

# Ashutosh Kumar

## Assistant Consultant (Manager)



11 Years 6 Months



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## Profile Summary

Dynamic, accomplished compliance leader with 11+ years driving excellence in financial crime compliance across global banking and consulting environments. Expert in AML, Transaction Monitoring, Fraud Investigations, Sanctions Compliance and Control Testing. Renowned for leading high-impact teams (up to 105+ members), strengthening regulatory frameworks, and implementing robust controls to mitigate financial crime risk. Skilled in resource management and enhancing team productivity and quality using Pareto analysis. Passionate about driving a culture of compliance excellence, I seek to contribute meaningfully to a forward-thinking organization while continuing to refine and expand my professional capabilities.



## Education

MBA/PGDM, 2014

Institute of Finance and International Management, Bangalore

B.Com, 2012

Calcutta University

12th, 2009

CISCE(ICSE/ISC), English

10th, 2007

CISCE(ICSE/ISC), English



## Key skills

- Anti-Money Laundering (AML)
- Financial Crime Compliance
- Transaction Monitoring
- Sanction Screening
- Fraud Investigation
- Correspondent and Retail Banking
- Stakeholder Management
- Team Leadership
- Resource Management
- Compliance Training
- People Management
- AML Project Handling
- Compliance Manager



## Personal Information

City Bengaluru

Country INDIA



## Languages

- English
- Hindi



## Social links

<https://www.linkedin.com/in/ashutosh-kumar-cams-616a4469/>



## Work Experience

Apr 2022 - Present

Assistant Consultant (Manager)

TATA Consultancy Services (TCS)

- Led large-scale Transaction Monitoring & Fraud Investigation programs for a top UK financial institution, ensuring strict adherence to international AML and regulatory standards.
- Filed Suspicious Activity Reports (SARs) with the National Crime Agency (NCA), executing thorough investigations and due diligence.
- Drove end-to-end project delivery—managing core deliverables, production quality, and client communication—meeting all regulatory and business goals.
- Acted as key liaison between global clients, TCS stakeholders, and analysts for seamless project execution and timely escalation management.
- Recruited, trained, and managed project resources optimizing team capacity (up to 105 members) and aligning skills efficiently to project needs.
- Implemented resource management improvements using Pareto analysis,

enhancing team productivity and work quality.

- Orchestrated Key Control Testing for a major US commercial bank, identifying control gaps and recommending enhancements to mitigate risk and boost efficiency.
- Delivered process updates and compliance insights to senior leadership, ensuring transparency and alignment.
- Led cross-functional upskilling, training teams on compliance control and investigative best practices.

**Oct 2017 - Apr 2022**

Assistant Manager

EY

- Managed multiple AML Transaction Monitoring projects across Switzerland, Singapore, China, UAE, UK, and Netherlands for retail, wealth management, and correspondent banking clients.
- Investigated transaction alerts, performed escalations/SAR filings, and delivered quality assurance for global financial institutions.
- Led AML and compliance training initiatives, building subject matter expertise across regional teams.
- Supported transaction monitoring tuning and model validation, collaborating with senior management on strategic enhancements.
- Mentored and guided teams up to 45 members, provided career coaching, and delivered annual performance reviews to foster high performance and professional growth.

**May 2014 - Jun 2017**

Assistant Manager Investigations

**HSBC Electronic Data Processing**

- Investigated high-risk correspondent banking alerts using Actimize and internal systems, performing detailed source of funds and counterparty analysis.
- Utilized advanced screening tools (World Check, RDC, Avention, DNBi) for research and enhanced due diligence.
- Managed RFI workflow with relationship managers, ensuring timely clarifications.
- Authored comprehensive investigative reports, maintained case documentation, and prepared draft SARs for escalation.

**Oct 2013 - Mar 2014**

Analyst (Intern)

**Genpact**

- Conducted comprehensive risk and financial analysis for US based large Oil and Gas Organisations client/counterparties and facilitated ongoing compliance screening using World Check and other screening tools.



## Certification

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- Lean Six Sigma- Green Belt
- Certified Anti-Money Laundering Specialist (CAMS)