



# IMTEYAZ ALAM

Nationality: Indian  
+91 8050006332 | +91 9035194946  
imteyazalam365@gmail.com

## PROFESSIONAL VISION

Dedicated Compliance & Risk Management Professional with a strong passion for **customer excellence, integrity, and regulatory adherence**. Driven by the philosophy of *pursuing perfection to achieve success*, I aspire to strengthen the **Business & Financial Compliance domain** with my extensive expertise in **AML, KYC, CDD, and Regulatory Frameworks**. Committed to **knowledge-sharing, leadership, and operational excellence**, I thrive in high-pressure environments while fostering team collaboration and delivering value to stakeholders.

## CORE PHILOSOPHY

“Chase excellence relentlessly — and success will follow as a natural outcome.”

## CAREER HIGHLIGHTS

- ✓ Proficient in **AML, KYC, CDD & EDD operations** for global markets including **Hong Kong, New York, Australia, Singapore, Germany, and the US**.
- ✓ ACAMS Certified with strong expertise in **Sanctions Compliance, Financial Crime Prevention & Risk Mitigation**.
- ✓ Demonstrated leadership in managing **large cross-functional teams (45+ professionals)** in corporate and investment banking domains.
- ✓ Hands-on proficiency with compliance tools: **World Check, LexisNexis, RDC, Fircosoft, Mint Global, OneSource, Hub Blacklist, PCR**.
- ✓ Extensive exposure to **PEP Screening, Adverse Media Monitoring, Negative News Checks, Sanctioned Countries & High-Risk Clients**.
- ✓ Adept in **regulatory reporting, periodic reviews, client outreach, and procedural documentation**.
- ✓ Skilled in applying **Lean Six Sigma methodology** to drive operational efficiency and quality enhancement.

## PROFESSIONAL JOURNEY

- KPMG | Assistant Manager - KYC & AML (Corporate & Investment Banking / Capital Markets)** 📍 Oct 2019 - Present
  - Spearhead **KYC/CDD/EDD operations** for corporate banking and capital market clients.
  - Direct **team of 45 members**, managing performance, resource planning, attrition, and workload allocation.
  - Function as **Subject Matter Expert (SME)** ensuring regulatory compliance and client satisfaction.
  - Supervise **Outreach Team**, coordinating between clients and production units to secure documentation.
  - Conduct **Quality Control (QC)** checks with real-time feedback aligned to compliance standards.
  - Managed **PEP & Adverse Media Screening**, Sanctions Risk Assessments, and enhanced due diligence on high-risk jurisdictions.
  - Partner with **Onshore Compliance Units** to meet organizational goals.
  - Developed **KYC/AML procedural guidelines** as per evolving regulatory requirements.
  - Execute **MIS reporting, case tracking, and scorecards** for management review.
  - Apply **Lean Six Sigma practices** to enhance productivity and minimize errors.
  - Designed and delivered **training modules** for new joiners and upskilling sessions for existing staff.
- Tata Consultancy Services (TCS) | Business Process Lead - KYC & AML** 📍 Mar 2018 - Sept 2019
  - Led **end-to-end KYC process**: client onboarding, risk categorization, and periodic reviews.
  - Executed **Global & Local KYC (G-KYC & L-KYC)** procedures across multiple jurisdictions.
  - Conducted **due diligence** on low, medium, and high-risk clients.
  - Oversaw **sanctions screening, embargo assessments, and PEP analysis**.
  - Utilized compliance tools (**PCR, Fircosoft, RDC, LexisNexis**) to conduct screening.
  - Produced **daily MIS reports**, quality reviews, and production dashboards.
  - Handled both **Maker & Checker responsibilities**, ensuring zero regulatory lapses.
- HSBC Bank | Project Assistant Manager - KYC & AML** 📍 Mar 2017 - Sept 2017
  - Managed **KYC verification & AML monitoring** for corporate clients across entity types (Pvt Ltd, CIS, Funds).
  - Collaborated with **Relationship Managers** to address fraud risks & suspicious activities.
  - Executed **full KYC refresh** including PEP, Negative News, Sanctions & Blacklisting checks.
  - Reviewed **financial statements** to evaluate sources of wealth and detect SSC (Sensitive Sanction Countries) exposure.
  - Partnered with **Financial Crime Compliance (FCC)** to escalate **STRs/SARs**.
  - Participated in **HBUS remediation projects** for compliance enhancement.
  - Conducted **client meetings** to ensure transparency and compliance documentation.

 **PROFESSIONAL JOURNEY**

 **ACADEMIC CREDENTIALS**

 **PROFESSIONAL CERTIFICATIONS**

 **TECHNICAL EXPERTISE**

 **ACHIEVEMENTS BEYOND ACADEMICS**

 **INTERESTS & PERSONAL PASSIONS**

 **DECLARATION**

 **JP Morgan Chase | Senior Research Specialist - Consumer & Community Banking**

 **Jun 2013 - Dec 2016**

- Performed **KYC checks for US-based clients (NY region)** to prevent money laundering & terror financing.
- Monitored portfolios to ensure **FATCA/CRS compliance**.
- Conducted **quality reviews on escalations** and provided resolution strategies.
- Designed & delivered **process training** for new employees.
- Created **Business Flowcharts, SOPs & Red Flag Identification frameworks**.
- Partnered with SMEs and site leads to implement **process re-engineering** initiatives.

 **M.Com (Commerce & Finance)** - Gitam University, Andhra Pradesh - 2016

 **B.B.M. (Finance Hons)** - Bangalore University - 2012 (72%)

 **PUC (Commerce)** - Pre-University, Karnataka - 2009 (70%)

 **PGDCA (Post Graduate Diploma in Computer Applications)** - 2009

 **Secondary Education (10th - CBSE, Delhi)** - 2007

 **ACAMS Certified - Anti-Money Laundering Specialist**

 Certification in **KYC & AML Compliance**

 Microsoft Office Suite (**Excel, Word, PowerPoint, Outlook**)

 Tally ERP 9.0

 PGDCA Modules: **C, C++, HTML, Linux, Unix, Oracle D2K, E-Commerce Applications**

 Secured **2nd Position** in Business Quiz (IADC, 2010)

 2nd Place in **Best Manager Program** (Sheshadripuram College, 2011)

 **National Level Finalist** at St. Joseph Business Academy (2011)

 Runner-Up in **IADC Inter-College Cricket Tournament**

 Active Member of **CSR Taskforce - JP Morgan Chase**

 Musical Rhythm Enthusiast

 Multi-Sport Participant

 Literary Knowledge Seeker

 Creative Visual Storyteller

 Global Travel Explorer

**I hereby affirm that the details furnished above are accurate and true to the best of my knowledge and belief.**

 **IMTEYAZ ALAM**