color Code):	GREEN	



Annexure 9 (a)

UAN Details Report

UAN101240666540

NAMESHIVAM GUPTA

FATHER'S NAMEHEMANT GUPTA

Work History

#	Member ID	Establishment Name	Date of Joining	Date of Exit
1	DSSHD09410630000018447	PROVANA INDIA PRIVATE LIMITED	30-Jul-2024	NA
2	KDMAL02159690000034438	TEAM HR GSA PRIVATE LIMITED	24-Jul-2018	30-Sep-2018
3	MHBAN00452390000133058	AXIS BANK LIMITED	09-Jul-2018	12-Jul-2018
4	DLCPM13948640000020274	ARCOS SKILL MANAGEMENT SERVICES PRIVATE LIMITED	31-Jan-2018	13-Jun-2018

### Disclaimer

This report is confidential and is meant for the exclusive use of the Client. This report has been prepared solely for the purpose set out pursuant to our letter of engagement (LoE)/Agreement signed with you and is not to be used for any other purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information purpose. The Client recognizes that we are not the source of the data gathered and our reports are based on the information responsible for employment decisions based on the information provided in this report.

### End of detail report

