



Siddharth Sawarn

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Organized Delivery Manager with almost 12 years of experience in AML/Financial Crime Compliance. Committed to day-to-day efficiency and delivery.

Work History

January 2023 –
October 2025

Delivery Manager

Tata Consultancy Services, Pune, India

- Managing a team size of 60 people in AML/KYC and Fraud Detection project for a Global Bank.
- Supervising a team of Associates and Team Leads responsible for performing Event Driven Review and Transaction Monitoring for Natural Persons by monitoring the transactions and source of wealth involved to curb sector and transaction risks.
- Drive 'Right First Time' performance and provide feedback to support maintain and improving standards.
- Manage the team's pipeline and MIS to ensure timely completion of reviews and appropriate management of the team's capacity.
- Provide support in the process of interviewing and hiring new AML/KYC/Fraud Analysts.
- Evaluate performance and provide feedback via informal discussions, quarterly/semiannual meetings and annual review process.
- Maintain proactive management of client escalations, issues and other items that require special handling related to review completion.
- Coordinate and lead regular team meetings.
- Monitored customer quality metrics and took proactive steps to implement resolutions and restore acceptable levels.

September 2022 –
January 2023

List Management BA4

Barclays, Noida, India

Managed a team of 10 associates which manages Public and Private list for Barclays group.

- Managed daily updates, emails and requests from the stakeholders on updating of internal Lists.
- It supports Payment Screening, Transaction Monitoring team and other teams in bulk closure of False hits against PEP and Sanctions list and also takes care of remediating problematic entries and enhances the operational effectiveness of Screening systems.

September 2013 -
September 2022

Customer Service Operations Delivery Manager

NatWest (RBS Services India Private Limited), Delhi, India

- Managing a team size of 20+ people in APC.
- Handling all Joiners/Movers/Leavers and Workday formalities for staff reporting to me.
- Managing Volumes, Work allocation and Rewards and recognition in the team.
- Engaged in collaboration and regular calls with UK stakeholders for new process implementations.
- Raising of any AML/PEP/Sanction suspicion through the use of IMLSR (Internal money laundering suspicious report) vehicle & timely escalated all GDPR breaches occurred in department.
- Engaged in Creation & Streamlining of process documents, SOPs, BOMs.
- Identified and escalated risks in process to the systems team or respective owners/partners.
- Preparation of monthly reports and dashboards.
- Liaising and coordinating with Compliance teams and auditors.

September 2013 -
September 2022

CSO Analyst

NatWest (RBS Services India Private Limited), Delhi, India

- Identification of PEP and Sanction element and generating Event Driven Review as & when triggered.
- Identifying PEP & Sanction risks on Commercial, Retail and Wealth Customers.
- Determining and assessing PEP risk levels.
- To ensure full compliance with AML/Sanction regulations.
- Screening customers against PEP and Sanctions list by EU, OFAC, UN and other lists.
- Raising any Sanction element to Senior Management, Group Sanctions UK.
- Collaboration with UK stakeholders, Raise SAR for suspicions, Manage work queue.
- Worked on Automation Projects for successful implementation of Robotic Process Implementation (Level 1 of the Screening in AML Department).
- Providing process training to new joiners in the team.

September 2013 -
September 2022

CSO Analyst

NatWest (RBS Services India Private Limited), Delhi, India

- Performing Quality checks of the transactions processed by the team of 30 associates.
- Determining and assessing Payment/Transaction risk levels.
- Conducting Error Feedback sessions fortnightly for the team across locations.
- Worked closely with the quality assurance team UK.

September 2013 -
September 2022

CSO Analyst

NatWest (RBS Services India Private Limited), Delhi, India

- Identification of pep & Sanction element and escalating the same if it's a match.
- Streamlined process and suggested improvement ideas and prioritized tasks to meet daily targets with 100% quality.
- Using World Check and Equifax during screening process to identify a match.

Education

July 2013	Master in Business Administration (MBA) in Dual-Marketing & Human Resources Lovely Professional University at Punjab
December 2010	Bachelor In Computer Applications L N M UNIVERSITY at Darbhanga
May 2004	All India Senior School Certificate Examination (AISSCE) Holy Mission High School at Samastipur
May 2002	All India Senior Secondary School Examination (AISSE) Patna Central School at Patna

Skills

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|-------------------|----------------------------|
| AML Manager | • Know your customer |
| • Due diligence | • PEP/Sanctions |
| • Team leadership | • CDD/Adverse Media Checks |

Accomplishments

- CAMS certified (Gold standard certificate in AML KYC Domain)
- ICA International Advanced Certificate in AML awarded in association with the University of Manchester, UK.
- Global KYC Certification from GO-AKS.
- AML Transaction Monitoring and Suspicious Transaction Reporting (TMSTR) from National Institute of Securities Markets (NISM).
- Generative AI Foundations Certificate from Microsoft.
- Certified in AML/KYC exam conducted by Indian Institute of Banking and finance (IIBF).
- Multiple spot ovations recognition awards.
- Cleared AML assessment levels 1 & 2 in first attempt.
- Certificate of Workshop on Entrepreneurship skills by Nurture Talent in 2012 sponsored by IIT Kanpur.
- Attended workshop in Entrepreneurship Development.
- Did workshop in Advertisement and Excel.

Personal Information

Date of Birth: 05-December-1987

Applications

- Equifax
- Lexis-Nexis
- Actimize Sam
- Qlikview
- World check
- Kritik
- ActOne
- Microsoft Office