

RAHUL KUMAR SAHA

CERTIFIED QA & ANTI - FINANCIAL CRIME ANALYST

Cultivating a robust career spanning more than 12 years in the research and banking industries, focusing on compliance operations and innovative research methodologies to enhance operational effectiveness. Aiming to leverage expertise in transaction monitoring and quality assurance to drive operational excellence in a managerial capacity.

PROFILE SUMMARY

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CERTIFICATIONS

- Anti-Financial Crime Element Certification
- QA Certification

CORE COMPETENCIES

- Compliance Risk Management
- Transaction Monitoring Strategies
- Quality Assurance Frameworks
- Stakeholder Engagement Techniques
- Regulatory Compliance Standards
- Risk Mitigation Strategies
- Data Analysis and Interpretation
- Team Leadership and Development
- Process Improvement Methodologies
- Financial Crime Prevention

TECHNICAL SKILLS

- Advanced Microsoft Excel for data analysis and reporting
- Proficient in PowerPoint for presentations and stakeholder communication
- Expertise in compliance software tools such as LexisNexis and World Check

AWARDS

- Certified QA
- Star Performer – 2022 & 2023
- Employee of the Month award in October 2019
- Blue rewards for onshore appreciation for effective production management and customer-centric approach.

EDUCATION

- Master's in Business Administration (M.B.A), MS Ramaiah Institute of Management - August 2009
- Bachelor in Commerce (B. Com), St. Xavier's College - June 2007

WORK EXPERIENCE

Manager - Transaction Monitoring (Certified QA), Genpact India Pvt. Ltd. |

March 2024 – Present

Responsibilities:

- Overseeing a quality control team of 15 professionals, ensuring adherence to compliance standards and operational excellence in transaction monitoring activities.
- Facilitating regular team meetings to set clear objectives, provide strategic guidance, and foster a culture of continuous improvement and accountability.
- Collaborating with cross-functional stakeholders to define project deliverables, establish timelines, and ensure alignment with organizational goals.
- Presenting comprehensive QA and QC performance metrics to stakeholders and internal leadership, driving informed decision-making and strategic planning.
- Mentoring and coach team members, enhancing their professional skills and fostering a collaborative environment that promotes knowledge sharing and growth.
- Implementing innovative methodologies to streamline transaction monitoring processes, significantly reducing operational inefficiencies and enhancing compliance outcomes.
- Conducting regular audits and quality checks to ensure compliance with internal policies and regulatory requirements, identifying areas for improvement and implementing corrective actions.

Assistant Manager - Transaction Monitoring (Certified QA), Genpact India Pvt. Ltd. | December 2021 – March 2024

Responsibilities:

- Managed a quality assurance team, ensuring the timely allocation of tasks and effective monitoring of compliance-related activities.

PROJECTS

- Group Project on analyzing the activities of top, middle, lower level managers in HDFC BANK LTD.
- Worked as a Trainee during Summer Internship in HDFC Bank Ltd, Bangalore - Customer Satisfaction regarding Credit Cards.
- Research Methodology project on STP of BRITANNIA.

- Prepared and presented weekly performance data and scores to clients, facilitating transparency and accountability in compliance operations.
- Analyzed complex data sets to identify root causes of compliance issues, recommending and implementing corrective actions to mitigate risks.
- Conducted comprehensive AML investigations, including initial alert reviews, case management, and SAR preparation, ensuring adherence to regulatory standards.
- Collaborated extensively with internal teams, including compliance surveillance analytics and global investigations, to enhance the effectiveness of automated surveillance models.
- Identified patterns and trends in transaction data, detecting unusual and suspicious activities, and recommending adjustments to internal tools to improve accuracy.
- Ensured strict adherence to client-specific turnaround times, delivering high-quality outputs within defined timelines and scope.
- Engaged in continuous professional development, staying abreast of industry trends and regulatory changes to enhance compliance practices.
- Initiated a data-driven approach to compliance monitoring, utilizing advanced analytics to identify trends and anomalies, which led to reduction in compliance-related incidents.
- Collaborated with IT teams to enhance automated surveillance models, resulting in increase in detection rates of suspicious activities, thereby strengthening the organization's compliance framework.

Highlights:

- Played a pivotal role in enhancing the quality of compliance outputs, resulting in increase in client satisfaction ratings.
- Successfully implemented a new training program for team members, leading to improvement in overall team performance and compliance knowledge.
- Recognized for exceptional contributions to the quality assurance process, receiving multiple accolades for outstanding performance.
- Developed a comprehensive reporting framework that improved visibility into compliance metrics, facilitating better decision-making and strategic planning.
- Contributed to a significant reduction in compliance-related incidents, enhancing the organization's reputation and operational integrity.

Compliance Analyst, American Express India Private Limited | December 2015 – December 2021

Responsibilities:

- Conducted thorough Compliance Periodic Reviews (CPR) and Enhanced Due Diligence (EDD) on high-risk accounts, ensuring compliance with regulatory standards for the ANZ market.
- Executed Know Your Beneficiary (KYB) processes for FXIP clients, maintaining accurate records and ensuring compliance with internal policies and regulatory requirements.
- Developed and maintained comprehensive documentation for compliance processes, ensuring alignment with regulatory requirements and facilitating smoother audits.

Senior Research Analyst, BMR Advisors | October 2012 – December 2015

Responsibilities:

- Mentored a team of 20 members, overseeing quality checks and ensuring compliance with AML regulations and internal policies.
- Collaborated with J.P. Morgan Chase Bank to complete remediation projects, ensuring adherence to SEBI guidelines and enhancing compliance processes.
- Collaborated with financial institutions to ensure compliance with SEBI guidelines, enhancing the quality of compliance outputs and client satisfaction.

Research Officer, IMS Health & Consulting Services India Pvt. Ltd. | April 2010 – September 2012

Responsibilities:

- Monitored market movements in the pharmaceutical sector, providing insights and recommendations to enhance business strategies and operational efficiency.
- Conducted qualitative and quantitative data accumulation, ensuring timely and accurate reporting to support strategic decision-making.
- Conducted comprehensive market analysis in the pharmaceutical sector, providing strategic recommendations that improved operational efficiency for clients.
- Developed and implemented data collection methodologies that improved the accuracy and timeliness of reporting, supporting informed decision-making for clients.